2021 -- H 6122 SUBSTITUTE A

======= LC002437/SUB A =======

STATE OF RHODE ISLAND

IN GENERAL ASSEMBLY

JANUARY SESSION, A.D. 2021

AN ACT

MAKING APPROPRIATIONS FOR THE SUPPORT OF THE STATE FOR THE FISCAL YEAR ENDING JUNE 30, 2022

Introduced By: Representative Marvin L. Abney

Date Introduced: March 11, 2021

Referred To: House Finance

(Governor)

It is enacted by the General Assembly as follows:

	It is enacted by	the General Assembly as follows:
1	ARTICLE 1	RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF FY 2022
2	ARTICLE 2	RELATING TO STATE FUNDS
3	ARTICLE 3	RELATING TO GOVERNMENT REFORM AND REORGANIZATION
4	ARTICLE 4	RELATING TO DEBT MANAGEMENT ACT JOINT RESOLUTIONS
5	ARTICLE 5	RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF
6		FY 2021
7	ARTICLE 6	RELATING TO TAXES AND REVENUE
8	ARTICLE 7	RELATING TO THE ENVIRONMENT
9	ARTICLE 8	RELATING TO PUBLIC UTILITIES AND CARRIERS
10	ARTICLE 9	RELATING TO ECONOMIC DEVELOPMENT
11	ARTICLE 10	RELATING TO EDUCATION
12	ARTICLE 11	RELATING TO LEASE AGREEMENTS FOR LEASED OFFICE AND
13		OPERATING SPACE
14	ARTICLE 12	RELATING TO MEDICAL ASSISTANCE
15	ARTICLE 13	RELATING TO HUMAN SERVICES
16	ARTICLE 14	RELATING TO HOUSING
17	ARTICLE 15	RELATING TO EFFECTIVE DATE

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ARTICLE 1

1

2	RELATING TO MAKING APPROPRIATIONS IN SUPPO	ORT OF 2022
3	SECTION 1. Subject to the conditions, limitations and restriction	s hereinafter contained in
4	this act, the following general revenue amounts are hereby appropriated	out of any money in the
5	treasury not otherwise appropriated to be expended during the fiscal ye	ar ending June 30, 2022.
6	The amounts identified for federal funds and restricted receipts shall be m	nade available pursuant to
7	section 35-4-22 and Chapter 41 of Title 42 of the Rhode Island Genera	l Laws. For the purposes
8	and functions hereinafter mentioned, the state controller is hereby author	ized and directed to draw
9	his or her orders upon the general treasurer for the payment of such sum	s or such portions thereof
10	as may be required from time to time upon receipt by him or her of properl	y authenticated vouchers.
11	Administration	
12	Central Management	
13	General Revenues	2,569,679
14	Federal Funds	261,031,499
15	Total – Central Management	263,601,178
16	Legal Services	
17	General Revenues	2,262,149
18	Accounts and Control	
19	General Revenues	4,103,385
20	Restricted Receipts – OPEB Board Administration	137,697
21	Restricted Receipts – Grants Management Administration	9,096,567
22	Total – Accounts and Control	13,337,649
23	Office of Management and Budget	
24	General Revenues	8,285,227
25	Federal Funds	224,755
26	Restricted Receipts	300,000
27	Other Funds	1,117,615
28	Total – Office of Management and Budget	9,927,597
29	Purchasing	
30	General Revenues	3,275,536

1	Restricted Receipts	298,059
2	Other Funds	497,386
3	Total – Purchasing	4,070,981
4	Human Resources	
5	General Revenues	1,099,549
6	Personnel Appeal Board	
7	General Revenues	120,050
8	Information Technology	
9	General Revenues	721,340
10	Restricted Receipts	9,625,165
11	Provided that of the total available in the Information Technology Investme	nt Fund as of July
12	1, 2021, \$50.0 million shall be made available for the implementation and de-	velopment of the
13	Enterprise Resource Planning Information Technology Improvements project.	Provided further
14	that \$17.0 million shall be made available for a new child welfare system to re	place the current
15	Rhode Island Children's Information System.	
16	Total – Information Technology	10,346,505
17	Library and Information Services	
18	General Revenues	1,640,558
19	Federal Funds	3,796,916
20	Restricted Receipts	6,990
21	Total – Library and Information Services	5,444,464
22	Planning	
23	General Revenues	663,930
24	Federal Funds	22,700
25	Other Funds	
26	Air Quality Modeling	24,000
27	Federal Highway – PL Systems Planning	3,483,469
28	State Transportation Planning Match	485,673
29	FTA – Metro Planning Grant	1,241,337
30	Total – Planning	5,921,109
31	General	
32	General Revenues	
33	Miscellaneous Grants/Payments	130,000
34	Provided that this amount be allocated to City Year for the Whole Sch	ool Whole Child
	A1	

Program, which provides individualized support to at-risk students.	
Torts – Courts/Awards	650,000
Resource Sharing and State Library Aid	9,562,072
Library Construction Aid	2,102,866
Restricted Receipts	700,000
Other Funds	
Rhode Island Capital Plan Funds	
Security Measures State Buildings	500,000
Energy Efficiency Improvements	1,250,000
Cranston Street Armory	325,000
State House Renovations	1,478,000
Zambarano Utilities & Infrastructure	350,000
Replacement of Fueling Tanks	800,000
Environmental Compliance	400,000
Big River Management Area	250,000
Shepard Building	1,500,000
Pastore Center Water Tanks & Pipes	100,000
RI Convention Center Authority	2,000,000
Pastore Center Power Plant Rehabilitation	734,000
Accessibility – Facility Renovations	1,000,000
DoIT Enterprise Operations Center	800,000
BHDDH MH & Community Facilities – Asset Protection	300,000
BHDDH DD & Community Homes – Fire Code	325,000
BHDDH DD Regional Facilities – Asset Protection	450,000
BHDDH Substance Abuse Asset Protection	375,000
BHDDH Group Homes	750,000
Statewide Facility Master Plan	116,467
Cannon Building	800,000
Old State House	100,000
State Office Building	100,000
State Office Reorganization & Relocation	500,000
William Powers Building	1,800,000
Pastore Center Utilities Upgrade	175,000
Pastore Center Non-Medical Buildings Asset Protection	3,170,000
	Toris - Courts/Awards Resource Sharing and State Library Aid Library Construction Aid Restricted Receipts Other Funds Rode Island Capital Plan Funds Security Measures State Buildings Energy Efficiency Improvements Cranston Street Armory State House Renovations Zambarano Utilities & Infrastructure Replacement of Fueling Tanks Environmental Compliance Big River Management Area Shepard Building Pastore Center Water Tanks & Pipes RI Convention Center Authority Pastore Center Power Plant Rehabilitation Accessibility – Facility Renovations DoTT Enterprise Operations Center HDDH DD & Community Facilities – Asset Protection HDDH DD & Community Facilities – Asset Protection HDDH Substance Abuse Asset Protection HDDH Group Homes Statevide Facility Master Plan Canon Building Old State House Statevide Facility Master Plan Canon Building CatorOffice Building CatorOffice Building CatorOffice Building CatorOffice Building

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -3-)

1	Washington County Government Center	500,000
2	Chapin Health Laboratory	500,000
3	Medical Examiner New Facility	4,500,000
4	560 Jefferson Blvd Asset Protection	150,000
5	Arrigan Center	197,500
6	Dunkin Donuts Center	2,300,000
7	Pastore Center Building Demolition	1,000,000
8	Veterans Auditorium	285,000
9	Total – General	43,025,905
10	Debt Service Payments	
11	General Revenues	145,424,890
12	Out of the general revenue appropriations for debt service,	the General Treasurer is
13	authorized to make payments for the I-195 Redevelopment District Co	ommission loan up to the
14	maximum debt service due in accordance with the loan agreement.	
15	Other Funds	
16	Transportation Debt Service	39,205,402
17	Investment Receipts – Bond Funds	100,000
18	Total - Debt Service Payments	184,730,292
19	Energy Resources	
20	Federal Funds	761,478
21	Restricted Receipts	8,791,172
22	Total – Energy Resources	9,552,650
23	Rhode Island Health Benefits Exchange	
24	General Revenues	2,820,336
25	Federal Funds	5,239,671
26	Restricted Receipts	16,842,483
27	Total – Rhode Island Health Benefits Exchange	24,902,490
28	Office of Diversity, Equity & Opportunity	
29	General Revenues	1,245,968
30	Other Funds	112,623
31	Total – Office of Diversity, Equity & Opportunity	1,358,591
32	Capital Asset Management and Maintenance	
33	General Revenues	9,354,998
34	Federal Funds	4,363,888

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -4-)

1	Total – Capital Asset Management and Maintenance	13,718,886
2	Grand Total – Administration	593,420,045
3	Business Regulation	
4	Central Management	
5	General Revenues	3,232,684
6	Banking Regulation	
7	General Revenues	1,620,824
8	Restricted Receipts	75,000
9	Total – Banking Regulation	1,695,824
10	Securities Regulation	
11	General Revenues	817,118
12	Restricted Receipts	15,000
13	Total – Securities Regulation	832,118
14	Insurance Regulation	
15	General Revenues	4,152,139
16	Restricted Receipts	2,033,882
17	Total – Insurance Regulation	6,186,021
18	Office of the Health Insurance Commissioner	
19	General Revenues	1,659,713
20	Federal Funds	120,000
21	Restricted Receipts	496,092
22	Total – Office of the Health Insurance Commissioner	2,275,805
23	Board of Accountancy	
24	General Revenues	5,883
25	Commercial Licensing and Gaming and Athletics Licensing	
26	General Revenues	1,053,287
27	Restricted Receipts	890,069
28	Total – Commercial Licensing and Gaming and Athletics Licensing	1,943,356
29	Building, Design and Fire Professionals	
30	General Revenues	6,297,958
31	Federal Funds	186,000
32	Restricted Receipts	1,744,025
33	Other Funds	
34	Quonset Development Corporation	67,928

1	Total – Building, Design and Fire Professionals	8,295,911
2	Office of Cannabis Regulation	
3	Restricted Receipts	1,034,441
4	Grand Total – Business Regulation	25,502,043
5	Executive Office of Commerce	
6	Central Management	
7	General Revenues	2,202,211
8	Federal Funds	7,311,225
9	Total – Central Management	9,513,436
10	Housing and Community Development	
11	General Revenues	26,046,591
12	Federal Funds	29,553,645
13	Restricted Receipts	7,698,884
14	Total – Housing and Community Development	63,299,120
15	Quasi–Public Appropriations	
16	General Revenues	
17	Rhode Island Commerce Corporation	7,659,565
18	Airport Impact Aid	1,010,036
19	Sixty percent (60%) of the first \$1,000,000 appropriated for airp	port impact aid shall be
20	distributed to each airport serving more than 1,000,000 passengers based up	pon its percentage of the
21	total passengers served by all airports serving more than 1,000,000 passenge	ers. Forty percent (40%)
22	of the first \$1,000,000 shall be distributed based on the share of landings du	uring calendar year 2020
23	at North Central Airport, Newport-Middletown Airport, Block Island Ai	rport, Quonset Airport,
24	T.F. Green Airport and Westerly Airport, respectively. The Rhode Island	Commerce Corporation
25	shall make an impact payment to the towns or cities in which the airport	is located based on this
26	calculation. Each community upon which any part of the above airports is	located shall receive at
27	least \$25,000.	
28	STAC Research Alliance	900,000
29	Innovative Matching Grants/Internships	1,000,000
30	I-195 Redevelopment District Commission	761,000
31	Polaris Manufacturing Grant	350,000
32	East Providence Waterfront Commission	50,000
33	Urban Ventures	140,000
34	Chafee Center at Bryant	476,200

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -6-)

1	Quonset Development Corporation	1,200,000
2	Municipal Infrastructure Bank Match	1,000,000
3	Other Funds	
4	Rhode Island Capital Plan Funds	
5	Quonset Point Infrastructure	6,000,000
6	I-195 Redevelopment District Commission	578,000
7	Total – Quasi–Public Appropriations	21,124,801
8	Economic Development Initiatives Fund	
9	General Revenues	
10	Innovation Initiative	1,000,000
11	Rebuild RI Tax Credit Fund	52,500,000
12	Small Business Promotion	300,000
13	Small Business Assistance	650,000
14	Federal Funds	
15	State Small Business Credit Initiative	56,234,176
16	Total – Economic Development Initiatives Fund	110,684,176
17	Commerce Programs	
18	General Revenues	
19	Wavemaker Fellowship	1,600,000
20	Grand Total – Executive Office of Commerce	206,221,533
21	Labor and Training	
22	Central Management	
23	General Revenues	712,826
24	Restricted Receipts	126,519
25	Total – Central Management	839,345
26	Workforce Development Services	
27	General Revenues	904,898
28	Federal Funds	18,817,837
29	Other Funds	82,525
30	Total – Workforce Development Services	19,805,260
31	Workforce Regulation and Safety	
32	General Revenues	3,489,965
33	Income Support	
34	General Revenues	3,801,667
	Art1	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -7-)

1	Federal Funds	407,411,048
2	Restricted Receipts	2,770,811
3	Other Funds	
4	Temporary Disability Insurance Fund	205,490,965
5	Employment Security Fund	284,125,000
6	Total – Income Support	903,599,491
7	Injured Workers Services	
8	Restricted Receipts	11,172,336
9	Labor Relations Board	
10	General Revenues	407,364
11	Governor's Workforce Board	
12	General Revenues	6,050,000
13	Provided that \$600,000 of these funds shall be used for enhanced tra	ining for direct care and
14	support services staff to improve resident quality of care and address the cl	hanging health care needs
15	of nursing facility residents due to higher acuity and increased cognitive	impairments pursuant to
16	Rhode Island General Laws, Section 23-17.5-36.	
17	Federal Funds	9,536,150
18	Restricted Receipts	13,849,054
19	Total – Governor's Workforce Board	29,435,204
20	Grand Total – Labor and Training	968,748,965
21	Department of Revenue	
22	Director of Revenue	
23	General Revenues	1,792,985
24	Office of Revenue Analysis	
25	General Revenues	889,151
26	Lottery Division	
27	Other Funds	434,215,853
28	Municipal Finance	
29	General Revenues	1,718,168
30	Federal Funds	131,957,594
31	Total – Municipal Finance	133,675,762
32	Taxation	
33	General Revenues	32,673,073
34	Restricted Receipts	2,221,812

1	Other Funds	
2	Motor Fuel Tax Evasion	155,000
3	Total – Taxation	35,049,885
4	Registry of Motor Vehicles	
5	General Revenues	30,331,973
6	Federal Funds	462,404
7	Restricted Receipts	1,692,587
8	Total – Registry of Motor Vehicles	32,486,964
9	State Aid	
10	General Revenues	
11	Distressed Communities Relief Fund	12,384,458
12	Payment in Lieu of Tax Exempt Properties	46,089,504
13	Motor Vehicle Excise Tax Payments	139,656,362
14	Property Revaluation Program	1,503,677
15	Restricted Receipts	995,120
16	Total – State Aid	200,629,121
17	Collections	
18	General Revenues	828,769
19	Grand Total – Revenue	839,568,490
20	Legislature	
21	General Revenues	44,844,662
22	Restricted Receipts	1,782,425
23	Grand Total – Legislature	46,627,087
24	Lieutenant Governor	
25	General Revenues	1,199,161
26	Secretary of State	
27	Administration	
28	General Revenues	3,633,858
29	Corporations	
30	General Revenues	2,539,285
31	State Archives	
32	General Revenues	243,954
33	Restricted Receipts	447,148
34	Total – State Archives	691,102

1	Elections and Civics	
2	General Revenues	2,067,371
3	Federal Funds	1,810,000
4	Total – Elections and Civics	3,877,371
5	State Library	
6	General Revenues	768,685
7	Provided that \$125,000 be allocated to support the Rhode Island Hi	storical Society
8	pursuant to Rhode Island General Law, Section 29-2-1 and \$18,000 be allocated	d to support the
9	Newport Historical Society, pursuant to Rhode Island General Law, Section 29-2-	2.
10	Office of Public Information	
11	General Revenues	521,918
12	Receipted Receipts	25,000
13	Total – Office of Public Information	546,918
14	Grand Total – Secretary of State	12,057,219
15	General Treasurer	
16	Treasury	
17	General Revenues	2,582,131
18	Federal Funds	308,416
19	Other Funds	
20	Temporary Disability Insurance Fund	263,421
21	Tuition Savings Program – Administration	382,476
22	Total –Treasury	3,536,444
23	State Retirement System	
24	Restricted Receipts	
25	Admin Expenses – State Retirement System	11,427,273
26	Retirement – Treasury Investment Operations	1,871,467
27	Defined Contribution – Administration	300,234
28	Total – State Retirement System	13,598,974
29	Unclaimed Property	
30	Restricted Receipts	28,902,766
31	Crime Victim Compensation Program	
32	General Revenues	646,179
33	Federal Funds	422,493
34	Restricted Receipts	713,007

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -10-)

1	Total – Crime Victim Compensation Program	1,781,679
2	Grand Total – General Treasurer	47,819,863
3	Board of Elections	
4	General Revenues	2,671,768
5	Rhode Island Ethics Commission	
6	General Revenues	1,867,351
7	Office of Governor	
8	General Revenues	
9	General Revenues	6,553,626
10	Contingency Fund	150,000
11	Grand Total – Office of Governor	6,703,626
12	Commission for Human Rights	
13	General Revenues	1,486,581
14	Federal Funds	422,418
15	Grand Total – Commission for Human Rights	1,908,999
16	Public Utilities Commission	
17	Federal Funds	540,253
18	Restricted Receipts	11,926,093
19	Grand Total – Public Utilities Commission	12,466,346
20	Office of Health and Human Services	
21	Central Management	
22	General Revenues	44,961,144
23	Federal Funds	121,027,873
24	Restricted Receipts	22,897,651
25	Total – Central Management	188,886,668
26	Medical Assistance	
27	General Revenues	
28	Managed Care	344,346,294
29	Hospitals	94,772,895
30	Of the general revenue funding, \$2.0 million shall be provid	led for Graduate Medical
31	Education programs of which \$1.0 million is for hospitals designated a	s a Level I Trauma Center
32	and \$1.0 million is for hospitals providing Neonatal Intensive Care Unit	t level of care.
33	Nursing Facilities	147,255,515
34	Home and Community Based Services	43,405,421
	Art1	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -11-)

1	Other Services	128,944,587
2	Pharmacy	69,142,220
3	Rhody Health	188,362,579
4	Other Programs	39,000,000
5	Federal Funds	
6	Managed Care	509,180,803
7	Hospitals	120,363,721
8	Nursing Facilities	201,490,261
9	Home and Community Based Services	59,310,041
10	Other Services	751,902,189
11	Pharmacy	57,780
12	Rhody Health	255,260,108
13	Other Programs	36,288,580
14	Restricted Receipts	17,792,796
15	Total – Medical Assistance	3,006,875,790
16	Grand Total – Office of Health and Human Services	3,195,762,458
17	Children, Youth, and Families	
18	Central Management	
19	General Revenues	14,120,765
20	Federal Funds	4,914,204
21	Total – Central Management	19,034,969
22	Children's Behavioral Health Services	
23	General Revenues	7,579,739
24	Federal Funds	6,718,331
25	Total – Children's Behavioral Health Services	14,298,070
26	Juvenile Correctional Services	
27	General Revenues	21,401,081
28	Federal Funds	274,541
29	Other Funds	
30	Rhode Island Capital Plan Funds	
31	Training School Asset Protection	250,000
32	Total – Juvenile Correctional Services	21,925,622
33	Child Welfare	
34	General Revenues	149,983,357
	Art 1	

1	Federal Funds	69,549,337
2	Restricted Receipts	1,487,111
3	Total – Child Welfare	221,019,805
4	Higher Education Incentive Grants	
5	General Revenues	200,000
6	Grand Total – Children, Youth, and Families	276,478,466
7	Health	
8	Central Management	
9	General Revenues	3,232,283
10	Federal Funds	4,631,858
11	Restricted Receipts	27,871,484
12	Provided that the disbursement of any indirect cost recoveries or	n federal grants budgeted
13	in this line item that are derived from grants authorized under The Coror	navirus Preparedness and
14	Response Supplemental Appropriations Act (P.L. 116-123); The Far	milies First Coronavirus
15	Response Act (P.L. 116-127); The Coronavirus Aid, Relief, and Economi	c Security Act (P.L. 116-
16	136); The Paycheck Protection Program and Health Care Enhancement	Act (P.L. 116-139); and
17	the Consolidated Appropriations Act, 2021 (P.L. 116-260), are hereby s	subject to the review and
18	prior approval of the Director of Management and Budget. No obligation or expenditure of these	
19	funds shall take place without such approval.	
20	Total – Central Management	35,735,625
21	Community Health and Equity	
22	General Revenues	1,325,578
23	Federal Funds	70,929,222
24	Restricted Receipts	39,122,956
25	Total – Community Health and Equity	111,377,756
26	Environmental Health	
27	General Revenues	5,744,839
28	Federal Funds	7,382,886
29	Restricted Receipts	738,436
30	Total – Environmental Health	13,866,161
31	Health Laboratories and Medical Examiner	
32	General Revenues	10,149,765
33	Federal Funds	2,398,469
34	Other Funds	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -13-)

1	Rhode Island Capital Plan Funds	
2	Health Laboratories & Medical Examiner Equipment	600,000
3	Total – Health Laboratories and Medical Examiner	13,148,234
4	Customer Services	
5	General Revenues	7,729,808
6	Federal Funds	5,158,613
7	Restricted Receipts	2,094,387
8	Total – Customer Services	14,982,808
9	Policy, Information and Communications	
10	General Revenues	908,676
11	Federal Funds	2,934,574
12	Restricted Receipts	1,103,113
13	Total – Policy, Information and Communications	4,946,363
14	Preparedness, Response, Infectious Disease & Emergency Services	
15	General Revenues	1,939,241
16	Federal Funds	22,016,363
17	Total – Preparedness, Response, Infectious Disease & Emergency Services	23,955,604
18	COVID-19	
19	Federal Funds	220,242,053
20	Grand Total - Health	438,254,604
21	Human Services	
22	Central Management	
23	General Revenues	5,119,898
24	Of this amount, \$300,000 is to support the Domestic Violence Prevention	Fund to provide
25	direct services through the Coalition Against Domestic Violence, \$250,000 to	support Project
26	Reach activities provided by the RI Alliance of Boys and Girls Clubs, \$217,000 is f	for outreach and
27	supportive services through Day One, \$350,000 is for food collection and distribut	tion through the
28	Rhode Island Community Food Bank, \$500,000 for services provided to the homele	ss at Crossroads
29	Rhode Island, \$600,000 for the Community Action Fund and \$200,000 is for the	Institute for the
30	Study and Practice of Nonviolence's Reduction Strategy.	
31	Federal Funds	7,961,909
32	Restricted Receipts	150,000
33	Total – Central Management	13,231,807
34	Child Support Enforcement	

1	General Revenues	2,933,192
2	Federal Funds	8,889,388
3	Restricted Receipts	4,100,000
4	Total – Child Support Enforcement	15,922,580
5	Individual and Family Support	
6	General Revenues	39,027,408
7	Federal Funds	203,976,967
8	Restricted Receipts	255,255
9	Other Funds	
10	Rhode Island Capital Plan Funds	
11	Blind Vending Facilities	165,000
12	Total – Individual and Family Support	243,424,630
13	Office of Veterans Services	
14	General Revenues	28,573,995
15	Of this amount, \$200,000 is to provide support services through	Veterans' organizations.
16	Federal Funds	11,296,289
17	Restricted Receipts	1,571,061
18	Other Funds	
19	Rhode Island Capital Plan Funds	
20	Veterans Home Asset Protection	350,000
21	Veterans Cemetery Crypt Installation/Expansion	380,000
22	Total – Office of Veterans Services	42,171,345
23	Health Care Eligibility	
24	General Revenues	8,069,136
25	Federal Funds	13,277,285
26	Total – Health Care Eligibility	21,346,421
27	Supplemental Security Income Program	
28	General Revenues	17,950,819
29	Rhode Island Works	
30	General Revenues	8,659,085
31	Federal Funds	86,375,347
32	Total – Rhode Island Works	95,034,432
33	Other Programs	
34	General Revenues	851,704

1 Of this appropriation, \$90,000 shall be used for hardship contingency payments.

1	or this appropriation, \$70,000 shan be used for hardship contingency pays	nents.
2	Federal Funds	254,157,901
3	Restricted Receipts	8,000
4	Total – Other Programs	255,017,605
5	Office of Healthy Aging	
6	General Revenues	12,130,918
7	Of this amount, \$325,000 is to provide elder services, including	ng respite, through the
8	Diocese of Providence, \$40,000 for ombudsman services provided by the	Alliance for Long Term
9	Care in accordance with Rhode Island General Laws, Chapter 42-66.7, \$85,000 for security for	
10	housing for the elderly in accordance with Rhode Island General Law, Secti	on 42-66.1-3, \$800,000
11	for Senior Services Support and \$580,000 for elderly nutrition, of which \$3	530,000 is for Meals on
12	Wheels.	
13	Federal Funds	20,607,297
14	Restricted Receipts	106,161
15	Other Funds	
16	Intermodal Surface Transportation Fund	4,269,970
17	Total – Office of Healthy Aging	37,114,346
18	Grand Total – Human Services	741,213,985
19	Behavioral Healthcare, Developmental Disabilities, and Hospitals	
20	Central Management	
21	General Revenues	5,000,299
22	Federal Funds	1,352,665
23	Total – Central Management	6,352,964
24	Hospital and Community System Support	
25	General Revenues	3,433,367
26	Federal Funds	9,899
27	Restricted Receipts	300,000
28	Total – Hospital and Community System Support	3,743,266
29	Services for the Developmentally Disabled	
30	General Revenues	145,293,304
31	Of this general revenue funding, \$16,780,500 shall be expended	on certain community-
32	based department of behavioral healthcare, developmental disabilities an	nd hospitals (BHDDH)
33	developmental disability private provider and self-directed consumer directed	ect care service worker
34	raises and associated payroll cost as authorized by BHDDH. Any increases	s for direct support staff
	Art1	

and residential or other community-based setting must first receive the approval of BHDDH. A total of \$1,000,000 shall be expended on state infrastructure to implement and manage compliance with most recent consent decree initiatives of which all unexpended or unencumbered balances, at the end of the fiscal year, shall be reappropriated to the ensuing fiscal year and made immediately available for the same purposes.

6 Federal Funds

189,882,388

7 Of this federal funding, \$22,964,880 shall be expended on certain community-based 8 department of behavioral healthcare, developmental disabilities and hospitals (BHDDH) 9 developmental disability private provider and self-directed consumer direct care service worker 10 raises and associated payroll cost as authorized by BHDDH. Any increases for direct support staff 11 and residential or other community-based setting must first receive the approval of BHDDH. A 12 total of \$1,000,000 shall be expended on state infrastructure to implement and manage compliance 13 with most recent consent decree initiatives of which all unexpended or unencumbered balances, at 14 the end of the fiscal year, shall be reappropriated to the ensuing fiscal year and made immediately 15 available for the same purposes. 16 **Restricted Receipts** 1,410,300 17 Other Funds 18 Rhode Island Capital Plan Funds 19 DD Residential Development 100,000 20 Total - Services for the Developmentally Disabled 336,685,992 21 Behavioral Healthcare Services 22 General Revenues 2,245,753 23 Federal Funds 53,811,306

24 **Restricted Receipts** 2,476,600 25 Total - Behavioral Healthcare Services 58,533,659 26 Hospital and Community Rehabilitative Services General Revenues 115,970,100 27 28 Federal Funds 2,003,522 29 **Restricted Receipts** 9,750 30 Other Funds 31 Rhode Island Capital Plan Funds 32 Hospital Equipment 300,000 Total - Hospital and Community Rehabilitative Services 118,283,372 33 34 Grand Total - Behavioral Healthcare, Developmental Disabilities, and

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -17-)

1	Hospitals	523,599,253
2	Office of the Child Advocate	
3	General Revenues	1,036,219
4	Federal Funds	207,307
5	Grand Total – Office of the Child Advocate	1,243,526
6	Commission on the Deaf and Hard of Hearing	
7	General Revenues	655,862
8	Restricted Receipts	162,802
9	Grand Total – Comm. On Deaf and Hard-of-Hearing	818,664
10	Governor's Commission on Disabilities	
11	General Revenues	
12	General Revenues	582,860
13	Livable Home Modification Grant Program	507,850
14	Provided that this will be used for home modification and accessible	ility enhancements to
15	construct, retrofit, and/or renovate residences to allow individuals to remain in community settings.	
16	This will be in consultation with the Executive Office of Health and Human Services.	
17	Federal Funds	380,316
18	Restricted Receipts	59,455
19	Total – Governor's Commission on Disabilities	1,530,481
20	Office of the Mental Health Advocate	
21	General Revenues	680,190
22	Elementary and Secondary Education	
23	Administration of the Comprehensive Education Strategy	
24	General Revenues	24,339,679
25	Provided that \$90,000 be allocated to support the hospital school	at Hasbro Children's
26	Hospital pursuant to Rhode Island General Law, Section 16-7-20 and that \$39	95,000 be allocated to
27	support child opportunity zones through agreements with the Departmen	t of Elementary and
28	Secondary Education to strengthen education, health and social services f	or students and their
29	families as a strategy to accelerate student achievement.	
30	Federal Funds	324,772,666
31	Provided that \$684,000 from the Department's administrative shar	e of Individuals with
32	Disabilities Education Act funds be allocated to the Paul V. Sherlock Cen	ter on Disabilities to
33	support the Rhode Island Vision Education and Services Program.	
34	Restricted Receipts	
	A rt 1	

1	Restricted Receipts	1,766,808
2	HRIC Adult Education Grants	3,500,000
3	Total – Admin. of the Comprehensive Ed. Strategy	354,379,153
4	Davies Career and Technical School	
5	General Revenues	14,437,904
6	Federal Funds	4,546,489
7	Restricted Receipts	4,819,592
8	Other Funds	
9	Rhode Island Capital Plan Funds	
10	Davies School HVAC	900,000
11	Davies School Asset Protection	665,000
12	Davies School Healthcare Classroom Renovations	500,000
13	Total – Davies Career and Technical School	25,868,985
14	RI School for the Deaf	
15	General Revenues	7,402,627
16	Federal Funds	581,126
17	Restricted Receipts	469,779
18	Other Funds	
19	School for the Deaf Transformation Grants	59,000
20	Rhode Island Capital Plan Funds	
21	School for the Deaf Asset Protection	250,000
22	Total – RI School for the Deaf	8,762,532
23	Metropolitan Career and Technical School	
24	General Revenues	9,342,007
25	Federal Funds	4,667,210
26	Other Funds	
27	Rhode Island Capital Plan Funds	
28	MET School Asset Protection	250,000
29	Total – Metropolitan Career and Technical School	14,259,217
30	Education Aid	
31	General Revenues	1,023,707,116
32	Provided that the criteria for the allocation of early childhood for	unds shall prioritize
33	prekindergarten seats and classrooms for four-year-olds whose family incom	ne is at or below one
34	hundred eighty-five percent (185%) of federal poverty guidelines and who re	eside in communities

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -19-)

1	with higher concentrations of low performing schools.	
2	Federal Funds	508,943,494
3	Restricted Receipts	36,146,758
4	Other Funds	
5	Permanent School Fund	300,000
6	Total – Education Aid	1,569,097,368
7	Central Falls School District	
8	General Revenues	47,702,746
9	Federal Funds	22,041,316
10	Total – Central Falls School District	69,744,062
11	School Construction Aid	
12	General Revenues	
13	School Housing Aid	79,409,186
14	School Building Authority Capital Fund	590,814
15	Total – School Construction Aid	80,000,000
16	Teachers' Retirement	
17	General Revenues	123,916,166
18	Grand Total – Elementary and Secondary Education	2,246,027,483
19	Public Higher Education	
20	Office of Postsecondary Commissioner	
21	General Revenues	26,979,920
22	Provided that \$355,000 shall be allocated to the Rhode Island College Crusade pursuant to	
23	the Rhode Island General Law, Section 16-70-5 and that \$75,000 shall be allocated to Best Buddies	
24	Rhode Island to support its programs for children with developmental and in	tellectual disabilities.
25	It is also provided that \$7,680,838 shall be allocated to the Rhode Island	Promise Scholarship
26	program, \$9,595,000 shall be allocated to the Last Dollar Scholarship program	n, and \$147,000 shall
27	be used to support Rhode Island's membership in the New England Board of	Higher Education.
28	Federal Funds	
29	Federal Funds	6,780,470
30	Guaranty Agency Administration	400,000
31	Provided that an amount equivalent to not more than ten (10) per	cent of the guaranty
32	agency operating fund appropriated for direct scholarship and grants in fisc-	al year 2022 shall be
33	appropriated for guaranty agency administration in fiscal year 202	22. This limitation
34	notwithstanding, final appropriations for fiscal year 2022 for guaranty agence	y administration may
	Art1	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -20-)

1	also include any residual monies collected during fiscal year 2022 that re-	elate to guaranty agency
2	operations, in excess of the foregoing limitation.	
3	Restricted Receipts	3,485,642
4	Other Funds	
5	Tuition Savings Program – Dual Enrollment	2,300,000
6	Nursing Education Center – Operating	2,589,674
7	Rhode Island Capital Plan Funds	
8	Higher Education Centers	3,932,500
9	Provided that the state fund no more than 50.0 percent of the total project	cost.
10	Total – Office of Postsecondary Commissioner	46,468,206
11	University of Rhode Island	
12	General Revenues	
13	General Revenues	84,177,615
14	Provided that in order to leverage federal funding and support	economic development,
15	\$700,000 shall be allocated to the Small Business Development Center a	nd that \$50,000 shall be
16	allocated to Special Olympics Rhode Island to support its mission of providing athletic	
17	opportunities for individuals with intellectual and developmental disability	ies.
18	Debt Service	29,837,239
19	RI State Forensics Laboratory	1,317,901
20	Federal Funds	48,542,655
21	Other Funds	
22	University and College Funds	685,449,813
23	Debt – Dining Services	979,827
24	Debt – Education and General	4,833,788
25	Debt – Health Services	119,246
26	Debt – Housing Loan Funds	12,771,303
27	Debt – Memorial Union	322,507
28	Debt – Ryan Center	2,734,158
29	Debt – Parking Authority	1,311,087
30	Debt – Restricted Energy Conservation	530,994
31	Debt – URI Energy Conservation	2,039,606
32	Rhode Island Capital Plan Funds	
33	Asset Protection	9,900,000
34	Total – University of Rhode Island	884,867,739

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -21-)

 Notwithstanding the provisions of section 35-3-15 of the general la unencumbered balances as of June 30, 2022 relating to the University of RI reappropriated to fiscal year 2023. <i>Rhode Island College</i> General Revenues 	-
3 reappropriated to fiscal year 2023.4 <i>Rhode Island College</i>	
4 Rhode Island College	
6 General Revenues	58,108,155
7 Debt Service	6,024,998
8 Federal Funds	34,573,206
9 Other Funds	
10 University and College Funds	113,860,455
11 Debt – Education and General	881,355
12 Debt – Housing	366,667
13 Debt – Student Center and Dining	155,000
14 Debt – Student Union	208,800
15 Debt – G.O. Debt Service	1,642,434
16 Debt – Energy Conservation	674,475
17 Rhode Island Capital Plan Funds	
18 Asset Protection	4,733,000
19 Infrastructure Modernization	4,550,000
20 Total – Rhode Island College	225,778,545
21 Notwithstanding the provisions of section 35-3-15 of the general la	aws, all unexpended or
22 unencumbered balances as of June 30, 2022 relating to Rhode Island	d College are hereby
reappropriated to fiscal year 2023.	
24 Community College of Rhode Island	
25 General Revenues	
26 General Revenues	52,427,080
27 Debt Service	1,095,685

21	Debt Service	1,095,085
28	Federal Funds	67,577,643
29	Restricted Receipts	660,191
30	Other Funds	
31	University and College Funds	99,556,679
32	Rhode Island Capital Plan Funds	
33	Asset Protection	3,037,615
34	Knight Campus Renewal	3,000,000

1	Knight Campus Lab Renovation	887,902
2	Data, Cabling, and Power Infrastructure	1,500,000
3	Flanagan Campus Renovation and Modernization	2,000,000
4	Total – Community College of RI	231,742,795
5	Notwithstanding the provisions of section 35-3-15 of the general law	vs, all unexpended or
6	unencumbered balances as of June 30, 2022 relating to the Community Col	lege of Rhode Island
7	are hereby reappropriated to fiscal year 2023.	
8	Grand Total – Public Higher Education	1,388,857,285
9	RI State Council on the Arts	
10	General Revenues	
11	Operating Support	883,651
12	Grants	1,165,000
13	Provided that \$375,000 be provided to support the operational	costs of WaterFire
14	Providence art installations.	
15	Federal Funds	2,677,642
16	Restricted Receipts	40,000
17	Other Funds	
18	Art for Public Facilities	495,000
19	Grand Total – RI State Council on the Arts	5,261,293
20	RI Atomic Energy Commission	
21	General Revenues	1,076,170
22	Federal Funds	477,000
23	Restricted Receipts	25,036
24	Other Funds	
25	URI Sponsored Research	331,367
26	Rhode Island Capital Plan Funds	
27	RINSC Asset Protection	50,000
28	Grand Total – RI Atomic Energy Commission	1,959,573
29	RI Historical Preservation and Heritage Commission	
30	General Revenues	1,390,704
31	Provided that \$30,000 support the operational costs of the Fort Adam	ns Trust's restoration
32	activities.	
33	Federal Funds	697,162
34	Restricted Receipts	424,100
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1 Other Funds

2	RIDOT Project Review	150,379
3	Grand Total – RI Historical Preservation and Heritage Comm.	2,662,345
4	Attorney General	
5	Criminal	
6	General Revenues	17,949,759
7	Federal Funds	3,206,560
8	Restricted Receipts	204,734
9	Total – Criminal	21,361,053
10	Civil	
11	General Revenues	5,897,317
12	Restricted Receipts	1,172,929
13	Total – Civil	7,070,246
14	Bureau of Criminal Identification	
15	General Revenues	1,836,927
16	Federal Funds	238,000
17	Restricted Receipts	1,005,774
18	Total – Bureau of Criminal Identification	3,080,701
19	General	
20	General Revenues	4,136,361
21	Other Funds	
22	Rhode Island Capital Plan Funds	
23	Building Renovations and Repairs	150,000
24	Total – General	4,286,361
25	Grand Total – Attorney General	35,798,361
26	Corrections	
27	Central Management	
28	General Revenues	15,823,807
29	Parole Board	
30	General Revenues	1,402,115
31	Federal Funds	77,534
32	Total – Parole Board	1,479,649
33	Custody and Security	
34	General Revenues	138,679,834

1	Federal Funds 1,044,858	
2	Total – Custody and Security 139,724,692	
3	Institutional Support	
4	General Revenues 24,292,177	
5	Other Funds	
6	Rhode Island Capital Plan Funds	
7	Asset Protection 5,125,000	
8	Total – Institutional Support 29,417,177	
9	Institutional Based Rehab./Population Management	
10	General Revenues 11,727,119	
11	Provided that \$1,050,000 be allocated to Crossroads Rhode Island for sex offender	
12	discharge planning.	
13	Federal Funds 832,927	
14	Restricted Receipts 49,600	
15	Total – Institutional Based Rehab/Population Mgt. 12,609,646	
16	Healthcare Services	
17	General Revenues 25,847,217	
18	Of this general revenue funding, \$750,000 shall be expended to expand access to	
19	behavioral healthcare for individuals with severe and persistent mental illnesses incarcerated at the	
20	Adult Correctional Institutions. Funds shall be dedicated to planning for and, as practicable,	
21	creation of a Transitional Care Unit to provide robust behavioral healthcare to individuals in this	
22	population whose needs do not rise to the level of requiring care at the existing Residential	
23	Treatment Unit at the High Security facility but who nonetheless would require or benefit from a	
24	level of care beyond that which is delivered to the general population. All disbursements from this	
25	fund must occur in pursuit of collaborative development by the Department of Corrections, the	
26	Office of the Governor, and the Office of Management and Budget of a final approved long-term	
27	strategy for meeting the needs of the severely and persistently mentally ill population, or in	
28	furtherance of the needs and goals identified in the final approved long-term strategy, potentially	
29	including but not limited to creation of a Transitional Care Unit and expansion of programming.	
30	All unexpended or unencumbered balances of this fund, at the end of any fiscal year, shall be	
31	reappropriated to the ensuing fiscal year and made immediately available for the same purposes.	
32	Federal Funds54,000	
33	Restricted Receipts2,274,537	
34	Total – Healthcare Services28,175,754	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -25-)

1	Community Corrections	
2	General Revenues	18,577,675
3	Federal Funds	97,867
4	Restricted Receipts	14,883
5	Total – Community Corrections	18,690,425
6	Grand Total – Corrections	245,921,150
7	Judiciary	
8	Supreme Court	
9	General Revenues	
10	General Revenues	29,988,350
11	Provided however, that no more than \$1,435,110 in combined to	tal shall be offset to the
12	Public Defender's Office, the Attorney General's Office, the Departm	ent of Corrections, the
13	Department of Children, Youth, and Families, and the Department of Public Safety for square-	
14	footage occupancy costs in public courthouses and further provided that \$230,000 be allocated to	
15	the Rhode Island Coalition Against Domestic Violence for the domestic	e abuse court advocacy
16	project pursuant to Rhode Island General Law, Section 12-29-7 and that \$90,000 be allocated to	
17	Rhode Island Legal Services, Inc. to provide housing and eviction defense	to indigent individuals.
18	Defense of Indigents	5,075,432
19	Federal Funds	138,354
20	Restricted Receipts	3,861,095
21	Other Funds	
22	Rhode Island Capital Plan Funds	
23	Garrahy Courtroom Restoration	250,000
24	Murray Courtroom Restoration	700,000
25	Judicial Complexes – HVAC	1,000,000
26	Judicial Complexes Asset Protection	1,500,000
27	Judicial Complexes Fan Coil Unit Replacements	750,000
28	Licht Judicial Complex Restoration	750,000
29	Total - Supreme Court	44,013,231
30	Judicial Tenure and Discipline	
31	General Revenues	155,863
32	Superior Court	
33	General Revenues	25,022,380
34	Federal Funds	111,553

1	Restricted Receipts	407,207
2	Total – Superior Court	25,541,140
3	Family Court	
4	General Revenues	23,507,538
5	Federal Funds	3,106,857
6	Total – Family Court	26,614,395
7	District Court	
8	General Revenues	14,443,083
9	Federal Funds	571,495
10	Restricted Receipts	60,000
11	Total - District Court	15,074,578
12	Traffic Tribunal	
13	General Revenues	9,716,034
14	Workers' Compensation Court	
15	Restricted Receipts	9,310,113
16	Grand Total – Judiciary	130,425,354
17	Military Staff	
18	General Revenues	2,723,714
19	Federal Funds	36,614,294
20	Restricted Receipts	
21	RI Military Family Relief Fund	55,000
22	Other Funds	
23	Rhode Island Capital Plan Funds	
24	Aviation Readiness Center	535,263
25	AMC Roof Replacement	366,500
26	Asset Protection	930,000
27	Grand Total – Military Staff	41,224,771
28	Public Safety	
29	Central Management	
30	General Revenues	15,917,162
31	Provided that \$15,000,000 shall be allocated as the state contribution	for the Statewide Body-
32	worn Camera Program, subject to all program and reporting rules, re-	egulations, policies, and
33	guidelines prescribed in the Rhode Island General Laws. No mone	ey appropriated shall be
34	distributed for Rhode Island police department body-worn camera	expenses prior to the

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -27-)

1	promulgation of rules and regulations. Notwithstanding the provisions of section 35-3-15 of the		
2	general laws, all unexpended or unencumbered balances as of June 30, 2022 from this appropriation		
3	are hereby reappropriated to fiscal year 2023.		
4	Federal Funds	10,902,596	
5	Restricted Receipts	189,556	
6	Total – Central Management	27,009,314	
7	E-911 Emergency Telephone System		
8	Restricted Receipts	7,469,769	
9	Security Services		
10	General Revenues	27,319,253	
11	Municipal Police Training Academy		
12	General Revenues	262,575	
13	Federal Funds	451,295	
14	Total – Municipal Police Training Academy	713,870	
15	State Police		
16	General Revenues	77,105,322	
17	Federal Funds	6,110,439	
18	Restricted Receipts	856,000	
19	Other Funds		
20	Airport Corporation Assistance	150,000	
21	Road Construction Reimbursement	2,500,000	
22	Weight and Measurement Reimbursement	400,000	
23	Rhode Island Capital Plan Funds		
24	DPS Asset Protection	791,000	
25	Portsmouth Barracks	350,000	
26	Southern Barracks	2,100,000	
27	Training Academy Upgrades	750,000	
28	Statewide Communications System Network	237,370	
29	Total–State Police	91,350,131	
30	Grand Total – Public Safety	153,862,337	
31	Office of Public Defender		
32	General Revenues	13,431,599	
33	Federal Funds	75,665	
34	Grand Total – Office of Public Defender	13,507,264	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -28-)

1	Emergency Management Agency	
2	General Revenues	2,710,290
3	Federal Funds	16,772,558
4	Restricted Receipts	527,563
5	Other Funds	
6	Rhode Island Capital Plan Funds	
7	RI Statewide Communications Network	1,494,400
8	Emergency Management Building	250,000
9	Grand Total – Emergency Management Agency	21,754,811
10	Environmental Management	
11	Office of the Director	
12	General Revenues	7,551,252
13	Of this general revenue amount, \$50,000 is appropriated to the Conservation	Districts.
14	Restricted Receipts	4,189,798
15	Total – Office of the Director	11,741,050
16	Natural Resources	
17	General Revenues	25,272,202
18	Federal Funds	21,635,240
19	Restricted Receipts	5,454,434
20	Other Funds	
21	DOT Recreational Projects	762,000
22	Blackstone Bike Path Design	1,000,000
23	Transportation MOU	10,286
24	Rhode Island Capital Plan Funds	
25	Blackstone Valley Park Improvement	500,000
26	Dam Repair	90,000
27	Fort Adams Rehabilitation	300,000
28	Galilee Pier Upgrades	5,420,000
29	Newport Pier Upgrades	150,000
30	Recreation Facility Asset Protection	750,000
31	Recreational Facilities Improvement	3,200,000
32	Total – Natural Resources	64,544,162
33	Environmental Protection	
34	General Revenues	13,360,386

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -29-)

1	Federal Funds	10,753,650
2	Restricted Receipts	7,457,559
3	Other Funds	
4	Transportation MOU	63,565
5	Total – Environmental Protection	31,635,160
6	Grand Total – Environmental Management	107,920,372
7	Coastal Resources Management Council	
8	General Revenues	2,809,533
9	Federal Funds	1,850,628
10	Restricted Receipts	250,000
11	Other Funds	
12	Rhode Island Capital Plan Funds	
13	Narragansett Bay SAMP	75,115
14	RI Coastal Storm Risk Study	475,000
15	Grand Total – Coastal Resources Mgmt. Council	5,460,276
16	Transportation	
17	Central Management	
18	Federal Funds	16,066,910
19	Other Funds	
20	Gasoline Tax	8,917,792
21	Total – Central Management	24,984,702
22	Management and Budget	
23	Other Funds	
24	Gasoline Tax	5,380,580
25	Infrastructure Engineering	
26	Federal Funds	416,941,030
27	Restricted Receipts	2,589,202
28	Other Funds	
29	Gasoline Tax	70,347,728
30	Toll Revenue	35,089,593
31	Land Sale Revenue	5,979,719
32	Rhode Island Capital Plan Funds	
33	Highway Improvement Program	63,451,346
34	Bike Path Facilities Maintenance	400,000

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -30-)

1	RIPTA - Land and Buildings	1,330,000
2	RIPTA - Warwick Bus Hub	260,000
3	RIPTA – URI Mobility Hub	600,000
4	Total - Infrastructure Engineering	596,988,618
5	Infrastructure Maintenance	
6	Federal Funds	18,038,585
7	Other Funds	
8	Gasoline Tax	29,781,566
9	Non-Land Surplus Property	50,000
10	Rhode Island Highway Maintenance Account	87,157,485
11	Rhode Island Capital Plan Funds	
12	Maintenance Capital Equipment Replacement	1,499,462
13	Maintenance Facilities Improvements	900,000
14	Welcome Center	150,000
15	Salt Storage Facilities	2,500,000
16	Train Station Maintenance and Repairs	450,000
17	Total – Infrastructure Maintenance	140,527,098
18	Grand Total – Transportation	767,880,998
19	Statewide Totals	
20	General Revenues	4,549,831,497
21	Federal Funds	5,859,727,133
22	Restricted Receipts	372,464,155
23	Other Funds	2,332,865,011
24	Statewide Grand Total	13,114,887,796
25	SECTION 2. Each line appearing in Section 1 of this A	rticle shall constitute an
26	appropriation.	
27	SECTION 3. Upon the transfer of any function of a departn	nent or agency to another
28	department or agency, the Governor is hereby authorized by means of executive order to transfer	
29	or reallocate, in whole or in part, the appropriations and the full-time equivalent limits affected	
30	thereby; provided, however, in accordance with Rhode Island General Law, Section 42-6-5, when	
31	the duties or administrative functions of government are designated by law to be performed within	
32	a particular department or agency, no transfer of duties or functions and	no re-allocation, in whole
33	or part, or appropriations and full-time equivalent positions to any other	department or agency shall
34	be authorized.	

1 SECTION 4. From the appropriation for contingency shall be paid such sums as may be 2 required at the discretion of the Governor to fund expenditures for which appropriations may not exist. Such contingency funds may also be used for expenditures in the several departments and 3 4 agencies where appropriations are insufficient, or where such requirements are due to unforeseen 5 conditions or are non-recurring items of an unusual nature. Said appropriations may also be used 6 for the payment of bills incurred due to emergencies or to any offense against public peace and 7 property, in accordance with the provisions of Titles 11 and 45 of the General Laws of 1956, as 8 amended. All expenditures and transfers from this account shall be approved by the Governor.

9 SECTION 5. The general assembly authorizes the state controller to establish the internal 10 service accounts shown below, and no other, to finance and account for the operations of state 11 agencies that provide services to other agencies, institutions and other governmental units on a cost 12 reimbursed basis. The purpose of these accounts is to ensure that certain activities are managed in 13 a businesslike manner, promote efficient use of services by making agencies pay the full costs 14 associated with providing the services, and allocate the costs of central administrative services 15 across all fund types, so that federal and other non-general fund programs share in the costs of 16 general government support. The controller is authorized to reimburse these accounts for the cost 17 of work or services performed for any other department or agency subject to the following 18 expenditure limitations:

19	Account	Expenditure Limit
20	State Assessed Fringe Benefit Internal Service Fund	37,626,944
21	Administration Central Utilities Internal Service Fund	27,345,573
22	State Central Mail Internal Service Fund	6,736,424
23	State Telecommunications Internal Service Fund	3,100,546
24	State Automotive Fleet Internal Service Fund	12,664,678
25	Surplus Property Internal Service Fund	3,000
26	Health Insurance Internal Service Fund	272,604,683
27	Other Post-Employment Benefits Fund	63,858,483
28	Capitol Police Internal Service Fund	1,731,553
29	Corrections Central Distribution Center Internal Service Fund	7,410,210
30	Correctional Industries Internal Service Fund	8,590,417
31	Secretary of State Record Center Internal Service Fund	1,060,059
32	Human Resources Internal Service Fund	13,962,865
33	DCAMM Facilities Internal Service Fund	43,562,371
34	Information Technology Internal Service Fund	48,951,700

1 SECTION 6. Legislative Intent - The General Assembly may provide a written "statement 2 of legislative intent" signed by the chairperson of the House Finance Committee and by the 3 chairperson of the Senate Finance Committee to show the intended purpose of the appropriations 4 contained in Section 1 of this Article. The statement of legislative intent shall be kept on file in the 5 House Finance Committee and in the Senate Finance Committee.

6 At least twenty (20) days prior to the issuance of a grant or the release of funds, which 7 grant or funds are listed on the legislative letter of intent, all department, agency and corporation 8 directors, shall notify in writing the chairperson of the House Finance Committee and the 9 chairperson of the Senate Finance Committee of the approximate date when the funds are to be 10 released or granted.

SECTION 7. Appropriation of Temporary Disability Insurance Funds -- There is hereby appropriated pursuant to sections 28-39-5 and 28-39-8 of the Rhode Island General Laws all funds required to be disbursed for the benefit payments from the Temporary Disability Insurance Fund and Temporary Disability Insurance Reserve Fund for the fiscal year ending June 30, 2022.

SECTION 8. Appropriation of Employment Security Funds -- There is hereby appropriated
 pursuant to section 28-42-19 of the Rhode Island General Laws all funds required to be disbursed
 for benefit payments from the Employment Security Fund for the fiscal year ending June 30, 2022.
 SECTION 9. Appropriation of Lottery Division Funds -- There is hereby appropriated to
 the Lottery Division any funds required to be disbursed by the Lottery Division for the purposes of
 paying commissions or transfers to the prize fund for the fiscal year ending June 30, 2022.

SECTION 10. Appropriation of CollegeBoundSaver Funds – There is hereby appropriated
 to the Office of the General Treasurer designated funds received under the CollegeBoundSaver
 program for transfer to the Division of Higher Education Assistance within the Office of the
 Postsecondary Commissioner to support student financial aid for the fiscal year ending June 30,
 2022.

26 SECTION 11. Departments and agencies listed below may not exceed the number of full-27 time equivalent (FTE) positions shown below in any pay period. Full-time equivalent positions do 28 not include limited period positions or, seasonal or intermittent positions whose scheduled period 29 of employment does not exceed twenty-six consecutive weeks or whose scheduled hours do not 30 exceed nine hundred and twenty-five (925) hours, excluding overtime, in a one-year period. Nor 31 do they include individuals engaged in training, the completion of which is a prerequisite of 32 employment. Provided, however, that the Governor or designee, Speaker of the House of 33 Representatives or designee, and the President of the Senate or designee may authorize an 34 adjustment to any limitation. Prior to the authorization, the State Budget Officer shall make a

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1	ailed written recommendation to the Governor, the Speaker of the House, and the President of		
2	the Senate. A copy of the recommendation and authorization to adjust shall be transmitted to the		
3	chairman of the House Finance Committee, Senate Finance Committee, the House Fiscal Advisor		
4	and the Senate Fiscal Advisor.		
5	State employees whose funding is from non-state general revenue for	unds that are time	
6	limited shall receive limited term appointment with the term limited to the availability of non-state		
7	general revenue funding source.		
8	FY 2022 FTE POSITION AUTHORIZATION		
9	Departments and Agencies Full	-Time Equivalent	
10	Administration	650.7	
11	Provided that no more than 421.5 of the total authorization would be l	imited to positions	
12	that support internal service fund programs.		
13	Business Regulation	162.0	
14	Executive Office of Commerce	16.0	
15	Labor and Training	462.7	
16	Revenue	570.5	
17	Legislature	298.5	
18	Office of the Lieutenant Governor	8.0	
19	Office of the Secretary of State	59.0	
20	Office of the General Treasurer	89.0	
21	Board of Elections	13.0	
22	Rhode Island Ethics Commission	12.0	
23	Office of the Governor	45.0	
24	Commission for Human Rights	14.0	
25	Public Utilities Commission	54.0	
26	Office of Health and Human Services	190.0	
27	Children, Youth, and Families	702.5	
28	Health	530.6	
29	Human Services	753.0	
30	Office of Veterans Services	263.1	
31	Office of Healthy Aging	31.0	
32	Behavioral Healthcare, Developmental Disabilities, and Hospitals	1,190.4	
33	Office of the Child Advocate	10.0	
34	Commission on the Deaf and Hard of Hearing	4.0	
1	Governor's Commission on Disabilities 4.0		
----	--	---	
2	Office of the Mental Health Advocate 4.0		
3	Elementary and Secondary Education 143.1		
4	School for the Deaf 60.0		
5	Davies Career and Technical School 123.0		
6	Office of Postsecondary Commissioner 33.0		
7	Provided that 1.0 of the total authorization would be available only for positions that are	;	
8	supported by third-party funds, 10.0 would be available only for positions at the State's Higher	ſ	
9	Education Centers located in Woonsocket and Westerly, and 10.0 would be available only for	•	
10	positions at the Nursing Education Center.		
11	University of Rhode Island 2,555.0		
12	Provided that 357.8 of the total authorization would be available only for positions that are	;	
13	supported by third-party funds.		
14	Rhode Island College949.2		
15	Provided that 76.0 of the total authorization would be available only for positions that are	;	
16	supported by third-party funds.		
17	Community College of Rhode Island 849.1		
18	Provided that 89.0 of the total authorization would be available only for positions that are	;	
19	supported by third-party funds.		
20	Rhode Island State Council on the Arts9.6		
21	RI Atomic Energy Commission 8.6		
22	Historical Preservation and Heritage Commission 15.6		
23	Office of the Attorney General 247.1		
24	Corrections 1,424.0		
25	Judicial 726.3		
26	Military Staff 92.0		
27	Emergency Management Agency 33.0		
28	Public Safety 622.6		
29	Office of the Public Defender 99.0		
30	Environmental Management 401.0		
31	Coastal Resources Management Council 30.0		
32	Transportation 755.0		
33	Total 15,313.2		
34	No agency or department may employ contracted employee services where contract	t	

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -35-) employees would work under state employee supervisors without determination of need by the
 Director of Administration acting upon positive recommendations by the Budget Officer and the
 Personnel Administrator and 15 days after a public hearing.

Nor may any agency or department contract for services replacing work done by state
employees at that time without determination of need by the Director of Administration acting upon
the positive recommendations of the State Budget Officer and the Personnel Administrator and 30
days after a public hearing.

8 SECTION 12. The amounts reflected in this Article include the appropriation of Rhode 9 Island Capital Plan funds for fiscal year 2022 and supersede appropriations provided for FY 2022 10 within Section 12 of Article 1 of Chapter 080 of the P.L. of 2020.

The following amounts are hereby appropriated out of any money in the State's Rhode Island Capital Plan Fund not otherwise appropriated to be expended during the fiscal years ending June 30, 2023, June 30, 2024, June 30, 2025, and June 30, 2026. These amounts supersede appropriations provided within Section 12 of Article 1 of Chapter 080 of the P.L. of 2020.

For the purposes and functions hereinafter mentioned, the State Controller is hereby authorized and directed to draw his or her orders upon the General Treasurer for the payment of such sums and such portions thereof as may be required by him or her upon receipt of properly authenticated vouchers.

19 FY Ending FY Ending FY Ending FY Ending 20 Project 06/30/2023 06/30/2024 06/30/2025 06/30/2026 21 DOA – 560 Jefferson Boulevard 150,000 150,000 1,550,000 1,050,000 22 DOA – Accessibility 1,000,000 1,000,000 1,000,000 1,000,000 23 DOA – Arrigan Center 825,000 125,000 50,000 200,000 24 DOA – Cannon Building 1,350,000 3,725,000 4,125,000 4,025,000 25 DOA – Convention Center 26 Authority 4,250,000 5,250,000 3,500,000 3,500,000 27 DOA – Cranston Street Armory 750,000 2,250,000 3,250,000 100,000 28 DOA – Zambarano Utilities & 0 0 29 Infrastructure 300,000 500,000 30 DOA – DoIT Enterprise 31 **Operations Center** 2,300,000 2,050,000 1,150,000 1,050,000 32 DOA – Dunkin Donuts Center 2,300,000 2,300,000 2,775,000 2,775,000 33 DOA – Energy Efficiency 1,250,000 1,000,000 1,000,000 1,000,000 34 DOA - Statewide Facilities

> Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -36-)

1	Master Plan	200,000	500,000	250,000	0
2	DOA – Pastore Building				
3	Demolition	1,000,000	1,000,000	0	0
4	DOA – Pastore Center				
5	Non-Medical Buildings				
6	Asset Protection	6,250,000	5,500,000	4,500,000	4,000,000
7	DOA – Shepard Building	1,500,000	1,500,000	1,500,000	1,600,000
8	DOA – State House Renovations	2,100,000	2,450,000	1,200,000	1,200,000
9	DOA – State Office				
10	Reorganization & Relocation	250,000	250,000	0	0
11	DOA – Veterans Auditorium	765,000	100,000	75,000	100,000
12	DOA – Washington County				
13	Gov. Center	650,000	650,000	650,000	350,000
14	DOA – William Powers Building	2,500,000	2,500,000	3,000,000	2,500,000
15	EOC – I-195 Commission	650,000	0	0	0
16	DOH – Laboratory Equipment	400,000	400,000	400,000	400,000
17	DHS – Veterans Cemetery – Crypt				
18	Installation/Expansion	200,000	1,000,000	250,000	0
19	ELSEC – Davies School HVAC	900,000	373,500	0	0
20	ELSEC – Davies School				
21	Healthcare Classroom				
22	Renovations	4,500,000	0	0	0
23	URI – Asset Protection	11,350,000	11,494,395	9,276,000	9,554,280
24	RIC – Asset Protection	5,518,000	5,431,657	4,538,000	4,674,140
25	RIC – Infrastructure				
26	Modernization	4,900,000	4,900,000	4,500,000	4,635,000
27	CCRI – Asset Protection	3,246,000	2,653,124	2,719,452	2,719,452
28	CCRI – Data, Cabling and				
29	Power Infrastructure	3,300,000	3,700,000	4,650,000	0
30	CCRI – Flanagan Campus				
31	Renewal	2,000,000	6,000,000	2,500,000	0
32	CCRI – Knight Campus Renewal	1,390,000	0	0	0
33	DOC – Asset Protection	5,125,000	4,100,000	4,100,000	4,100,000
34	Military Staff – Aviation Readiness	535,263	126,166	574,183	1,092,311

Art1 RELATING TO MAKING APPROPRIATIONS IN SUPPORT OF 2022 (Page -37-)

1	EMA – RI Statewide				
2	Communications Network	1,494,400	1,494,400	1,494,400	0
3	DPS – Portsmouth Barracks	1,650,000	0	0	0
4	DPS – Southern Barracks	13,000,000	13,000,000	0	0
5	DPS – Training Academy Asset				
6	Protection	225,000	180,000	150,000	505,000
7	DPS – RISCON Microwave				
8	Replacement	187,370	187,370	187,370	187,370
9	DEM – Dam Repair	1,800,000	2,250,000	2,360,000	2,000,000
10	DEM – Recreational Facilities				
11	Improvements	3,700,000	2,560,000	2,400,000	1,930,000
12	DEM – Galilee Piers/Bulkhead	2,000,000	2,000,000	2,000,000	2,000,000
13	DOT – Highway Improvement				
14	Program	52,700,000	27,200,000	27,200,000	27,200,000
15	DOT – Bike Path Facilities				
16	Maintenance	400,000	400,000	400,000	400,000
17	DOT – Salt Storage Facilities				
18	Improvement	1,000,000	1,000,000	0	0
19	DOT – Maintenance –				
20	Capital Equipment Replacement	1,500,000	1,800,000	1,800,000	1,800,000
21	DOT – RIPTA –				
22	Land and Building Enhancements	500,000	500,000	500,000	500,000
23	DOT – RIPTA – URI Mobility	250,000	0	0	0
24	SECTION 13. Reappropriation	n of Funding for	Rhode Island	Capital Plan F	und Projects. –
25	Any unexpended and unencumbered	l funds from F	Rhode Island	Capital Plan	Fund project

ct 26 appropriations shall be reappropriated in the ensuing fiscal year and made available for the same 27 purpose. However, any such reappropriations are subject to final approval by the General Assembly 28 as part of the supplemental appropriations act. Any unexpended funds of less than five hundred 29 dollars (\$500) shall be reappropriated at the discretion of the State Budget Officer.

30 SECTION 14. For the Fiscal Year ending June 30, 2022, the Rhode Island Housing and 31 Mortgage Finance Corporation shall provide from its resources such sums as appropriate in support 32 of the Neighborhood Opportunities Program. The Corporation shall provide a report detailing the 33 amount of funding provided to this program, as well as information on the number of units of 34 housing provided as a result to the Director of Administration, the Chair of the Housing Resources

Art1

Commission, the Chair of the House Finance Committee, the Chair of the Senate Finance
 Committee and the State Budget Officer.

SECTION 15. Appropriation of Economic Activity Taxes in accordance with the city of 3 4 Pawtucket downtown redevelopment statute -- There is hereby appropriated for the fiscal year 5 ending June 30, 2022, all State Economic Activity Taxes to be collected pursuant to § 45-33.4-4 of 6 the Rhode Island General Laws, as amended (including, but not limited to, the amount of tax 7 revenues certified by the Commerce Corporation in accordance with § 45-33.4-1(13) of the Rhode 8 Island General Laws), for the purposes of paying debt service on bonds, funding debt service 9 reserves, paying costs of infrastructure improvements in and around the ballpark district, arts 10 district, and the growth center district, funding future debt service on bonds, and funding a 11 redevelopment revolving fund established in accordance with § 45-33-1 of the Rhode Island 12 General Laws.

SECTION 16. The appropriations from federal funds contained in Section 1 shall not be
 construed to mean any federal funds or assistance appropriated, authorized, allocated or
 apportioned to the State of Rhode Island from the State Fiscal Recovery Fund, and the Coronavirus
 Capital Projects Fund enacted pursuant to the American Rescue Plan Act of 2021, P.L. 117-2.

17 SECTION 17. This article shall take effect as of July 1, 2021, except as otherwise provided18 herein.

art.002/6/002/5/002/4/002/3/002/2/002/1

ARTICLE 2

RELATING TO STATE FUNDS

3 SECTION 1. Chapter 16-1 of the General Laws entitled "State Department of Elementary
4 and Secondary Education [See Title 16 Chapter 97 - The Rhode Island Board of Education Act]"
5 is hereby amended by adding thereto the following section:

6

16-1-15. Restricted receipts.

7 There is hereby created a restricted receipt account with the department of elementary and 8 secondary education for the purpose of receiving and expending monies from any other sources, 9 public or private, limited to gifts, grants, and donations. The department shall deposit any revenues 10 from such sources into the restricted receipt account to be used for the same educational purposes 11 that its state appropriation is used, unless otherwise limited by any agreement to use such funds. 12 SECTION 2. Sections 21-28.10-8 and 21-28.10-10 of the General Laws in Chapter 21-13 28.10 entitled "Opioid Stewardship Act" are hereby amended to read as follows: 14 21-28.10-8. Departmental annual reporting.

15 By January of each calendar year, the department of behavioral healthcare, developmental disabilities and hospitals (BHDDH), the executive office of health and human services (EOHHS), 16 17 the department of children, youth and families (DCYF), the Rhode Island department of education 18 (RIDE), the Rhode Island office of veterans' services, the department of corrections (DOC), and 19 the department of labor and training (DLT), and any other department or agency receiving opioid 20 stewardship funds shall report annually to the governor, the speaker of the house, and the senate 21 president which programs in their respective departments were funded using monies from the 22 opioid stewardship fund and the total amount of funds spent on each program.

23

21-28.10-10. Creation of opioid stewardship fund.

(a) There is hereby established, in the custody of the department, a restricted-receipt
account to be known as the "opioid stewardship fund."

(b) Monies in the opioid stewardship fund shall be kept separate and shall not becommingled with any other monies in the custody of the department.

(c) The opioid stewardship fund shall consist of monies appropriated for the purpose of such account, monies transferred to such account pursuant to law, contributions consisting of promises or grants of any money or property of any kind or value, or any other thing of value,

1

2

1 including grants or other financial assistance from any agency of government and monies required

2 by the provisions of this chapter or any other law to be paid into or credited to this account.

3 (d) Monies of the opioid stewardship fund shall be available to provide opioid treatment,
4 recovery, prevention, education services, and other related programs, subject to appropriation by
5 the general assembly.

- 6 (e) The budget officer is hereby authorized to create restricted receipt accounts entitled
- 7 <u>"opioid stewardship fund allocation" in any department or agency of state government wherein</u>

8 monies from the opioid stewardship fund are appropriated by the general assembly for the

9 programmatic purposes set forth in subsection (d) of this section.

SECTION 3. Section 35-1.1-5 of the General Laws in Chapter 35-1.1 entitled, "Office of
Management and Budget" is hereby amended to read as follows:

12

35-1.1-5. Federal grants management.

(a) The controller shall be responsible for managing federal grant applications; providing
administrative assistance to agencies regarding reporting requirements; providing technical
assistance; and approving agreements with federal agencies pursuant to § 35-1-1. The controller
shall:

17 (1) Establish state goals and objectives for maximizing the utilization of federal aid18 programs;

(2) Ensure that the state establishes and maintains statewide federally mandated grants
 management processes and procedures as mandated by the federal Office of Management and
 Budget;

(3) Promulgate procedures and guidelines for all state departments, agencies, advisory
 councils, instrumentalities of the state, and public higher education institutions covering
 applications for federal grants;

(4) Require, upon request, any state department, agency, advisory council, instrumentality
of the state, or public higher education institution receiving a grant of money from the federal
government to submit a report to the controller of expenditures and program measures for the fiscal
period in question;

(5) Ensure state departments and agencies adhere to the requirements of § 42-41-5
 regarding legislative appropriation authority and delegation thereof;

(6) Manage and oversee the disbursements of federal funds in accordance with § 35-6-42;
(7) Prepare the statewide cost allocation plan and serve as the monitoring agency to ensure
that state departments and agencies are working within the guidelines contained in the plan; and

34 (8) Provide technical assistance to agencies to ensure resolution and closure of all single

Art2 RELATING TO STATE FUNDS (Page -2-)

1 state audit findings and recommendations made by the auditor general related to federal funding.

(b) The division of accounts and control shall serve as the state clearinghouse for purposes
of coordinating federal grants, aid, and assistance applied for and/or received by any state
department, agency, advisory council, or instrumentality of the state. Any state department, agency,
advisory council, or instrumentality of the state applying for federal funds, aids, loans, or grants
shall file a summary notification of the intended application with the controller.

7

9

8 funds, a statement shall be filed with the notice of intent or summary of the application stating:

(1) When as a condition to receiving federal funds, the state is required to match the federal

(i) The amount and source of state funds needed for matching purposes;

10 (ii) The length of time the matching funds shall be required;

11 (iii) The growth of the program;

12 (iv) How the program will be evaluated;

(v) What action will be necessary should the federal funds be canceled, curtailed, or
 restricted; and

15

(vi) Any other financial and program management data required by the office or by law.

16 (2) Except as otherwise required, any application submitted by an executive agency for 17 federal funds, aids, loans, or grants which will require state matching or replacement funds at the 18 time of application or at any time in the future, must be approved by the director of the office of 19 management and budget, or his or her designated agents, prior to its filing with the appropriate 20 federal agency. Any application submitted by an executive agency for federal funds, aids, loans, or 21 grants which will require state matching or replacement funds at the time of application or at any 22 time in the future, when funds have not been appropriated for that express purpose, must be approved by the general assembly in accordance with § 42-41-5. When the general assembly is not 23 24 in session, the application shall be reported to and reviewed by the director pursuant to rules and 25 regulations promulgated by the director.

(3) When any federal funds, aids, loans, or grants are received by any state department,
agency, advisory council, or instrumentality of the state, a report of the amount of funds received
shall be filed with the office; and this report shall specify the amount of funds that would reimburse
an agency for indirect costs, as provided for under federal requirements.

(4) The controller may refuse to issue approval for the disbursement of any state or federal
 funds from the state treasury as the result of any application that is not approved as provided by
 this section, or in regard to which the statement or reports required by this section were not filed.

(5) The controller shall be responsible for the orderly administration of this section and for
 issuing the appropriate guidelines and regulations from each source of funds used.

Art2 RELATING TO STATE FUNDS (Page -3-)

1 (c) There is hereby created in the general fund and housed within the budget of the 2 department of administration a restricted receipt account entitled "Grants Management 3 Administration." This account shall be used to fund centralized services relating to managing 4 federal grant applications; providing administrative assistance to agencies regarding reporting 5 requirements; providing technical assistance; approving agreements with federal agencies pursuant to § 35-1-1; and, may include costs associated with the development, implementation, and ongoing 6 7 operation of a grants management information technology system. Every state department and 8 agency, as defined in R.I. General Laws § 35-1-4, which receives federal assistance funds shall set 9 aside an amount of the funds received equal to a percentage as determined annually by the state 10 controller multiplied by federal funds received. The state controller shall determine this rate 11 annually in proportion with budgeted expenditures for uses consistent with the purpose of this 12 subsection within the department of administration. For federal awards in response to the COVID-13 19 pandemic and subsequent stimulus awards, there is hereby authorized an additional assessment 14 which shall be deposited into the restricted receipt account established by this subsection and shall 15 be equal to a uniform percentage of the amount of stimulus and other awards received, excluding 16 Medicaid, associated with the COVID-19 pandemic and subsequent stimulus acts. The state 17 controller shall calculate the rate of this additional assessment, not to exceed one percent (1%) of the total awards received during a fiscal year, in proportion with budgeted expenditures necessary 18 19 to finance the planning, oversight, compliance, and reporting functions within the department of 20 administration related to federal awards issued in response to the pandemic and subsequent stimulus 21 awards in addition to the costs of planning, development, and implementation of a grants 22 management information technology system. For the additional assessment related to federal 23 awards issued in response to the pandemic and subsequent stimulus awards no funds shall be 24 deposited into the restricted receipt account after December 31, 2026. All funds set aside and 25 designated to be used for grants management shall be deposited into the restricted receipt account 26 established in this subsection. 27 Prior to any deposits being made into the restricted receipt account established by this 28 subsection and thereafter prior to the commencement of each fiscal year, the state controller shall 29 provide a report to the director of administration and the chairpersons of the house and senate 30 finance committees that includes the rate and calculation thereof for the following fiscal year. 31 SECTION 4. Section 35-3-20 of the General Laws in Chapter 35-3 entitled "State Budget" 32 is hereby amended to read as follows: 35-3-20. State budget reserve and cash stabilization account. 33 34 (a) There is hereby created within the general fund a state budget reserve and cash

Art2 RELATING TO STATE FUNDS (Page -4-)

stabilization account, which shall be administered by the state controller and which shall be used
solely for the purpose of providing such sums as may be appropriated to fund any unanticipated
general revenue deficit caused by a general revenue shortfall.

(b) In carrying out the provisions of § 35-3-20.1, the state controller shall, based on that
fiscal years estimate, transfer the amounts needed to fund cash requirements during the fiscal year;
the transfer shall be adjusted at the end of the fiscal year in order to conform to the requirements of
§ 35-3-20.1. To the extent that funds so transferred are not needed by the Rhode Island Capital Plan
fund the funds may be loaned back to the general fund.

9 (c) For the fiscal year ending June 30, 2009, whenever the aggregate of the monies and 10 securities held for the credit of the state budget reserve and cash stabilization account exceeds three 11 and four tenths of one percent (3.4%) of total fiscal year resources, consisting of the aggregate of 12 (1) actual revenues from taxes and other departmental general revenue sources; and (2) the general 13 revenue balance available for appropriations at the beginning of the fiscal year; the excess shall be 14 transferred to the Rhode Island Capital Plan fund, to be used solely for capital projects. Provided 15 further, the applicable percentage shall increase by four-tenths of one percent (.4%) for the 16 succeeding four (4) fiscal years as follows:

17	Fiscal year ending June 30, 2010	3.8%
18	Fiscal year ending June 30, 2011	4.2%
19	Fiscal year ending June 30, 2012	4.6%

20Fiscal years ending June 30, 2013, and thereafter5.0%

21 (d) At any time after the third quarter of a fiscal year, that it is indicated that total resources 22 which are defined to be the aggregate of estimated general revenue, general revenue receivables, 23 and available free surplus in the general fund will be less than the estimates upon which current 24 appropriations were based, the general assembly may make appropriations from the state budget 25 reserve and cash stabilization account for the difference between the estimated total resources and 26 the original estimates upon which enacted appropriations were based, but only in the amount of the 27 difference based upon the revenues projected at the latest state revenue estimating conference 28 pursuant to chapter 16 of this title as reported by the chairperson of that conference.

(e) Whenever a transfer has been made pursuant to subsection (d), that transfer shall be
considered as estimated general revenues for the purposes of determining the amount to be
transferred to the Rhode Island Capital Plan fund for the purposes of § 35-3-20.1(b).

(f) Whenever a transfer has been made pursuant to subsection (d), the amount of the transfer
shall be transferred to the Rhode Island Capital Plan fund from funds payable into the general
revenue fund pursuant to § 35-3-20.1 in the fiscal year following the fiscal year in which the transfer

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was made, except that in fiscal year 2010 there shall be no repayment of the amount transferred,
and the repayment shall be made in fiscal year 2011, and except that in fiscal year 2021,
\$90,000,000 of the repayment amount shall be transferred and the remainder of the repayment shall
be made in fiscal year 2022.

5 SECTION 5. Sections 35-4-22.1, 35-4-22.2 and 34-4-27 of the General Laws in Chapter 6 35-4 entitled "State Funds" are hereby amended to read as follows:

7

35-4-22.1. Legislative appropriation authority.

8 (a) No agency shall establish new programs, or expand existing programs, including any 9 program involving nonstate monies, beyond the scope of those already established, recognized, and 10 appropriated for by the general assembly until the program and the availability of money is 11 submitted by the agency to the budget officer for recommendation to the general assembly.

(b) No state agency may make expenditures of any restricted or special revenue funds,
whether these monies are received prior to expenditure or as reimbursement, unless these
expenditures are made pursuant to specific appropriations of the general assembly.

15 (c) To the extent permitted by federal law, any federal funds or assistance appropriated,

16 <u>authorized, allocated or apportioned to the state of Rhode Island shall be subject to appropriation</u>

17 by the general assembly except where otherwise provided in this chapter or chapter 41 of title 42.

18

35-4-22.2. Use of restricted or special revenue funds.

19 (a) Any restricted or special revenue funds which are received by a state agency which is 20 not otherwise appropriated to that state agency by the annual appropriation acts of the regular 21 session of the general assembly are hereby appropriated for that state agency for the purpose set 22 forth, except that no expenditure shall be made from and no obligation shall be incurred against 23 any restricted receipts or special revenue fund which has not been previously appropriated or 24 reappropriated or approved by the governor, the speaker of the house, and the president of the 25 senate, until that authorization has been transmitted to the state agency to make expenditure 26 therefrom.

(b) State agencies desiring the governor's approval to expend or obligate receipts not appropriated or reappropriated by the general assembly in the annual appropriation act or supplemental appropriation act shall forward a request to the state budget officer, who shall forward a copy to the speaker of the house and the president of the senate.

31 (c) Notwithstanding any law to the contrary, the budget officer is hereby authorized to
 32 create restricted receipt accounts within the budget of any state agency to account for the receipt
 33 and expenditure of a multistate settlement administered by the office of the attorney general.
 34 Expenditures from these accounts shall remain subject to the provisions of §§ 35-4-22, 35-4-22.1,

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1 <u>35-4-22.2 and 35-4-27.</u>

2	(d) Upon the directive of the controller, with the consent of the auditor general, the budget
3	officer is hereby authorized to convert any escrow liability accounts that were established before
4	July 1, 2021 to a restricted receipt account.
5	35-4-27. Indirect cost recoveries on restricted receipt accounts.
6	Indirect cost recoveries of ten percent (10%) of cash receipts shall be transferred from all
7	restricted-receipt accounts, to be recorded as general revenues in the general fund. However, there
8	shall be no transfer from cash receipts with restrictions received exclusively: (1) From contributions
9	from non-profit charitable organizations; (2) From the assessment of indirect cost-recovery rates
10	on federal grant funds; or (3) Through transfers from state agencies to the department of
11	administration for the payment of debt service. These indirect cost recoveries shall be applied to all
12	accounts, unless prohibited by federal law or regulation, court order, or court settlement. The
13	following restricted receipt accounts shall not be subject to the provisions of this section:
14	Executive Office of Health and Human Services
15	Organ Transplant Fund
16	HIV Care Grant Drug Rebates
17	Health System Transformation Project
18	Department of Human Services
19	Veterans' home – Restricted account
20	Veterans' home – Resident benefits
21	Pharmaceutical Rebates Account
22	Demand Side Management Grants
23	Veteran's Cemetery Memorial Fund
24	Donations – New Veterans' Home Construction
25	Department of Health
26	Pandemic medications and equipment account
27	Miscellaneous Donations/Grants from Non-Profits
28	State Loan Repayment Match
29	Healtheare Information Technology
30	Department of Behavioral Healthcare, Developmental Disabilities and Hospitals
31	Eleanor Slater non-Medicaid third-party payor account
32	Hospital Medicare Part D Receipts
33	RICLAS Group Home Operations
34	Commission on the Deaf and Hard of Hearing

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	Emergency and public communication access account
2	Department of Environmental Management
3	National heritage revolving fund
4	Environmental response fund II
5	Underground storage tanks registration fees
6	De Coppet Estate Fund
7	Rhode Island Historical Preservation and Heritage Commission
8	Historic preservation revolving loan fund
9	Historic Preservation loan fund – Interest revenue
10	Department of Public Safety
11	E-911 Uniform Emergency Telephone System
12	Forfeited property – Retained
13	Forfeitures – Federal
14	Forfeited property – Gambling
15	Donation – Polygraph and Law Enforcement Training
16	Rhode Island State Firefighter's League Training Account
17	Fire Academy Training Fees Account
18	Attorney General
19	Forfeiture of property
20	Federal forfeitures
21	Attorney General multi-state account
22	Forfeited property – Gambling
23	Department of Administration
24	OER Reconciliation Funding
25	Health Insurance Market Integrity Fund
26	RI Health Benefits Exchange
27	Information Technology Investment Fund
28	Restore and replacement – Insurance coverage
29	Convention Center Authority rental payments
30	Investment Receipts – TANS
31	OPEB System Restricted Receipt Account
32	Car Rental Tax/Surcharge-Warwick Share
33	Grants Management Administration
34	Executive Office of Commerce

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1	Housing Resources Commission Restricted Account
2	Housing Production Fund
3	Department of Revenue
4	DMV Modernization Project
5	Jobs Tax Credit Redemption Fund
6	Legislature
7	Audit of federal assisted programs
8	Department of Children, Youth and Families
9	Children's Trust Accounts – SSI
10	Military Staff
11	RI Military Family Relief Fund
12	RI National Guard Counterdrug Program
13	Treasury
14	Admin. Expenses – State Retirement System
15	Retirement – Treasury Investment Options
16	Defined Contribution – Administration - RR
17	Violent Crimes Compensation – Refunds
18	Treasury Research Fellowship
19	Business Regulation
20	Banking Division Reimbursement Account
21	Office of the Health Insurance Commissioner Reimbursement Account
22	Securities Division Reimbursement Account
23	Commercial Licensing and Racing and Athletics Division Reimbursement Account
24	Insurance Division Reimbursement Account
25	Historic Preservation Tax Credit Account
26	Judiciary
27	Arbitration Fund Restricted Receipt Account
28	Third-Party Grants
29	RI Judiciary Technology Surcharge Account
30	Department of Elementary and Secondary Education
31	Statewide Student Transportation Services Account
32	School for the Deaf Fee-for-Service Account
33	School for the Deaf – School Breakfast and Lunch Program
34	Davies Career and Technical School Local Education Aid Account

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- 1 Davies National School Breakfast & Lunch Program
- 2 School Construction Services
- 3 Office of the Postsecondary Commissioner
- 4 Higher Education and Industry Center
- 5 Department of Labor and Training
- 6 Job Development Fund
- 7 Rhode Island Council on the Arts
- 8 <u>Governors' Portrait Donation Fund</u>

9 SECTION 6. Section 39-18.1-5 of the General Laws in Chapter 39-18.1 entitled
10 "Transportation Investment and Debt Reduction Act of 2011" is hereby amended to read as follows:

11

39-18.1-5. Allocation of funds.

12 (a) The monies in the highway maintenance fund to be directed to the department of 13 transportation pursuant to \$ 39-18.1-4(b)(1) – (b)(3) shall be allocated through the transportation 14 improvement program process to provide the state match for federal transportation funds, in place 15 of borrowing, as approved by the state planning council. The expenditure of moneys in the highway 16 maintenance fund shall only be authorized for projects that appear in the state's transportation 17 improvement program.

18 (b) Provided, however, that beginning with fiscal year 2015 and annually thereafter, the 19 department of transportation will allocate necessary funding to programs that are designed to 20 eliminate structural deficiencies of the state's bridge, road, and maintenance systems and 21 infrastructure.

(c) Provided, further, that beginning July 1, 2015, five percent (5%) of available proceeds
in the Rhode Island highway maintenance account shall be allocated annually to the Rhode Island
public transit authority for operating expenditures.

(d) Provided, further, that from July 1, 2017, and annually thereafter, in addition to the
amount above, the Rhode Island public transit authority shall receive an amount of not less than
five million dollars (\$5,000,000) each fiscal year, except for the period July 1, 2019 through June
<u>30, 2022 during which such amount or a portion thereof may come from federal coronavirus relief</u>
<u>funds</u>.

(e) Provided, further, that the Rhode Island public transit authority shall convene a
coordinating council consisting of those state agencies responsible for meeting the needs of lowincome seniors and persons with disabilities, along with those stakeholders that the authority deems
appropriate and are necessary to inform, develop, and implement the federally required coordinated
public transit human services transportation plan.

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1 The council shall develop, as part of the state's federally required plan, recommendations 2 for the appropriate and sustainable funding of the free-fare program for low-income seniors and 3 persons with disabilities, while maximizing the use of federal funds available to support the 4 transportation needs of this population.

5 The council shall report these recommendations to the governor, the speaker of the house 6 of representatives, and the president of the senate no later than November 1, 2018.

SECTION 7. Chapter 40.1-1 of the General Laws entitled "Department of Behavioral
Healthcare, Developmental Disabilities and Hospitals" is hereby amended by adding thereto the
following section:

10

40.1-1-21. Restricted receipts.

11 There is hereby created a restricted receipt within the department of behavioral healthcare, 12 developmental disability and hospitals for the purposes of receiving and expending monies from 13 any other sources, public or private, limited to gifts, grants and donations. The department shall 14 deposit any revenues into the restricted receipt account to be used for the same purposes that its 15 state appropriation is used, unless otherwise limited by agreement of such funds.

SECTION 8. Section 42-13.1-16 of the General Laws in Chapter 42-13.1 entitled "The
 Rhode Island Bridge Replacement, Reconstruction, and Maintenance Fund" is hereby amended to
 read as follows:

19 <u>42-13.1-16. Reporting.</u>

The department shall submit to the office of management and budget, the house fiscal advisor, and the senate fiscal advisor, a report on the progress of implementation of this chapter within thirty (30) days of the close of each of the fiscal quarters of each year. The reports shall also be posted on the department's website. The reports shall include, at a minimum:

(1) Construction and design contracts of five hundred thousand dollars (\$500,000) or
 greater planned to be advertised in the upcoming federal fiscal year, their value, and expected award
 date;

(2) Construction and design contracts of five hundred thousand dollars (\$500,000) or
greater awarded in the prior federal fiscal year, date of award, value, and expected substantial
completion date;

30 (3) Expected final cost of:

(i) Any construction contracts of five hundred thousand dollars (\$500,000) or greater that
 reached substantial completion in the prior federal fiscal year; and

- 33 (ii) Any design contracts of five hundred thousand dollars (\$500,000) or greater completed
- 34 in the prior federal fiscal year; and

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1 (4) Total number of workers employed through the contract and the number of the workers

2 in that total with a Rhode Island address.

- 3 (5) This report shall also include a current list of all federal, discretionary and any other
- 4 grants that the department has applied for and the status of that application and identify any changes
- 5 from the prior report. For any grants that require a state match, the department shall identify if the

SECTION 9. Section 42-75-13 of the General Laws in Chapter 42-75 entitled "Council on

- 6 <u>source for the state's match is available under currently authorized funding.</u>
- 7

8

- the Arts" is hereby amended to read as follows:
- 9 <u>42-75-13. Appropriation.</u>

(a) During the fiscal year ending June 30, 2008, the state lottery division within the
department of revenue shall conduct, pursuant to chapter 61 of title 42, an instant game to be known
as the "Arts Lottery Game." The net revenue from the first three (3) months of the running of the
"Arts Lottery Game" shall be deposited in a restricted-revenue account to be used by the Rhode
Island Council on the Arts for the support and improvement of the arts in this state. The provisions
of this section shall prevail over any inconsistent provisions of chapter 61 of title 42.

- (b) The Rhode Island Council on the Arts shall deposit any funds received from the Rhode
 Island Foundation an entity exempt from tax under § 501(c)(3) of the Internal Revenue Code in a
 restricted-receipt account to be used for the support and improvement of the arts in this state. All
 such funds deposited shall be exempt from the indirect cost-recovery provisions of § 35-24-27.
 (c) Notwithstanding any law to the contrary, there is hereby created in the general fund of
 the state and housed within the budget of the Rhode Island Council on the Arts a restricted receipt
 account entitled "Governors' Portrait Donation Fund." This account shall be used to record all
- 23 receipts and expenditures of donations made for the purpose of supplementing the state
- 24 appropriation for the purchase of a governor's portrait as set forth in R.I. Gen. Laws 37-8-9, and for
- 25 other related expenses as deemed appropriate by the Rhode Island Council on the Arts.
- 26 SECTION 10. This article shall take effect upon passage.

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ARTICLE 3

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3 SECTION 1. Transferring certain revenue collection functions of the Department of
4 Revenue, Division of Taxation, to the Department of Labor and Training.

5 In any General or Special Law of the State of Rhode Island, and specifically in Title 28, 6 Chapters 39, 40, 42 and 43 of the General Laws of Rhode Island, 1956, as amended, reference to 7 the collection of temporary disability insurance, employment security taxes or job development 8 fund by the division of taxation within the department of administration, now within the department 9 of revenue, shall be construed to refer to the department of labor and training. Any reference to the 10 tax administrator within the department of administration, now within the department of revenue, 11 with reference to the collection of temporary disability insurance, employment security taxes or job 12 development fund revenues shall be construed to refer to the director of the department of labor 13 and training. Any revenue collection duties conferred upon the division of taxation or the tax 14 administrator by said Title 28, Chapters 39, 40, 42 and 43 shall be construed to refer to the 15 department of labor and training or the director of the department of labor and training.

The law revision director of the joint committee on legislative services is authorized and empowered to make appropriate changes in said Title 28, Chapters 39, 40, 42 and 43 and any other section of the laws to carry out the intent of this act.

SECTION 2. Section 27-4.6-3 of the General Laws in Chapter 27-4.6 entitled "Risk-Based
Capital (RBC) for Insurers Act" is hereby amended to read as follows:

21

27-4.6-3. Company action level event.

22 (a) "Company action level event" means any of the following events:

23 (1) The filing of an RBC report by an insurer that indicates that:

(i) The insurer's total adjusted capital is greater than or equal to its regulatory action level
RBC but less than its company action level RBC;

26 (ii) If a life and/or health insurer, the insurer has total adjusted capital that is greater than

27 or equal to its company action level RBC but less than the product of its authorized control level

28 RBC and $\frac{2.5}{3.0}$ and has a negative trend; or

(iii) If a property and casualty insurer, the insurer has total adjusted capital which is greater
 than or equal to its company action level RBC but less than the product of its authorized control

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1 level RBC and 3.0 and triggers the trend test determined in accordance with the trend test 2 calculation included in the property and casualty RBC instructions.

- 3 (2) The notification by the commissioner to the insurer of an adjusted RBC report that 4 indicates an event in subdivision (a)(1), provided the insurer does not challenge the adjusted RBC 5 report under § 27-4.6-7; or
- (3) If, pursuant to § 27-4.6-7, an insurer challenges an adjusted RBC report that indicates 6 the event in subdivision (a)(1), the notification by the commissioner to the insurer that the 7 8 commissioner has, after a hearing, rejected the insurer's challenge.
- 9 (b) In the event of a company action level event, the insurer shall prepare and submit to the 10 commissioner an RBC plan which shall:
- 11 (1) Identify the conditions that contribute to the company action level event;
- 12 (2) Contain proposals of corrective actions that the insurer intends to take and would be 13 expected to result in the elimination of the company action level event;
- 14 (3) Provide projections of the insurer's financial results in the current year and at least the 15 four (4) succeeding years, both in the absence of proposed corrective actions and giving effect to 16 the proposed corrective actions, including projections of statutory operating income, net income, 17 capital and/or surplus. (The projections for both new and renewal business might include separate 18 projections for each major line of business and separately identify each significant income, expense
- 19 and benefit component);
- 20 (4) Identify the key assumptions impacting the insurer's projections and the sensitivity of 21 the projections to the assumptions; and
- 22 (5) Identify the quality of, and problems associated with, the insurer's business, including, 23 but not limited to, its assets, anticipated business growth and associated surplus strain, 24 extraordinary exposure to risk, mix of business and use of reinsurance, if any, in each case.
- 25 (c) The RBC plan shall be submitted:
- 26
- (1) Within forty-five (45) days of the company action level event; or
- (2) If the insurer challenges an adjusted RBC report pursuant to § 27-4.6-7, within forty-27 28 five (45) days after notification to the insurer that the commissioner has, after a hearing, rejected 29 the insurer's challenge.
- 30 (d) Within sixty (60) days after the submission by an insurer of an RBC plan to the 31 commissioner, the commissioner shall notify the insurer whether the RBC plan shall be 32 implemented or is, in the judgment of the commissioner, unsatisfactory. If the commissioner 33 determines that the RBC plan is unsatisfactory, the notification to the insurer shall set forth the 34 reasons for the determination, and may set forth proposed revisions which will render the RBC plan

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satisfactory in the judgment of the commissioner. Upon notification from the commissioner, the
 insurer shall prepare a revised RBC plan, which may incorporate by reference any revisions
 proposed by the commissioner, and shall submit the revised RBC plan to the commissioner:

- (1) Within forty-five (45) days after the notification from the commissioner; or
- 5 (2) If the insurer challenges the notification from the commissioner under § 27-4.6-7,
 6 within forty-five (45) days after a notification to the insurer that the commissioner has, after a
 7 hearing, rejected the insurer's challenge.

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8 (e) In the event of a notification by the commissioner to an insurer that the insurer's RBC 9 plan or revised RBC plan is unsatisfactory, the commissioner may at the commissioner's discretion, 10 subject to the insurer's right to a hearing under § 27-4.6-7, specify in the notification that the 11 notification constitutes a regulatory action level event.

12 (f) Every domestic insurer that files an RBC plan or revised RBC plan with the 13 commissioner shall file a copy of the RBC plan or revised RBC plan with the insurance 14 commissioner in any state in which the insurer is authorized to do business if:

15 (1) That state has an RBC provision substantially similar to § 27-4.6-8(a); and

16 (2) The insurance commissioner of that state has notified the insurer of its request for the
17 filing in writing, in which case the insurer shall file a copy of the RBC plan or revised RBC plan

18 in that state no later than the later of:

- (i) Fifteen (15) days after the receipt of notice to file a copy of its RBC plan or revised
 RBC plan with the state; or
- (ii) The date on which the RBC plan or revised RBC plan is filed under subsections (c) and
 (d) of this section.
- 23 SECTION 3. Section 30-15-9 of the General Laws in Chapter 30-15 entitled "Emergency
 24 Management" is hereby amended to read as follows:
- 25

30-15-9. Governor's responsibilities relating to disaster emergencies.

26 (a) The governor shall be responsible for meeting the dangers to the state and people27 presented by disasters.

(b) A state of emergency shall be declared by executive order or proclamation of the governor if he or she finds a disaster has occurred or that this occurrence, or the threat thereof, is imminent. The state of disaster emergency shall continue until the governor finds that the threat or danger has passed or the disaster has been dealt with to the extent that emergency conditions no longer exist and terminates the state of disaster emergency by executive order or proclamation, but no state of disaster emergency may continue for longer than thirty (30) days unless renewed by the governor. The general assembly, by concurrent resolution, may terminate a state of disaster

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1 emergency at any time. Thereupon, the governor shall issue an executive order or proclamation 2 ending the state of disaster emergency and what actions are being taken to control the emergency 3 and what action the public should take to protect themselves. All executive orders or proclamations 4 issued under this subsection shall indicate the nature of the disaster, the area or areas threatened, 5 and the conditions that have brought it about or that make possible termination of the state of disaster emergency. An executive order or proclamation shall be disseminated promptly by means 6 7 calculated to bring its contents to the attention of the general public and, unless the circumstances 8 attendant upon the disaster prevent or impede, promptly filed with the agency, the secretary of state, 9 and the city and town clerks in the area to which it applies.

10 (c) An executive order or proclamation of a state of disaster emergency, shall activate the 11 state and local disaster emergency plans applicable to the political subdivision or area in question 12 and shall be authority for the deployment and use of any forces to which the plan or plans apply 13 and for the use or distribution of any supplies, equipment, and materials and facilities assembled, 14 stockpiled, or arranged to be made available pursuant to this chapter or any other provision of law 15 relating to disaster emergencies.

(d) During the continuance of any state of disaster emergency the governor is commanderin-chief of the organized and unorganized militia and of all other forces available for emergency
duty. To the greatest extent practicable, the governor shall delegate or assign command authority
by prior arrangement embodied in appropriate executive orders or regulations, but nothing herein
restricts the governor's authority to do so by orders issued at the time of the disaster emergency.

(e) In addition to any other powers conferred upon the governor by law, the governor may
exercise the following powers, <u>subject to the provisions of subsection (g) of this section</u>, limited in
scope and duration as is reasonably necessary for emergency response:

(1) Suspend the provisions of any regulatory statute prescribing the procedures for conduct of state business, or the orders, rules, or regulations of any state agency, if strict compliance with the provisions of any statute, order, rule, or regulation would in any way prevent, hinder, or delay necessary action in coping with the emergency, provided that the suspension of any statute, order, rule or regulation will be limited in duration and scope to the emergency action requiring said suspension;

30 (2) Utilize all available resources of the state government as reasonably necessary to cope
31 with the disaster emergency and of each political subdivision of the state;

32 (3) Transfer the direction, personnel, or functions of state departments and agencies or units
 33 thereof for the purpose of performing or facilitating emergency services;

34

(4) Subject to any applicable requirements for compensation under § 30-15-11,

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commandeer or utilize any private property if the governor finds this necessary to cope with the
 disaster emergency;

3 (5) Direct and compel the evacuation of all or part of the population from any stricken or
4 threatened area within the state if the governor deems this action necessary for the preservation of
5 life or other disaster mitigation, response, or recovery;

6 (6) Prescribe routes, modes of transportation, and destinations in connection with7 evacuation;

(7) Control ingress and egress to and from a high risk area, the movement of persons within

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the area, and the occupancy of premises therein;

10 (8) Suspend or limit the sale, dispensing, or transportation of alcoholic beverages, firearms,
11 explosives, and combustibles;

12 (9) Make provision for the availability and use of temporary emergency shelter;

13 (10) Make and promulgate such rules and regulations as the governor may deem advisable 14 for the assigning, detailing, and making available for duty and use in any city or town of this state 15 any of the personnel, apparatus, or equipment of any police or fire department of any other city or 16 town, or of any volunteer fire company, or of any fire district, and that personnel shall have the 17 same powers, duties, rights, privileges, and immunities as if performing their duties in the city or 18 town in which they normally would be employed, but the personnel shall obey the orders of the 19 police and fire authorities of the city or town to which assigned, detailed, or made available. When 20 assigned, detailed, or made available as aforesaid, the city or town in which the police or firemen 21 shall perform outside duties shall provide them with subsistence or pay them a reasonable 22 allowance therefor, and shall also be liable for any damage to the apparatus or equipment incurred while being so used; provided, however, that a city or town shall be reimbursed by the state out of 23 24 the general fund of the state for all expenses incurred under the foregoing provisions of this 25 subsection;

26 (11) Designate as a special emergency health and sanitation area, any area within the state 27 that has been seriously damaged by disaster, or in which the existence of any military, naval, or air 28 establishment of the United States of America or of any industrial establishment constructed or 29 enlarged for purposes of national defense, has caused an increase in the population of that area to 30 such an extent as to produce unusual problems of health and sanitation. It is the duty of state health 31 authorities and the local code enforcement officials to make and enforce rules and regulations 32 designed to prevent the introduction of any contagious or infectious disease and to safeguard the 33 public health within the area. The governor may promulgate and enforce additional rules and 34 regulations for the protection of the public health within areas as may be necessary;

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1 (12) Whenever, in the governor's opinion, due to a disaster there is liable to be a serious 2 shortage in the supply of food, fuel, clothing, antitoxins, serums, immunizing agents, or any other 3 pharmaceutical agents or medical supplies, or any other necessity of life or defense, and the federal 4 authorities are not adequately dealing with the situation, promulgate such rules and regulations as 5 he or she, from time to time, deems necessary to regulate the sale, purchase, or distribution of those necessities and to prohibit and prevent the wasting, secreting, hiding, or hoarding of, or profiteering 6 7 from, those necessities; additionally, during a declared time of state or national emergency, no 8 person, firm, or corporation shall increase the price of any item it sells or offers for sale at retail 9 immediately prior to the proclamation of emergency or during the proclaimed state of emergency. 10 Nothing in this section shall prohibit the fluctuation in the price of items sold at retail that occurs 11 during the normal course of business. Any person, firm, or corporation who or that violates any 12 provision of this subsection shall be fined not more than one hundred dollars (\$100);

13 (13) Do all other things necessary to effectively cope with disasters in the state not
14 inconsistent with other provisions of law;

15 (14) Adopt and enforce measures to provide for the safe disposal of infectious waste as 16 may be reasonable and necessary for emergency response due to a state disaster emergency. Such 17 measures may include, but are not limited to, the collection, storage, handling, destruction, 18 treatment, transportation, and disposal of infectious waste;

(15) Adopt and enforce measures to provide for the safe disposal of corpses as may be
 reasonable and necessary for emergency response due to a state disaster emergency. Such measures
 may include, but are not limited to, the embalming, burial, cremation, interment, disinterment,
 transportation, and disposal of corpses; and

23 (16) Compel a person to submit to a physical examination and/or testing as necessary to 24 diagnose or treat the person. The medical examination and/or testing may be performed by any 25 qualified person authorized by the department of health and must not be reasonably likely to result 26 in serious harm to the affected individual. The medical examination and/or testing shall be 27 performed immediately upon the order of the department of health without resort to judicial or 28 quasi-judicial authority. If the department of health is uncertain whether a person who refuses to 29 undergo medical examination and/or testing may have been exposed to an infectious disease or 30 otherwise poses a danger to public health, the department of health may subject the individual to 31 isolation or quarantine pursuant to § 23-8-4.

32 (f) Nothing contained herein shall be construed to limit or restrict the power of the general
 33 assembly to appropriate any federal funds received by the state of Rhode Island pursuant to § 35-

34 <u>4-22.1.</u>

1 (g) Powers conferred upon the governor pursuant to the provisions of subsection (e) of this 2 section for disaster emergency response shall not exceed a period of one hundred eighty (180) days 3 from the date of the emergency order or proclamation of a state of disaster emergency, unless and 4 until the general assembly extends the one hundred eighty (180) day period by concurrent 5 resolution. SECTION 4. Section 31-3-33 of the General Laws in Chapter 31-3 entitled "Registration 6 7 of Vehicles" is hereby amended to read as follows: 8 31-3-33. Renewal of registration. 9 (a) Application for renewal of a vehicle registration shall be made by the owner on a proper 10 application form and by payment of the registration fee for the vehicle as provided by law. 11 (b) The division of motor vehicles may receive applications for renewal of registration, and 12 may grant the renewal and issue new registration cards and plates at any time prior to expiration of 13 registration. 14 (c) Upon renewal, owners will be issued a renewal sticker for each registration plate that 15 shall be placed at the bottom, right-hand corner of the plate. Owners shall be issued a new, fully 16 reflective plate beginning June 1, 2020 July 1, 2022, at the time of initial registration or at the 17 renewal of an existing registration and reissuance will be conducted no less than every ten (10) 18 years. 19 (d) No later than August 15, 2019, and every fifteenth day of the month through August 20 15, 2020, the division of motor vehicles shall submit a report outlining the previous month's activity 21 and progress towards the implementation of the license plate reissuance to the chairpersons of the 22 house finance and senate finance committee, the house fiscal advisor, and the senate fiscal advisor. 23 The report shall include, but not be limited to, information on the status of project plans, obstacles 24 to implementation, and actions taken toward implementation. 25 SECTION 5. Section 31-10.3-20 of the General Laws in Chapter 31-10.3 entitled "Rhode Island Uniform Commercial Driver's License Act" is hereby amended to read as follows: 26 27 31-10.3-20. Fees.

- The fees charged for commercial licenses, endorsements, classifications, restrictions, and
 required examinations shall be as follows:
- 30 (1) For every commercial operator's first license, thirty dollars (\$30.00);
- 31 (2) For every renewal of a commercial license, fifty dollars (\$50.00);
- 32 (3) For every duplicate commercial license, ten dollars (\$10.00);
- 33 (4) For every duplicate commercial learner's permit, ten dollars (\$10.00);
- 34 (5) For any change of:

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1	(i) Classification(s), ten dollars (\$10.00);
2	(ii) Endorsement(s), ten dollars (\$10.00);
3	(iii) Restriction(s), ten dollars (\$10.00);
4	(6) For every written and/or oral examination, ten dollars (\$10.00);
5	(7) The Rhode Island board of education shall establish fees that are deemed necessary for
6	the Community College of Rhode Island For the division of motor vehicles to administer the skill
7	test, not to exceed one hundred dollars (\$100);
8	(8) For every commercial learner's permit, sixty dollars (\$60.00).
9	(9) [Deleted by P.L. 2019, ch. 49, § 1 and P.L. 2019, ch. 75, § 1].
10	SECTION 6. Sections 35-17-1 and 35-17-3 of the General Laws in Chapter 35-17 entitled
11	"Medical Assistance and Public Assistance Caseload Estimating Conference" are hereby amended
12	to read as follows:
13	35-17-1. Purpose and membership.
14	(a) In order to provide for a more stable and accurate method of financial planning and
15	budgeting, it is hereby declared the intention of the legislature that there be a procedure for the
16	determination of official estimates of anticipated medical assistance expenditures and public
17	assistance caseloads, upon which the executive budget shall be based and for which appropriations
18	by the general assembly shall be made.
19	(b) The state budget officer, the house fiscal advisor, and the senate fiscal advisor shall
20	meet in regularly scheduled caseload estimating conferences (C.E.C.). These conferences shall be
21	open public meetings.
22	(c) The chairpersonship of each regularly scheduled C.E.C. will rotate among the state
23	budget officer, the house fiscal advisor, and the senate fiscal advisor, hereinafter referred to as
24	principals. The schedule shall be arranged so that no chairperson shall preside over two (2)
25	successive regularly scheduled conferences on the same subject.
26	(d) Representatives of all state agencies are to participate in all conferences for which their
27	input is germane.
28	(e) The department of human services shall provide monthly data to the members of the
29	caseload estimating conference by the fifteenth day of the following month. Monthly data shall
30	include, but is not limited to, actual caseloads and expenditures for the following case assistance
31	programs: Rhode Island Works, SSI state program, general public assistance, and child care. For
32	individuals eligible to receive the payment under § 40-6-27(a)(1)(vi), the report shall include the
33	number of individuals enrolled in a managed care plan receiving long-term care services and
34	supports and the number receiving fee-for-service benefits. The executive office of health and

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1 human services shall report relevant caseload information and expenditures for the following 2 medical assistance categories: hospitals, long-term care, managed care, pharmacy, and other 3 medical services. In the category of managed care, caseload information and expenditures for the 4 following populations shall be separately identified and reported: children with disabilities, 5 children in foster care, and children receiving adoption assistance and RIte Share enrollees under § 40-8.4-12(j). The information shall include the number of Medicaid recipients whose estate may 6 7 be subject to a recovery and the anticipated amount to be collected from those subject to recovery, 8 the total recoveries collected each month and number of estates attached to the collections and each 9 month, the number of open cases and the number of cases that have been open longer than three 10 months.

11 (f) Beginning July 1, 2021, behavioral healthcare, developmental disabilities and hospitals 12 shall provide monthly data to the members of the caseload estimating conference by the fifteenth 13 day of the following month. Monthly data shall include, but is not limited to, actual caseloads and 14 expenditures for the private community developmental disabilities services program. Information 15 shall include, but not be limited to the number of cases and expenditures from the beginning of the 16 fiscal year at the beginning of the prior month; cases added and denied during the prior month; expenditures made; and the number of cases and expenditures at the end of the month. The 17 information concerning cases added and denied shall include summary information and profiles of 18 19 the service-demand request for eligible adults meeting the state statutory definition for services 20 from the division of developmental disabilities as determined by the division, including age, 21 Medicaid eligibility and agency selection placement with a list of the services provided, and the 22 reasons for the determinations of ineligibility for those cases denied. The department shall also 23 provide, monthly, the number of individuals in a shared-living arrangement and how many may 24 have returned to a 24-hour residential placement in that month. The department shall also report, monthly, any and all information for the consent decree that has been submitted to the federal court 25 26 as well as the number of unduplicated individuals employed; the place of employment; and the 27 number of hours working. The department shall also provide the amount of funding allocated to 28 individuals above the assigned resource levels; the number of individuals and the assigned resource 29 level; and the reasons for the approved additional resources. The department will also collect and 30 forward to the house fiscal advisor, the senate fiscal advisor, and the state budget officer, by 31 November 1 of each year, the annual cost reports for each community-based provider for the prior 32 fiscal year. The department shall also provide the amount of patient liability to be collected and the amount collected as well as the number of individuals who have a financial obligation. The 33 34 department will also provide a list of community-based providers awarded an advanced payment

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1 for residential and community-based day programs; the address for each property; and the value of 2 the advancement. If the property is sold, the department must report the final sale, including the 3 purchaser, the value of the sale, and the name of the agency that operated the facility. If residential 4 property, the department must provide the number of individuals residing in the home at the time 5 of sale and identify the type of residential placement that the individual(s) will be moving to. The department must report if the property will continue to be licensed as a residential facility. The 6 7 department will also report any newly licensed twenty-four hour (24) group home; the provider 8 operating the facility; and the number of individuals residing in the facility. Prior to December 1, 9 2017, the department will provide the authorizations for community-based and day programs, 10 including the unique number of individuals eligible to receive the services and at the end of each 11 month the unique number of individuals who participated in the programs and claims processed. 12 (g) The executive office of health and human services shall provide direct assistance to the 13 department of behavioral healthcare, developmental disabilities and hospitals to facilitate

14 compliance with the monthly reporting requirements in addition to preparation for the caseload

- 15 <u>estimating conferences.</u>
- 16

35-17-3. Additional meetings.

(a) Any time during a fiscal year that any principal feels that the recommendations of the
caseload estimating conference are no longer valid, then that principal, with the appropriate notice,
may convene a caseload estimating conference. The principal requesting the additional conference
shall be the chairperson for that conference.

(b) If at any time during a fiscal year any participant feels that the recommendations of the caseload estimating conference are no longer valid with the respect to their caseload sources then that participant has a duty to and shall notify each of the principals. The director of the department of human services secretary of the executive office of health and human services shall review the concerns of each participant and determine whether the problems are sufficient to request an additional conference.

- SECTION 7. Section 36-4-16.4 of the General Laws in Chapter 36-4 entitled "Merit
 System" is hereby amended to read as follows:
- 29 <u>36-4-16.4. Salaries of directors.</u>

(a) In the month of March of each year, the department of administration shall conduct a
public hearing to determine salaries to be paid to directors of all state executive departments for the
following year, at which hearing all persons shall have the opportunity to provide testimony, orally
and in writing. In determining these salaries, the department of administration will take into
consideration the duties and responsibilities of the aforenamed officers, as well as such related

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factors as salaries paid executive positions in other states and levels of government, and in comparable positions anywhere that require similar skills, experience, or training. Consideration shall also be given to the amounts of salary adjustments made for other state employees during the period that pay for directors was set last.

5 (b) Each salary determined by the department of administration will be in a flat amount, 6 exclusive of such other monetary provisions as longevity, educational incentive awards, or other 7 fringe additives accorded other state employees under provisions of law, and for which directors 8 are eligible and entitled.

9 (c) In no event will the department of administration lower the salaries of existing directors
10 during their term of office.

(d) Upon determination by the department of administration, the proposed salaries of directors will be referred to the general assembly by the last day in April of that year to go into effect thirty (30) days hence, unless rejected by formal action of the house and the senate acting concurrently within that time.

(e) Notwithstanding the provisions of this section, for 2015 only, the time period for the department of administration to conduct the public hearing shall be extended to July and the proposed salaries shall be referred to the general assembly by August 30. The salaries may take effect before next year, but all other provisions of this section shall apply.

(f) Notwithstanding the provisions of this section or any law to the contrary, for 2017 only,
the salaries of the director of the department of transportation, the secretary of health and human
services, and the director of administration shall be determined by the governor.

22 (g) Notwithstanding the provisions of this section or any law to the contrary, for 2021 only,

the salary of the director of the department of children, youth and families shall be determined by
 the governor.

25 SECTION 8. Section 40.1-22-39 of the General Laws in Chapter 40.1-22 entitled 26 "Developmental Disabilities" is hereby is hereby repealed.

27 <u>40.1-22-39. Monthly reports to the general assembly.</u>

On or before the fifteenth (15th) day of each month, the department shall provide a monthly report of monthly caseload and expenditure data, pertaining to eligible, developmentally disabled adults, to the chairperson of the house finance committee; the chairperson of the senate finance committee; the house fiscal advisor; the senate fiscal advisor; and the state budget officer. The monthly report shall be in such form, and in such number of copies, and with such explanation as the house and senate fiscal advisors may require. It shall include, but is not limited to, the number of cases and expenditures from the beginning of the fiscal year at the beginning of the prior month;

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1 cases added and denied during the prior month; expenditures made; and the number of cases and
2 expenditures at the end of the month. The information concerning cases added and denied shall
3 include summary information and profiles of the service-demand request for eligible adults meeting
4 the state statutory definition for services from the division of developmental disabilities as
5 determined by the division, including age, Medicaid eligibility and agency selection placement with
6 a list of the services provided, and the reasons for the determinations of ineligibility for those cases
7 denied.

8 The department shall also provide, monthly, the number of individuals in a shared living 9 arrangement and how many may have returned to a 24-hour residential placement in that month. 10 The department shall also report, monthly, any and all information for the consent decree that has 11 been submitted to the federal court as well as the number of unduplicated individuals employed; 12 the place of employment; and the number of hours working.

- 13 The department shall also provide the amount of funding allocated to individuals above the 14 assigned resource levels; the number of individuals and the assigned resource level; and the reasons 15 for the approved additional resources. The department will also collect and forward to the house 16 fiscal advisor, the senate fiscal advisor, and the state budget officer, by November 1 of each year, 17 the annual cost reports for each community based provider for the prior fiscal year.
- 18 The department shall also provide the amount of patient liability to be collected and the
 19 amount collected as well as the number of individuals who have a financial obligation.

20 The department will also provide a list of community-based providers awarded an 21 advanced payment for residential and community based day programs; the address for each 22 property; and the value of the advancement. If the property is sold, the department must report the 23 final sale, including the purchaser, the value of the sale, and the name of the agency that operated 24 the facility. If residential property, the department must provide the number of individuals residing 25 in the home at the time of sale and identify the type of residential placement that the individual(s) 26 will be moving to. The department must report if the property will continue to be licensed as a 27 residential facility. The department will also report any newly licensed twenty-four hour (24) group

- 28 home; the provider operating the facility; and the number of individuals residing in the facility.
- Prior to December 1, 2017, the department will provide the authorizations for community based and day programs, including the unique number of individuals eligible to receive the services
 and at the end of each month the unique number of individuals who participated in the programs
 and claims processed.
- 33 SECTION 9. Section 42-6-3 of the General Laws in Chapter 42-6 entitled "Departments
 34 of State Government" is hereby amended to read as follows:

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1

42-6-3. Appointment of directors.

2 (a) At the January session following his or her election to office, the governor shall appoint a director of administration, a director of revenue, a director of public safety, a director of human 3 4 services, a director of behavioral healthcare, developmental disabilities and hospitals, a director of 5 transportation, a director of business regulation, a director of labor and training, a director of environmental management, a director for children, youth and families, and a director of 6 7 corrections. The governor shall, in all cases of appointment of a director while the senate is in 8 session, notify the senate of his or her appointment and the senate shall, within sixty (60) legislative 9 days after receipt of the notice, act upon the appointment. If the senate shall, within sixty (60) 10 legislative days, vote to disapprove the appointment, it shall so notify the governor, who shall 11 forthwith appoint and notify the senate of the appointment of a different person as director and so 12 on in like manner until the senate shall fail to so vote disapproval of the governor's appointment. If 13 the senate shall fail, for sixty (60) legislative days next after notice, to act upon any appointment of 14 which it has been notified by the governor, the person so appointed shall be the director. The 15 governor may withdraw any appointment of which he or she has given notice to the senate, at any 16 time within sixty (60) legislative days thereafter and before action has been taken thereon by the 17 senate.

(b) Except as expressly provided in § 42-6-9, and except that the governor may enter into
a contract of employment for a director of the department of children, youth and families for a
period of time up to three (3) years, no director of any department shall be appointed or employed
pursuant to any contract of employment for a period of time greater than the remainder of the
governor's current term of office. Any contract entered into in violation of this section after July 1,
1994, is hereby declared null and void.

- SECTION 10. Section 42-9-19 of the General Laws in Chapter 42-9 entitled "Department
 of Attorney General" is hereby amended to read as follows:
- 26

42-9-19. Acceptance of settlements -- Attorney General settlement restricted account.

(a) The attorney general is hereby authorized and empowered to accept in the name of the
state any settlement resulting from a multi-state initiative. The attorney general is additionally
authorized and empowered to recover attorney's fees and costs that shall be considered settlement
proceeds for purposes of this chapter.

(b) The settlement proceeds shall be transferred to the general treasurer for deposit in the
general fund. The general treasurer shall transfer ten percent (10%) of such proceeds, up to sixtyfive thousand dollars (\$65,000) seven hundred and fifty thousand dollars (\$750,000) in any fiscal
year, to the "attorney general multi-state initiative restricted-receipt account." Any balance in

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1 excess of seven hundred and fifty thousand dollars (\$750,000) remaining in the account at the end 2 of the fiscal year shall be transferred back to the state general fund. The restricted-receipt account 3 shall be used to pay for staff, operational, and litigation costs associated with multi-state initiatives. 4 (c) Expenditure of all settlement proceeds accepted by the attorney general as part of the 5 terms of the relevant master settlement agreement shall be subject to the annual appropriation process and approval by the general assembly. 6 7 SECTION 11. Section 42-11-2 of the General Laws in Chapter 42-11 entitled "Department 8 of Administration" is hereby amended to read as follows: 9 42-11-2. Powers and duties of department. 10 The department of administration shall have the following powers and duties: 11 (1) To prepare a budget for the several state departments and agencies, subject to the 12 direction and supervision of the governor; 13 (2) To administer the budget for all state departments and agencies, except as specifically exempted by law; 14 15 (3) To devise, formulate, promulgate, supervise, and control accounting systems, 16 procedures, and methods for the state departments and agencies, conforming to such accounting 17 standards and methods as are prescribed by law; 18 (4) To purchase or to contract for the supplies, materials, articles, equipment, printing, and 19 services needed by state departments and agencies, except as specifically exempted by law; 20 (5) To prescribe standard specifications for those purchases and contracts and to enforce 21 compliance with specifications; 22 (6) To supervise and control the advertising for bids and awards for state purchases; 23 (7) To regulate the requisitioning and storage of purchased items, the disposal of surplus 24 and salvage, and the transfer to or between state departments and agencies of needed supplies, 25 equipment, and materials; 26 (8) To maintain, equip, and keep in repair the state house, state office building, and other 27 premises owned or rented by the state for the use of any department or agency, excepting those 28 buildings, the control of which is vested by law in some other agency; 29 (9) To provide for the periodic inspection, appraisal or inventory of all state buildings and 30 property, real and personal; 31 (10) To require reports from state agencies on the buildings and property in their custody; 32 (11) To issue regulations to govern the protection and custody of the property of the state; 33 (12) To assign office and storage space and to rent and lease land and buildings for the use 34 of the several state departments and agencies in the manner provided by law;

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1 (13) To control and supervise the acquisition, operation, maintenance, repair, and 2 replacement of state-owned motor vehicles by state agencies;

3 (14) To maintain and operate central duplicating and mailing service for the several state
4 departments and agencies;

5 (15) To furnish the several departments and agencies of the state with other essential office
6 services;

7 (16) To survey and examine the administration and operation of the state departments and 8 agencies, submitting to the governor proposals to secure greater administrative efficiency and 9 economy, to minimize the duplication of activities, and to effect a better organization and 10 consolidation of functions among state agencies;

(17) To operate a merit system of personnel administration and personnel management as
defined in § 36-3-3 in connection with the conditions of employment in all state departments and
agencies within the classified service;

(18) To assign or reassign, with the approval of the governor, any functions, duties, or
powers established by this chapter to any agency within the department;

16 (19) To establish, maintain, and operate a data processing center or centers, approve the 17 acquisition and use of electronic data processing services by state agencies, furnish staff assistance 18 in methods, systems and programming work to other state agencies, and arrange for and effect the 19 centralization and consolidation of punch card and electronic data processing equipment and 20 services in order to obtain maximum utilization and efficiency;

(20) To devise, formulate, promulgate, supervise, and control a comprehensive and coordinated statewide information system designed to improve the data base used in the management of public resources, to consult and advise with other state departments and agencies and municipalities to assure appropriate and full participation in this system, and to encourage the participation of the various municipalities of this state in this system by providing technical or other appropriate assistance toward establishing, within those municipalities, compatible information systems in order to obtain the maximum effectiveness in the management of public resources;

(i) The comprehensive and coordinated statewide information system may include a Rhode
Island geographic information system of land-related economic, physical, cultural and natural
resources.

(ii) In order to ensure the continuity of the maintenance and functions of the geographic
information system, the general assembly may annually appropriate such sum as it may deem
necessary to the department of administration for its support.

34

(21) To administer a statewide planning program including planning assistance to the state

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1	departments and agencies;
2	(22) To administer a statewide program of photography and photographic services;
3	(23) To negotiate with public or private educational institutions in the state, in cooperation
4	with the department of health, for state support of medical education;
5	(24) To promote the expansion of markets for recovered material and to maximize their
6	return to productive economic use through the purchase of materials and supplies with recycled
7	content by the state of Rhode Island to the fullest extent practically feasible;
8	(25) To approve costs as provided in § 23-19-32; and
9	(26) To provide all necessary civil service tests for child protective investigators and social
10	workers at least twice each year and to maintain an adequate hiring list for these positions at all
11	times.
12	(27)(a) To prepare a report every three (3) months by all current property leases or rentals
13	by any state or quasi-state agency to include the following information:
14	(i) Name of lessor;
15	(ii) Description of the lease (purpose, physical characteristics, and location);
16	(iii) Cost of the lease;
17	(iv) Amount paid to date;
18	(v) Date initiated;
19	(vi) Date covered by the lease.
20	(b) To prepare a report by October 31, 2014, of all current property owned by the state or
21	leased by any state agency or quasi-state agency to include the following information:
22	(i) Total square feet for each building or leased space;
23	(ii) Total square feet for each building and space utilized as office space currently;
24	(iii) Location of each building or leased space;
25	(iv) Ratio and listing of buildings owned by the state versus leased;
26	(v) Total occupancy costs which shall include capital expenses, provided a proxy should
27	be provided to compare properties that are owned versus leased by showing capital expenses on
28	owned properties as a per square foot cost at industry depreciation rates;
29	(vi) Expiration dates of leases;
30	(vii) Number of workstations per building or leased space;
31	(viii) Total square feet divided by number of workstations;
32	(ix) Total number of vacant workstations;
33	(x) Percentage of vacant workstations versus total workstations available;
34	(xi) Date when an action is required by the state to renew or terminate a lease;

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1	(xii) Strategic plan for leases commencing or expiring by June 30, 2016;
2	(xiii) Map of all state buildings which provides: cost per square foot to maintain, total
3	number of square feet, total operating cost, date each lease expires, number of persons per building
4	and total number of vacant seats per building; and
5	(xiv) Industry benchmark report which shall include total operating cost by full-time
6	equivalent employee, total operating cost by square foot and total square feet divided by full-time
7	equivalent employee.
8	(28) To prepare a report to the chairs of the House and Senate finance committees by
9	December 15, 2021, and each year thereafter of all current property owned by the state or leased
10	by any state agency or quasi-state agency to include the following information:
11	(i) Total square feet for each building or leased space;
12	(ii) Total square feet for each building and space utilized as office space currently;
13	(iii) Location of each building or leased space;
14	(iv) Ratio and listing of buildings owned by the state versus leased;
15	(v) Total occupancy costs which shall include capital expenses, provided a proxy should
16	be provided to compare properties that are owned versus leased by showing capital expenses on
17	owned properties as a per square foot cost at industry depreciation rates;
18	(vi) Expiration dates of leases;
19	(vii) Number of workstations per building or leased space;
20	(viii) Total square feet divided by number of workstations;
21	(ix) Total number of vacant workstations;
22	(x) Percentage of vacant workstations versus total workstations available;
23	(xi) Date when an action is required by the state to renew or terminate a lease;
24	(xii) Strategic plan for leases commencing or expiring by June 30, 2022, and each
25	subsequent year thereafter;
26	(xiii) Map of all state buildings which provides: cost per square foot to maintain, total
27	number of square feet, total operating cost, date each lease expires, number of persons per building
28	and total number of vacant seats per building; and
29	(xiv) Industry benchmark report which shall include total operating cost by full-time
30	equivalent employee, total operating cost by square foot and total square feet divided by full-time
31	equivalent employee.
32	(28)(29) To provide by December 31, 1995, the availability of automatic direct deposit to
33	any recipient of a state benefit payment, provided that the agency responsible for making that
34	payment generates one thousand (1,000) or more such payments each month.

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1 (29)(30) To encourage municipalities, school districts, and quasi-public agencies to 2 achieve cost savings in health insurance, purchasing, or energy usage by participating in state 3 contracts, or by entering into collaborative agreements with other municipalities, districts, or 4 agencies. To assist in determining whether the benefit levels including employee cost sharing and 5 unit costs of such benefits and costs are excessive relative to other municipalities, districts, or quasi-6 public agencies as compared with state benefit levels and costs.

- 7
- 8

42.

9 SECTION 12. Section 42-142-8 of the General Laws in Chapter 42-14 entitled
10 "Department of Revenue" is hereby amended to read as follows:

(30)(31) To administer a health benefit exchange in accordance with chapter 157 of title

11

42-142-8. Collection unit.

(a) The director of the department of revenue is authorized to establish within the
department of revenue a collection unit for the purpose of assisting state agencies in the collection
of debts owed to the state. The director of the department of revenue may enter into an agreement
with any state agency(ies) to collect any delinquent debt owed to the state.

(b) The director of the department of revenue shall initially implement a pilot program to
assist the agency(ies) with the collection of delinquent debts owed to the state.

18 (c) The agency(ies) participating in the pilot program shall refer to the collection unit 19 within the department of revenue, debts owed by delinquent debtors where the nature and amount 20 of the debt owed has been determined and reconciled by the agency and the debt is: (i) The subject 21 of a written settlement agreement and/or written waiver agreement and the delinquent debtor has 22 failed to timely make payments under the agreement and/or waiver and is therefore in violation of 23 the terms of the agreement and/or waiver; (ii) The subject of a final administrative order or decision 24 and the debtor has not timely appealed the order or decision; (iii) The subject of final order, 25 judgment, or decision of a court of competent jurisdiction and the debtor has not timely appealed 26 the order, judgment, or decision. The collection unit shall not accept a referral of any delinquent 27 debt unless it satisfies subsection (c)(i), (ii) or (iii) of this section.

(d) Any agency(ies) entering into an agreement with the department of revenue to allow
the collection unit of the department to collect a delinquent debt owed to the state shall indemnify
the department of revenue against injuries, actions, liabilities, or proceedings arising from the
collection, or attempted collection, by the collection unit of the debt owed to the state.

(e) Before referring a delinquent debt to the collection unit, the agency(ies) must notify the
debtor of its intention to submit the debt to the collection unit for collection and of the debtor's right
to appeal that decision not less than thirty (30) days before the debt is submitted to the collection

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1 unit.

2 (f) At such time as the agency(ies) refers a delinquent debt to the collection unit, the agency 3 shall: (i) Represent in writing to the collection unit that it has complied with all applicable state and 4 federal laws and regulations relating to the collection of the debt, including, but not limited to, the 5 requirement to provide the debtor with the notice of referral to the collection unit under subsection (e) of this section; and (ii) Provide the collection unit personnel with all relevant supporting 6 7 documentation including, but not limited to, notices, invoices, ledgers, correspondence, 8 agreements, waivers, decisions, orders, and judgments necessary for the collection unit to attempt to collect the delinquent debt. 9

(g) The referring agency(ies) shall assist the collection unit by providing any and all
information, expertise, and resources deemed necessary by the collection unit to collect the
delinquent debts referred to the collection unit.

(h) Upon receipt of a referral of a delinquent debt from an agency(ies), the amount of the
delinquent debt shall accrue interest at the annual rate of interest established by law for the referring
agency or at an annual rate of 13%, whichever percentage rate is greater.

(i) Upon receipt of a referral of a delinquent debt from the agency(ies), the collection unit
 shall provide the delinquent debtor with a "Notice of Referral" advising the debtor that:

18 (1) The delinquent debt has been referred to the collection unit for collection; and

(2) The collection unit will initiate, in its names, any action that is available under state law
for the collection of the delinquent debt, including, but not limited to, referring the debt to a third
party to initiate said action.

(j) Upon receipt of a referral of a delinquent debt from an agency(ies), the director of the department of revenue shall have the authority to institute, in its name, any action(s) that are available under state law for collection of the delinquent debt and interest, penalties, and/or fees thereon and to, with or without suit, settle the delinquent debt.

(k) In exercising its authority under this section, the collection unit shall comply with all
 state and federal laws and regulations related to the collection of debts.

28 (1) Upon the receipt of payment from a delinquent debtor, whether a full or partial payment,

29 the collection unit shall disburse/deposit the proceeds of the payment in the following order:

30 (1) To the appropriate federal account to reimburse the federal government funds owed to

31 them by the state from funds recovered; and

32 (2) The balance of the amount collected to the referring agency.

33 (m) Notwithstanding the above, the establishment of a collection unit within the department

of revenue shall be contingent upon an annual appropriation by the general assembly of amounts

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necessary and sufficient to cover the costs and expenses to establish, maintain, and operate the
collection unit including, but not limited to, computer hardware and software, maintenance of the
computer system to manage the system, and personnel to perform work within the collection unit.
(n) In addition to the implementation of any pilot program, the collection unit shall comply

5 with the provisions of this section in the collection of all delinquent debts under this section.

6 (o) The department of revenue is authorized to promulgate rules and regulations as it deems
7 appropriate with respect to the collection unit.

8 (p) By September 1, 2020, and each year thereafter, the department of revenue shall 9 specifically assess the performance, effectiveness, and revenue impact of the collections associated 10 with this section, including, but not limited to, the total amounts referred and collected by each 11 referring agency during the previous state fiscal year to the governor, the speaker of the house of 12 representatives, the president of the senate, the chairpersons of the house and senate finance 13 committees, and the house and senate fiscal advisors. The report shall include the net revenue 14 impact to the state of the collection unit.

- (q) No operations of a collection unit pursuant to this chapter shall be authorized after June
 30, 2021 2023.
- SECTION 13. Section 5 shall take effect on January 1, 2022. The remaining shall takeeffect upon passage.

ARTICLE 4

1

2 RELATING TO DEBT MANAGEMENT ACT JOINT RESOLUTIONS 3 SECTION 1. This article shall serve as joint resolution required pursuant to Rhode Island 4 General Law § 35-18-1, et seq. and propose legislation related thereto. 5 SECTION 2. Section 2, Article 6 of Chapter 88 of the 2019 Public Laws is hereby amended 6 to read as follows: 7 Section 2. University of Rhode Island – Memorial Union – Auxiliary Enterprise 8 WHEREAS, The University of Rhode Island Board of Trustees and the University have a 9 long-standing commitment to the overall development of their students; and 10 WHEREAS, The University believes that the Memorial Union celebrates life at URI and 11 acts as the nexus for campus community, student engagement, and leadership. It is an intersection 12 connecting the academic core of campus and the campus's socially active residential community. 13 The student union at the University is an integral part of the educational ecosystem that shapes the 14 student experience; and 15 WHEREAS, The University of Rhode Island Board of Trustees and the University of 16 Rhode Island are proposing a project which involves the renovation and expansion of the Memorial 17 Union to meet the ongoing and growing needs of their students; and 18 WHEREAS, The University engaged a qualified architectural firm, which has completed 19 an advanced planning study for this renovation; and 20 WHEREAS, The Rhode Island Public Corporation Debt Management Act requires the 21 General Assembly to provide its consent to the issuance or incurring by the State of Rhode Island and other public agencies of certain obligations including financing guarantees or other agreements; 22 23 and 24 WHEREAS, The design and construction associated with this work of an Auxiliary 25 Enterprise building will be financed through the Rhode Island Health and Educational Building Corporation (RIHEBC) revenue bonds, with an expected term of thirty (30) years; and 26 27 WHEREAS, The total project costs associated with completion of the project through the 28 proposed financing method is fifty one million five hundred thousand dollars (\$51,500,000) fifty-29 seven million six hundred thousand dollars (\$57,600,000), including cost of issuance. Debt service 30 payments would be supported by revenues derived from student fees and retail lease payments

1 associated with the respective Auxiliary Enterprises of the University of Rhode Island occupying 2 said facility. Total debt service on the bonds is not expected to exceed one hundred twelve million three hundred thousand dollars (\$112,300,000) one hundred twenty-five million six hundred 3 4 thousand dollars (\$125,600,000) in the aggregate based on an average interest rate of six (6%) 5 percent; now, therefore be it 6 RESOLVED, That this General Assembly hereby approves financing in an amount not to exceed fifty-one million five hundred thousand dollars (\$51,500,000) fifty-seven million six 7 8 hundred thousand dollars (\$57,600,000) for the Memorial Union project for the auxiliary enterprise 9 building on the University of Rhode Island campus; and be it further 10 RESOLVED, That this Joint Resolution shall take effect upon passage. 11 SECTION 3. Section 4, Article 6 of Chapter 88 of the 2019 Public Laws is hereby amended 12 to read as follows: 13 Section 4. University of Rhode Island – Combined Health & Counseling Center – Auxiliary 14 Enterprise 15 WHEREAS, The University of Rhode Island Board of Trustees and the University have a 16 long-standing commitment to the health and wellness of their students; and 17 WHEREAS, The University has a desire to create a one-stop center to address the physical, 18 emotional, and mental health of its students; and 19 WHEREAS, The University of Rhode Island Board of Trustees and the University of 20 Rhode Island are proposing a project which involves the construction of a new Combined Health 21 & Counseling Center to meet the ongoing and growing health needs of their students; and 22 WHEREAS, The University engaged a qualified architectural firm, which has completed 23 an advanced planning study for this new building; and 24 WHEREAS, The Rhode Island Public Corporation Debt Management Act requires the 25 General Assembly to provide its consent to the issuance or incurring by the State of Rhode Island 26 and other public agencies of certain obligations including financing guarantees or other agreements; 27 and 28 WHEREAS, The design and construction associated with this work of an Auxiliary 29 Enterprise building will be financed through the Rhode Island Health and Educational Building 30 Corporation (RIHEBC) revenue bonds, with an expected term of thirty (30) years; and 31 WHEREAS, The total project costs associated with completion of the project through the 32 proposed financing method is twenty-six nine hundred thousand dollars (\$26,900,000) twenty-nine 33 million dollars (\$29,000,000), including cost of issuance. Debt service payments would be 34 supported by revenues derived from student fees associated with the respective Auxiliary

Art4

RELATING TO DEBT MANAGEMENT ACT JOINT RESOLUTIONS

- 1 Enterprises of the University of Rhode Island occupying said facility. Total debt service on the
- 2 bonds is not expected to exceed fifty eight million seven hundred thousand dollars (\$58,700,000)
- 3 <u>sixty-three million three hundred thousand dollars (\$63,300,000)</u> in the aggregate based on an
- 4 average interest rate of six (6%) percent; now, therefore be it
- 5 RESOLVED, That this General Assembly hereby approves financing in an amount not to
- 6 exceed twenty-six million nine hundred thousand dollars (\$26,900,000) twenty-nine million dollars
- 7 (\$29,000,000) for the Combined Health & Counseling Center project for the auxiliary enterprise
- 8 building on the University of Rhode Island campus; and be it further
- 9 RESOLVED, That, this Joint Resolution shall take effect upon passage.
- 10 SECTION 4. This article shall take effect upon passage.
- 11

ARTICLE 5

RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021

3 SECTION 1. Subject to the conditions, limitations and restrictions hereinafter contained in 4 this act, the following general revenue amounts are hereby appropriated out of any money in the treasury not otherwise appropriated to be expended during the fiscal year ending June 30, 2021. 5 The amounts identified for federal funds and restricted receipts shall be made available pursuant to 6 7 section 35-4-22 and Chapter 41 of Title 42 of the Rhode Island General Laws. For the purposes and functions hereinafter mentioned, the state controller is hereby authorized and directed to draw 8 9 his or her orders upon the general treasurer for the payment of such sums or such portions thereof 10 as may be required from time to time upon receipt by him or her of properly authenticated vouchers.

11		FY 2021	FY 2021	FY 2021
12		Enacted	Change	FINAL
13	Administration			
14	Central Management			
15	General Revenues	2,067,998	(80,997)	1,987,001
16	Federal Funds – COVID Relief	0	213,553,820	213,553,820
17	Federal Funds – Higher Education			
18	COVID Relief	0	23,000,000	23,000,000
19	Total – Central Management	2,067,998	236,472,823	238,540,821
20	Legal Services			
21	General Revenues	1,978,549	406,564	2,385,113
22	Federal Funds	0	958,068	958,068
23	Total – Legal Services	1,978,549	1,364,632	3,343,181
24	Accounts and Control			
25	General Revenues	4,795,477	14,447	4,809,924
26	Federal Funds	347,447	(291,927)	55,520
27	Restricted Receipts –			
28	OPEB Board Administration	140,188	147	140,335
29	Total – Accounts and Control	5,283,112	(277,333)	5,005,779
30	Office of Management and Budget			

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1	General Revenues	7,479,409	(49,559)	7,429,850
2	Federal Funds	726,930	193,980	920,910
3	Restricted Receipts	300,000	0	300,000
4	Other Funds	1,037,546	3,603	1,041,149
5	Total – Office of Management and Budget	9,543,885	148,024	9,691,909
6	Purchasing			
7	General Revenues	3,591,871	85,339	3,677,210
8	Federal Funds	0	41,998	41,998
9	Restricted Receipts	462,694	(462,694)	0
10	Other Funds	472,160	1,556	473,716
11	Total – Purchasing	4,526,725	(333,801)	4,192,924
12	Human Resources			
13	General Revenues	389,142	0	389,142
14	Personnel Appeal Board			
15	General Revenues	125,298	205	125,503
16	Information Technology			
17	General Revenues	1,297,418	820	1,298,238
18	Federal Funds	114,000	6,212,758	6,326,758
19	Restricted Receipts	9,549,630	2,863,834	12,413,464
20	Total – Information Technology	10,961,048	9,077,412	20,038,460
21	Library and Information Services			
22	General Revenues	1,605,551	3,258	1,608,809
23	Federal Funds	1,368,914	8,671	1,377,585
24	Restricted Receipts	1,404	0	1,404
25	Total – Library and Information Services	2,975,869	11,929	2,987,798
26	Planning			
27	General Revenues	1,114,044	2,327	1,116,371
28	Federal Funds	15,448	103,597	119,045
29	Other Funds			
30	Air Quality Modeling	24,000	0	24,000
31	Federal Highway – PL Systems Planning	4,431,153	5,755	4,436,908
32	State Transportation Planning Match	473,224	1,645	474,869
33	FTA – Metro Planning Grant	1,234,666	1,368	1,236,034
34	Total – Planning	7,292,535	114,692	7,407,227

Art5

1 General

1	General			
2	General Revenues			
3	3 Miscellaneous Grants/Payments 130,000 0		130,000	
4	Provided that this amount be allocated	to City Year fo	r the Whole Scho	ool Whole Child
5	Program, which provides individualized support	to at-risk studer	nts.	
6	Torts – Courts/Awards	400,000	500,000	900,000
7	Resource Sharing and State Library Aid	9,562,072	0	9,562,072
8	Library Construction Aid	2,702,866	0	2,702,866
9	Transfer to RICAP Fund	0	120,000,000	120,000,000
10	Federal Funds	0	87,363,916	87,363,916
11	Restricted Receipts	700,000	300,000	1,000,000
12	Other Funds			
13	Rhode Island Capital Plan Funds			
14	Security Measures State Buildings	588,719	0	588,719
15	Energy Efficiency Improvements	194,329	0	194,329
16	Cranston Street Armory	37,396	0	37,396
17	State House Renovations	1,510,696	0	1,510,696
18	Zambarano Utilities & Infrastructure	250,000	0	250,000
19	Replacement of Fueling Tanks	300,000	0	300,000
20	Environmental Compliance	182,280	0	182,280
21	Big River Management Area	100,000	0	100,000
22	Shepard Building	500,000	0	500,000
23	Pastore Center Water Tanks & Pipes	100,000	7,681	107,681
24	RI Convention Center Authority	1,000,000	0	1,000,000
25	Pastore Center Power Plant Rehabilitation	932,503	0	932,503
26	Accessibility – Facility Renovations	1,057,621	0	1,057,621
27	DoIT Enterprise Operations Center	736,171	(186,171)	550,000
28	BHDDH MH & Community Facilities –			
29	Asset Protection	200,000	0	200,000
30	BHDDH DD & Community Homes –			
31	Fire Code	1,619,702	0	1,619,702
32	BHDDH DD Regional Facilities –			
33	Asset Protection	300,000	0	300,000
34	BHDDH Substance Abuse			

Art5

RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021

1	Asset Protection	250,000	0	250,000	
2	BHDDH Group Homes	500,000	0	500,000	
3	Statewide Facility Master Plan	165,138	0	165,138	
4	Cannon Building	500,000	(170,000)	330,000	
5	Old State House	1,519,815	0	1,519,815	
6	State Office Building	100,000	0	100,000	
7	State Office Reorganization & Relocation	1,952,765	0	1,952,765	
8	William Powers Building	760,587	0	760,587	
9	Pastore Center Utilities Upgrade	436,760	0	436,760	
10	Pastore Center Non-Medical Buildings				
11	Asset Protection	2,314,240	0	2,314,240	
12	Washington County Government Center	427,467	(100,000)	327,467	
13	Chapin Health Laboratory	550,000	0	550,000	
14	Medical Examiner New Facility	500,000	0	500,000	
15	Total – General	33,081,127	207,715,426	240,796,553	
16	Debt Service Payments				
17	General Revenues	156,032,478	(242,000)	155,790,478	
	8 Out of the general revenue appropriations for debt service, the General Treasurer is				
18	Out of the general revenue appropria	tions for debt s	ervice, the Gene	eral Treasurer is	
18 19	Out of the general revenue appropria authorized to make payments for the I-195 Re				
		edevelopment Di	strict Commissio		
19	authorized to make payments for the I-195 Re	edevelopment Di	strict Commissio		
19 20	authorized to make payments for the I-195 Re maximum debt service due in accordance with t	edevelopment Di	strict Commissio		
19 20 21	authorized to make payments for the I-195 Re maximum debt service due in accordance with t Other Funds	development Di he loan agreeme	strict Commissio nt.	n loan up to the	
19 20 21 22	authorized to make payments for the I-195 Re maximum debt service due in accordance with t Other Funds Transportation Debt Service	development Di he loan agreeme 37,878,336	strict Commission nt. 0	n loan up to the 37,878,336	
 19 20 21 22 23 	authorized to make payments for the I-195 Re maximum debt service due in accordance with t Other Funds Transportation Debt Service Investment Receipts – Bond Funds	edevelopment Di he loan agreeme 37,878,336 100,000	strict Commissio nt. 0 0	n loan up to the 37,878,336 100,000	
 19 20 21 22 23 24 	authorized to make payments for the I-195 Re maximum debt service due in accordance with t Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments	edevelopment Di he loan agreeme 37,878,336 100,000	strict Commissio nt. 0 0	n loan up to the 37,878,336 100,000	
 19 20 21 22 23 24 25 	authorized to make payments for the I-195 Re maximum debt service due in accordance with t Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i>	edevelopment Di he loan agreemer 37,878,336 100,000 194,010,814	strict Commission nt. 0 0 (242,000)	n loan up to the 37,878,336 100,000 193,768,814	
 19 20 21 22 23 24 25 26 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with t Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds	edevelopment Di he loan agreeme 37,878,336 100,000 194,010,814 979,019	strict Commission nt. 0 (242,000) 27,686	n loan up to the 37,878,336 100,000 193,768,814 1,006,705	
 19 20 21 22 23 24 25 26 27 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds Restricted Receipts	edevelopment Di he loan agreeme 37,878,336 100,000 194,010,814 979,019 7,504,706	strict Commission nt. 0 (242,000) 27,686 5,414,071	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777	
 19 20 21 22 23 24 25 26 27 28 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds Restricted Receipts Total – Energy Resources	edevelopment Di he loan agreeme 37,878,336 100,000 194,010,814 979,019 7,504,706	strict Commission nt. 0 (242,000) 27,686 5,414,071	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777	
 19 20 21 22 23 24 25 26 27 28 29 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds Restricted Receipts Total – Energy Resources <i>Rhode Island Health Benefits Exchange</i>	edevelopment Di he loan agreemen 37,878,336 100,000 194,010,814 979,019 7,504,706 8,483,725	strict Commission nt. 0 (242,000) 27,686 5,414,071 5,441,757	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777 13,925,482	
 19 20 21 22 23 24 25 26 27 28 29 30 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds Restricted Receipts Total – Energy Resources <i>Rhode Island Health Benefits Exchange</i> General Revenues	edevelopment Di he loan agreemen 37,878,336 100,000 194,010,814 979,019 7,504,706 8,483,725 1,369,654	strict Commission nt. 0 (242,000) 27,686 5,414,071 5,441,757 (69,509)	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777 13,925,482 1,300,145	
 19 20 21 22 23 24 25 26 27 28 29 30 31 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments Energy Resources Federal Funds Restricted Receipts Total – Energy Resources Rhode Island Health Benefits Exchange General Revenues Federal Funds	edevelopment Di he loan agreemen 37,878,336 100,000 194,010,814 979,019 7,504,706 8,483,725 1,369,654 0	strict Commission nt. 0 (242,000) 27,686 5,414,071 5,441,757 (69,509) 362,962	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777 13,925,482 1,300,145 362,962	
 19 20 21 22 23 24 25 26 27 28 29 30 31 32 	authorized to make payments for the I-195 Ref maximum debt service due in accordance with the Other Funds Transportation Debt Service Investment Receipts – Bond Funds Total - Debt Service Payments <i>Energy Resources</i> Federal Funds Restricted Receipts Total – Energy Resources <i>Rhode Island Health Benefits Exchange</i> General Revenues Federal Funds Restricted Receipts	edevelopment Di he loan agreemen 37,878,336 100,000 194,010,814 979,019 7,504,706 8,483,725 1,369,654 0	strict Commission nt. 0 (242,000) 27,686 5,414,071 5,441,757 (69,509) 362,962	n loan up to the 37,878,336 100,000 193,768,814 1,006,705 12,918,777 13,925,482 1,300,145 362,962	

1	Office of Diversity, Equity & Opportunity			
2	General Revenues	1,335,476	(245,929)	1,089,547
3	Other Funds	112,354	419	112,773
4	Total – Office of Diversity, Equity & Opportunity	y 1,447,830	(245,510)	1,202,320
5	Capital Asset Management and Maintenance			
6	General Revenues	10,870,867	2,013,277	12,884,144
7	Federal Funds	0	45,221,362	45,221,362
8	Total – Capital Asset Management			
9	and Maintenance	10,870,867	47,234,639	58,105,506
10	Statewide			
11	General Revenues			
12	General Revenues	16,165,000	(16,165,000)	0
13	Provided that this amount is for expenses	in support of tl	he state's COVID	-19 response after
14	December 30, 2020.			
15	Transfer to RICAP	90,000,000	(90,000,000)	0
16	LIUNA Settlement Liability	0	4,688,688	4,688,688
17	Federal Funds – COVID Relief	202,300,000	(202,300,000)	0
18	Total – Statewide	308,465,000	(303,776,312)	4,688,688
19	Grand Total – Administration	623,048,316	194,706,581	817,754,897
20	Business Regulation			
21	Central Management			
22	General Revenues	4,536,139	(1,812,901)	2,723,238
23	Federal Funds	891,638	(539,874)	351,764
24	Total – Central Management	5,427,777	(2,352,775)	3,075,002
25	Banking Regulation			
26	General Revenues	1,573,138	(14,759)	1,558,379
27	Restricted Receipts	75,000	(10,000)	65,000
28	Total – Banking Regulation	1,648,138	(24,759)	1,623,379
29	Securities Regulation			
30	General Revenues	691,321	(56,111)	635,210
31	Federal Funds	206,735	(195,432)	11,303
32	Restricted Receipts	15,000	(15,000)	0
33	Total – Securities Regulation	913,056	(266,543)	646,513
24	Lugunguos Desculation			

34 Insurance Regulation

1	General Revenues	3,650,200	(53,572)	3,596,628
2	Federal Funds	222,500	(12,805)	209,695
3	Restricted Receipts	2,009,654	(385,300)	1,624,354
4	Total – Insurance Regulation	5,882,354	(451,677)	5,430,677
5	Office of the Health Insurance Commissioner			
6	General Revenues	1,710,887	(31,203)	1,679,684
7	Federal Funds	132,983	175,044	308,027
8	Restricted Receipts	491,623	(8,266)	483,357
9	Total – Office of the Health Insurance			
10	Commissioner	2,335,493	135,575	2,471,068
11	Board of Accountancy			
12	General Revenues	5,883	0	5,883
13	Commercial Licensing and Gaming and Athletics	s Licensing		
14	General Revenues	1,008,450	(52,823)	955,627
15	Federal Funds	0	135,256	135,256
16	Restricted Receipts	942,967	(141,647)	801,320
17	Total – Commercial Licensing and Gaming			
18	and Athletics Licensing	1,951,417	(59,214)	1,892,203
19	Building, Design and Fire Professionals			
20	General Revenues	4,293,409	(386,347)	3,907,062
21	Federal Funds	1,788,608	1,212,940	3,001,548
22	Restricted Receipts	2,021,893	(295,159)	1,726,734
23	Other Funds			
24	Quonset Development Corporation	73,013	266	73,279
25	Total – Building, Design and Fire Professionals	8,176,923	531,700	8,708,623
26	Office of Cannabis Regulation			
27	Restricted Receipts	1,413,888	(417,008)	996,880
28	Grand Total – Business Regulation	27,754,929	(2,904,701)	24,850,228
29	Executive Office of Commerce			
30	Central Management			
31	General Revenues	1,695,037	(15,864)	1,679,173
32	Federal Funds	262,882	1,842,930	2,105,812
33	Total – Central Management	1,957,919	1,827,066	3,784,985
34	Housing and Community Development			

34 Housing and Community Development

1	General Revenues	1,380,228	(478,873)	901,355
2	Federal Funds	28,389,425	7,515,565	35,904,990
3	Restricted Receipts	4,741,765	160	4,741,925
4	Total – Housing and Community Development	34,511,418	7,036,852	41,548,270
5	Quasi–Public Appropriations			
6	General Revenues			
7	Rhode Island Commerce Corporation	7,431,022	0	7,431,022
8	Airport Impact Aid	1,010,036	0	1,010,036
9	Sixty percent (60%) of the first \$1,000	,000 appropriated	for airport impa	ct aid shall be

10 distributed to each airport serving more than 1,000,000 passengers based upon its percentage of the 11 total passengers served by all airports serving more than 1,000,000 passengers. Forty percent (40%) 12 of the first \$1,000,000 shall be distributed based on the share of landings during calendar year 2020 13 at North Central Airport, Newport-Middletown Airport, Block Island Airport, Quonset Airport, 14 T.F. Green Airport and Westerly Airport, respectively. The Rhode Island Commerce Corporation 15 shall make an impact payment to the towns or cities in which the airport is located based on this calculation. Each community upon which any part of the above airports is located shall receive at 16 17 least \$25,000.

18	STAC Research Alliance	900,000	0	900,000
19	Innovative Matching Grants/Internships	1,000,000	0	1,000,000
20	I-195 Redevelopment District Commission	761,000	0	761,000
21	Polaris Manufacturing Grant	350,000	0	350,000
22	East Providence Waterfront Commission	50,000	0	50,000
23	Urban Ventures	140,000	0	140,000
24	Chafee Center at Bryant	476,200	0	476,200
25	Other Funds			
26	Rhode Island Capital Plan Funds			
27	I-195 Redevelopment District Commission	510,000	0	510,000
28	Quonset Piers	0	20,274	20,274
29	Total – Quasi–Public Appropriations	12,628,258	20,274	12,648,532
30	Economic Development Initiatives Fund			
31	General Revenues			
32	Innovation Initiative	1,000,000	0	1,000,000
33	Rebuild RI Tax Credit Fund	22,500,000	15,000,000	37,500,000
34	Competitive Cluster Grants	100,000	0	100,000
		. ~		

Art5

1	Small Business Promotion	300,000	0	300,000
2	Federal Funds	141,300,000	(107,300,000)	34,000,000
3	Total – Economic Development Initiatives			
4	Fund	165,200,000	(92,300,000)	72,900,000
5	Commerce Programs			
6	General Revenues			
7	Wavemaker Fellowship	1,200,000	0	1,200,000
8	Grand Total – Executive Office of Commerce	215,497,595	(83,415,808)	132,081,787
9	Labor and Training			
10	Central Management			
11	General Revenues	676,044	230	676,274
12	Restricted Receipts	196,424	503	196,927
13	Total – Central Management	872,468	733	873,201
14	Workforce Development Services			
15	General Revenues	704,517	0	704,517
16	Federal Funds	26,230,098	2,060,814	28,290,912
17	Other Funds	39,780	51,121	90,901
18	Total – Workforce Development Services	26,974,395	2,111,935	29,086,330
19	Workforce Regulation and Safety			
20	General Revenues	3,103,811	9,164	3,112,975
21	Income Support			
22	General Revenues	3,811,689	589	3,812,278
23	Federal Funds	973,404,843	1,255,994,304	2,229,399,147
24	Restricted Receipts	1,593,110	148,948	1,742,058
25	Other Funds			
26	Temporary Disability Insurance Fund	212,141,303	31,257	212,172,560
27	Employment Security Fund	415,075,000	8,100,000	423,175,000
28	Total – Income Support	1,606,025,945	1,264,275,098	2,870,301,043
29	Injured Workers Services			
30	Restricted Receipts	11,960,047	18,113	11,978,160
31	Labor Relations Board			
32	General Revenues	374,938	777	375,715
33	Governor's Workforce Board			
34	General Revenues	5,450,000	0	5,450,000

1	Federal Funds – COVID Relief	45,000,000	(18,261,052)	26,738,948
2	Restricted Receipts	12,585,898	225,831	12,811,729
3	Total – Governor's Workforce Board	63,035,898	(18,035,221)	45,000,677
4	Grand Total – Labor and Training	1,712,347,502	1,248,380,599	2,960,728,101
5	Department of Revenue			
6	Director of Revenue			
7	General Revenues	1,945,426	3,722	1,949,148
8	Federal Funds	0	46,725,000	46,725,000
9	Total – Director of Revenue	1,945,426	46,728,722	48,674,148
10	Office of Revenue Analysis			
11	General Revenues	884,638	(70,197)	814,441
12	Lottery Division			
13	Federal Funds	0	56,000	56,000
14	Other Funds	434,567,292	43,092	434,610,384
15	Total – Lottery Division	434,567,292	99,092	434,666,384
16	Municipal Finance			
17	General Revenues	2,125,828	(170,463)	1,955,365
18	Taxation			
19	General Revenues	31,562,909	(1,014,642)	30,548,267
20	Federal Funds	1,495,230	4,919	1,500,149
21	Restricted Receipts	1,164,098	559,875	1,723,973
22	Other Funds			
23	Motor Fuel Tax Evasion	155,000	0	155,000
24	Temporary Disability Insurance Fund	1,103,794	3,623	1,107,417
25	Total – Taxation	35,481,031	(446,225)	35,034,806
26	Registry of Motor Vehicles			
27	General Revenues	29,288,918	811,132	30,100,050
28	Federal Funds	85,174	763,254	848,428
29	Restricted Receipts	3,400,411	(1,707,824)	1,692,587
30	Total – Registry of Motor Vehicles	32,774,503	(133,438)	32,641,065
31	State Aid			
32	General Revenues			
33	Distressed Communities Relief Fund	2,580,095	0	2,580,095
34	Payment in Lieu of Tax Exempt			
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RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -9-)

1	Properties	19,203,960	0	19,203,960
2	Motor Vehicle Excise Tax Payments	37,728,006	0	37,728,006
3	Property Revaluation Program	1,118,610	(115,502)	1,003,108

Provided that notwithstanding any other provision of law, the appropriations for Distressed
Communities Relief Fund, Payment in Lieu of Tax Exempt Properties, and Motor Vehicle Excise
Tax Payments shall not exceed the amounts set forth above and shall be allocated to municipalities
in the amounts already distributed as of the date of budget enactment, except for fire districts and
the Town of Exeter which shall receive an allocation pursuant to chapter 44-34.1.

9

Federal Funds – Municipal COVID

10 Relief Fund 136,528,120 0 136,528,120 11 Provided that \$11,250,000 of this funding shall be distributed among cities and towns in 12 proportion with allocations calculated pursuant to Rhode Island General law, Section 45-13-12; 13 and further provided that \$31,500,000 of this funding shall be distributed among cities and towns 14 in proportion with allocations calculated pursuant to Rhode Island General law, Section 45-13-5.1; and further provided that \$86,028,120 of this funding shall be distributed among cities and towns, 15 16 with the exception of the Town of Exeter, in proportion with allocations calculated pursuant to 17 Rhode Island General Law, Sections 44-34-11 and 44-34.1-1; and further provided that \$7,750,000 18 of this funding shall be distributed to cities and towns in proportion with the population of each 19 according to the latest available federal census data; and further provided that the director of the 20 department of revenue shall distribute no less than \$35,000,000 to cities and towns within ten days 21 of the enactment of this legislation, and may distribute the remainder of the funds provided under 22 this section in one or more installments; and further provided that cities and towns shall comply 23 with all federal laws, regulations, and terms and conditions applicable to the receipt of federal funds 24 under this section, along with any other terms and conditions that the director of the department of 25 revenue may require; and further provided that the director of the department of revenue may 26 require cities and towns to submit, at such times as the director may require, all appropriate, and necessary documentation to document that the use of funds provided under this section complies 27 28 with all applicable federal laws and regulations governing the use of funds under Section 5001 of 29 the Coronavirus Aid, Relief, and Economic Security Act, P.L. 116-136; and further provided if the 30 federal government recoups funds from the state based on a city or town's use of the funds provided 31 hereunder in a manner not in compliance with Section 5001 of the Coronavirus Aid, Relief, and 32 Economic Security Act, P.L. 116-136, the director of the department of revenue may recover any 33 such recouped amount from such city or town through an assessment or a reduction from any 34 periodic local aid distributions to such city or town made under titles 44 or 45 of the general laws.

1	Restricted Receipts	995,120	0	995,120
2	Total – State Aid	198,153,911	(115,502)	198,038,409
3	Collections	, ,-	()	, , ,
4	General Revenues	790,223	(150,587)	639,636
5	Grand Total – Revenue	706,722,852	45,741,402	752,464,254
6	Legislature			
7	General Revenues	44,283,435	125,210	44,408,645
8	Federal Funds	0	762,422	762,422
9	Restricted Receipts	1,839,182	5,797	1,844,979
10	Grand Total – Legislature	46,122,617	893,429	47,016,046
11	Lieutenant Governor			
12	General Revenues	1,145,231	(136,313)	1,008,918
13	Secretary of State			
14	Administration			
15	General Revenues	4,013,532	10,516	4,024,048
16	Corporations			
17	General Revenues	2,470,702	6,543	2,477,245
18	State Archives			
19	General Revenues	185,503	0	185,503
20	Restricted Receipts	517,410	1,213	518,623
21	Total – State Archives	702,913	1,213	704,126
22	Elections and Civics			
23	General Revenues	4,416,794	1,654	4,418,448
24	Federal Funds	2,266,929	1,420,845	3,687,774
25	Restricted Receipts	0	632,189	632,189
26	Total – Elections and Civics	6,683,723	2,054,688	8,738,411
27	State Library			
28	General Revenues	716,227	1,771	717,998
29	Provided that \$125,000 be	allocated to support the	Rhode Island	Historical Society
30	pursuant to Rhode Island General La	aw, Section 29-2-1 and \$1	8,000 be alloca	ited to support the
31	Newport Historical Society, pursuant	to Rhode Island General I	Law, Section 29-	-2-2.
32	Office of Public Information			
33	General Revenues	486,575	985	487,560
34	Receipted Receipts	25,000	0	25,000
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1	Total – Office of Public Information	511,575	985	512,560
2	Grand Total – Secretary of State	15,098,672	2,075,716	17,174,388
3	General Treasurer			
4	Treasury			
5	General Revenues	2,589,787	6,541	2,596,328
6	Federal Funds	320,096	1,034	321,130
7	Other Funds			
8	Temporary Disability Insurance Fund	281,131	794	281,925
9	Tuition Savings Program – Administration	359,293	100,874	460,167
10	Total – Treasury	3,550,307	109,243	3,659,550
11	State Retirement System			
12	Restricted Receipts			
13	Admin Expenses –			
14	State Retirement System	10,937,624	17,087	10,954,711
15	Retirement –			
16	Treasury Investment Operations	1,910,622	6,276	1,916,898
17	Defined Contribution – Administration	204,427	623	205,050
18	Total – State Retirement System	13,052,673	23,986	13,076,659
19	Unclaimed Property			
20	Restricted Receipts	25,763,925	5,404,750	31,168,675
21	Crime Victim Compensation Program			
22	General Revenues	396,407	436,091	832,498
23	Provided that all unexpended or unencum	bered balances a	as of June 30, 2	2021 are hereby
24	reappropriated to fiscal year 2022.			
25	Federal Funds	690,946	0	690,946
26	Restricted Receipts	1,062,984	(459,536)	603,448
27	Total – Crime Victim Compensation Program	2,150,337	(23,445)	2,126,892
28	Grand Total – General Treasurer	44,517,242	5,514,534	50,031,776
29	Board of Elections			
30	General Revenues	3,972,921	(494,984)	3,477,937
31	Rhode Island Ethics Commission			
32	General Revenues	1,900,201	5,741	1,905,942
33	Office of Governor			
34	General Revenues			
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1	General Revenues	6,309,015	21,470	6,330,485
2	Contingency Fund	150,000	0	150,000
3	Grand Total – Office of Governor	6,459,015	21,470	6,480,485
4	Commission for Human Rights			
5	General Revenues	1,348,206	3,975	1,352,181
6	Federal Funds	521,166	1,189	522,355
7	Grand Total – Commission for Human Rights	1,869,372	5,164	1,874,536
8	Public Utilities Commission			
9	Federal Funds	175,174	69,992	245,166
10	Restricted Receipts	11,573,219	24,262	11,597,481
11	Grand Total – Public Utilities Commission	11,748,393	94,254	11,842,647
12	Office of Health and Human Services			
13	Central Management			
14	General Revenues	34,993,486	796,888	35,790,374
15	Federal Funds	130,188,039	34,237,796	164,425,835
16	Restricted Receipts	16,244,858	172,400	16,417,258
17	Total – Central Management	181,426,383	35,207,084	216,633,467
18	Medical Assistance			
19	General Revenues			
20	Managed Care	311,503,420	(8,134,921)	303,368,499
21	Hospitals	88,768,531	(1,335,634)	87,432,897
22	Nursing Facilities	150,808,350	(19,801,110)	131,007,240
23	Home and Community Based Services	35,313,250	277,850	35,591,100
24	Other Services	113,184,882	(264,010)	112,920,872
25	Pharmacy	65,644,661	(7,584,651)	58,060,010
26	Rhody Health	174,728,606	(7,403,456)	167,325,150
27	Federal Funds			
28	Managed Care	483,696,580	15,734,921	499,431,501
29	Hospitals	109,469,985	(401,847)	109,068,138
30	Nursing Facilities	212,191,650	(15,598,890)	196,592,760
31	Home and Community Based Services	49,686,750	3,722,150	53,408,900
32	Other Services	656,124,478	21,139,650	677,264,128
33	Pharmacy	(712,710)	652,700	(60,010)
34	Rhody Health	243,471,394	5,403,456	248,874,850

1	Other Programs	85,122,580	(18,426,615)	66,695,965
2	Restricted Receipts	23,215,000	0	23,215,000
3	Total – Medical Assistance	2,802,217,407	(32,040,407)	2,770,197,000
4	Grand Total – Office of Health and Human			
5	Services	2,983,643,790	3,186,677	2,986,830,467
6	Children, Youth, and Families			
7	Central Management			
8	General Revenues	9,096,210	256,292	9,352,502
9	Federal Funds	3,712,151	8,769	3,720,920
10	Total – Central Management	12,808,361	265,061	13,073,422
11	Children's Behavioral Health Services			
12	General Revenues	5,958,010	(45,243)	5,912,767
13	Federal Funds	6,343,659	91,389	6,435,048
14	Total – Children's Behavioral Health Services	12,301,669	46,146	12,347,815
15	Juvenile Correctional Services			
16	General Revenues	18,395,931	(1,433,851)	16,962,080
17	Federal Funds	2,810,243	1,320,563	4,130,806
18	Restricted Receipts	22,384	0	22,384
19	Other Funds			
20	Rhode Island Capital Plan Funds			
21	Training School Asset Protection	470,614	0	470,614
22	Training School Generators	717,000	0	717,000
23	Total – Juvenile Correctional Services	22,416,172	(113,288)	22,302,884
24	Child Welfare			
25	General Revenues	137,210,160	(364,335)	136,845,825
26	Federal Funds	67,728,308	2,922,737	70,651,045
27	Restricted Receipts	2,057,253	400,448	2,457,701
28	Total – Child Welfare	206,995,721	2,958,850	209,954,571
29	Higher Education Incentive Grants			
30	General Revenues	200,000	0	200,000
31	Grand Total – Children, Youth, and Families	254,721,923	3,156,769	257,878,692
32	Health			
33	Central Management			
34	General Revenues	3,177,680	1,970	3,179,650
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RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -14-)

1	Federal Funds	4,883,956	306,708	5,190,664
2	Restricted Receipts	7,536,135	11,346,802	18,882,937
3	Provided that the disbursement of a	any indirect cost reco	veries on federal	l grants budgeted
4	in this line item that are derived from grant	s authorized under T	he Coronavirus	Preparedness and
5	Response Supplemental Appropriations A	act (P.L. 116-123);	The Families F	First Coronavirus
6	Response Act (P.L. 116-127); The Coronav	irus Aid, Relief, and	Economic Secur	ity Act (P.L. 116-
7	136); The Paycheck Protection Program an	d Health Care Enhan	ncement Act (P.	L. 116-139); and
8	the Consolidated Appropriations Act, 2021	(P.L. 116-260), are	hereby subject t	o the review and
9	prior approval of the Director of Manageme	ent and Budget. No	obligation or exp	enditure of these
10	funds shall take place without such approva	<u>1.</u>		
11	Total – Central Management	15,597,771	11,655,480	27,253,251
12	Community Health and Equity			
13	General Revenues	527,012	1,516	528,528
14	Federal Funds	68,079,218	3,473,860	71,553,078
15	Restricted Receipts	37,524,771	209,787	37,734,558
16	Total – Community Health and Equity	106,131,001	3,685,163	109,816,164
17	Environmental Health			
18	General Revenues	2,649,946	17,306	2,667,252
19	Federal Funds	10,506,420	(129,711)	10,376,709
20	Restricted Receipts	427,916	501,390	929,306
21	Total – Environmental Health	13,584,282	388,985	13,973,267
22	Health Laboratories and Medical Examiner			
23	General Revenues	8,329,909	26,853	8,356,762
24	Federal Funds	8,032,796	(1,764,226)	6,268,570
25	Other Funds			
26	Rhode Island Capital Plan Funds			
27	Health Laboratories & Medical			
28	Examiner Equipment	200,000	0	200,000
29	Total – Health Laboratories and Medical			
30	Examiner	16,562,705	(1,737,373)	14,825,332
31	Customer Services			
32	General Revenues	6,416,479	22,388	6,438,867
33	Federal Funds	6,858,070	557,248	7,415,318
34	Restricted Receipts	1,218,379	2,143,763	3,362,142
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1	Total – Customer Services	14,492,928	2,723,399	17,216,327
2	Policy, Information and Communications			
3	General Revenues	839,975	2,801	842,776
4	Federal Funds	3,059,870	749,961	3,809,831
5	Restricted Receipts	1,106,599	739	1,107,338
6	Total – Policy, Information and Communication	ons 5,006,444	753,501	5,759,945
7	Preparedness, Response, Infectious Disease &	Emergency Serv	ices	
8	General Revenues	88,313,083	(86,744,946)	1,568,137
9	Provided that of this amount, \$86,750,	000 is for expense	es in support of t	he state's COVID-
10	19 response after December 30, 2020.			
11	Federal Funds			
12	Federal Funds	13,216,199	27,338,982	40,555,181
13	Federal Funds – COVID Relief	305,725,000	(300,422,489)	5,302,511
14	Total – Preparedness, Response, Infectious			
15	Disease & Emergency Services	407,254,282	(359,828,453)	47,425,829
16	COVID-19			
17	General Revenue	0	9,173,137	9,173,137
18	Federal Funds	0	396,833,172	396,833,172
19	Total – COVID-19	0	406,006,309	406,006,309
20	Grand Total - Health	578,629,413	63,647,011	642,276,424
21	Human Services			
22	Central Management			
23	General Revenues	4,619,609	2,532	4,622,141
24	Of this amount, \$300,000 is to support	t the Domestic Vi	olence Preventio	on Fund to provide
25	direct services through the Coalition Against	t Domestic Viole	ence, \$250,000 t	to support Project
26	Reach activities provided by the RI Alliance of	f Boys and Girls C	Clubs, \$217,000	is for outreach and
27	supportive services through Day One, \$175,00	00 is for food coll	ection and distri	bution through the
28	Rhode Island Community Food Bank, \$500,000	0 for services prov	vided to the home	eless at Crossroads
29	Rhode Island, \$600,000 for the Community A	ction Fund and \$	6200,000 is for th	ne Institute for the
30	Study and Practice of Nonviolence's Reduction	n Strategy.		
31	Federal Funds	9,616,363	(390,015)	9,226,348
32	Restricted Receipts	0	500,000	500,000
33	Total – Central Management	14,235,972	112,517	14,348,489

34 Child Support Enforcement

1	General Revenues	3,102,821	6,834	3,109,655	
2	Federal Funds	7,779,604	12,492	7,792,096	
3	Restricted Receipts	3,476,000	624,000	4,100,000	
4	Total – Child Support Enforcement	14,358,425	643,326	15,001,751	
5	Individual and Family Support				
6	General Revenues	33,076,543	1,510,890	34,587,433	
7	Federal Funds	126,131,313	31,758,168	157,889,481	
8	Restricted Receipts	591,905	0	591,905	
9	Other Funds				
10	Rhode Island Capital Plan Funds				
11	Blind Vending Facilities	68,382	96,618	165,000	
12	Total – Individual and Family Support	159,868,143	33,365,676	193,233,819	
13	Office of Veterans Services				
14	General Revenues	18,039,632	(2,322,096)	15,717,536	
15	Of this amount, \$200,000 is to pro	vide support services	through Veterans	s' organizations.	
16	Federal Funds	24,768,085	2,846,519	27,614,604	
17	Restricted Receipts	1,286,672	0	1,286,672	
18	Total – Office of Veterans Services	44,094,389	524,423	44,618,812	
19	Health Care Eligibility				
20	General Revenues	7,780,604	(1,669,993)	6,110,611	
21	Federal Funds	12,002,058	(1,386,953)	10,615,105	
22	Total – Health Care Eligibility	19,782,662	(3,056,946)	16,725,716	
23	Supplemental Security Income Program				
24	General Revenues	18,558,000	(73,384)	18,484,616	
25	Rhode Island Works				
26	General Revenues	8,981,094	(613,163)	8,367,931	
27	Federal Funds	75,811,692	(12,921,055)	62,890,637	
28	Total – Rhode Island Works	84,792,786	(13,534,218)	71,258,568	
29	Other Programs				
30	General Revenues	908,960	(88,016)	820,944	
31	Of this appropriation, \$90,000 sha	ll be used for hardship	o contingency pay	yments.	
32	Federal Funds	296,172,324	(14,000,000)	282,172,324	
33	Restricted Receipts	0	8,000	8,000	
34	Total – Other Programs	297,081,284	(14,080,016)	283,001,268	
	Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021				

RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -17-) 1 Office of Healthy Aging

2	General Revenues	10,707,745	(888,050)	9,819,695
3	Of this amount, \$325,000 is to provide	de elder service	s, including resp	pite, through the
4	Diocese of Providence, \$40,000 for ombudsmar	n services provid	ed by the Alliance	ce for Long Term
5	Care in accordance with Rhode Island General	Laws, Chapter	42-66.7, \$85,000	0 for security for
6	housing for the elderly in accordance with Rhode	e Island General	Law, Section 42-	66.1-3, \$800,000
7	for Senior Services Support and \$580,000 for el	derly nutrition, c	of which \$530,00	0 is for Meals on
8	Wheels.			
9	Federal Funds	18,810,127	(1,614,448)	17,195,679
10	Restricted Receipts	177,582	442	178,024
11	Other Funds			
12	Intermodal Surface Transportation Fund	4,428,478	(426,769)	4,001,709
13	Total – Office of Healthy Aging	34,123,932	(2,928,825)	31,195,107
14	Grand Total – Human Services	686,895,593	972,553	687,868,146
15	Behavioral Healthcare, Developmental Disab	ilities, and Hosp	oitals	
16	Central Management			
17	General Revenues	3,971,436	12,907	3,984,343
18	Federal Funds	1,604,685	53,552	1,658,237
19	Total – Central Management	5,576,121	66,459	5,642,580
20	Hospital and Community System Support			
21	General Revenues	2,840,854	9,246	2,850,100
22	Federal Funds	298,644	534	299,178
23	Restricted Receipts	299,584	(299,584)	0
24	Total – Hospital and Community System Suppo	ort 3,439,082	(289,804)	3,149,278
25	Services for the Developmentally Disabled			
26	General Revenues	124,786,530	(4,038,354)	120,748,176
27	Federal Funds	177,721,767	4,514,927	182,236,694
28	Restricted Receipts	1,410,300	0	1,410,300
29	Other Funds			
30	Rhode Island Capital Plan Funds			
31	DD Residential Development	100,000	0	100,000
32	Total – Services for the Developmentally			
33	Disabled	304,018,597	476,573	304,495,170
34	Behavioral Healthcare Services			

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021

1	General Revenues	2,537,473	6,830	2,544,303
2	Federal Funds	38,592,858	11,133,726	49,726,584
3	Restricted Receipts	1,997,281	165,000	2,162,281
4	Total – Behavioral Healthcare Services	43,127,612	11,305,556	54,433,168
5	Hospital and Community Rehabilitative Service	es		
6	General Revenues	114,719,440	13,132,638	127,852,078
7	Federal Funds	14,900,823	(7,809,635)	7,091,188
8	Restricted Receipts	0	9,750	9,750
9	Other Funds			
10	Rhode Island Capital Plan Funds			
11	Hospital Equipment	300,000	0	300,000
12	Total - Hospital and Community Rehabilitative	2		
13	Services	129,920,263	5,332,753	135,253,016
14	Grand Total – Behavioral Healthcare,			
15	Developmental Disabilities, and Hospitals	486,081,675	16,891,537	502,973,212
16	Office of the Child Advocate			
17	General Revenues	1,005,223	3,091	1,008,314
18	Federal Funds	228,165	746	228,911
19	Grand Total – Office of the Child Advocate	1,233,388	3,837	1,237,225
20	Commission on the Deaf and Hard of Hearing	ng		
21	General Revenues	507,816	61,647	569,463
22	Restricted Receipts	142,454	43	142,497
23	Grand Total – Comm. On Deaf and Hard-of-He	earing 650,270	61,690	711,960
24	Governor's Commission on Disabilities			
25	General Revenues			
26	General Revenues	543,713	1,430	545,143
27	Livable Home Modification Grant Program	n 528,295	40	528,335
28	Provided that this will be used for hor	me modification a	nd accessibility	enhancements to
29	construct, retrofit, and/or renovate residences to	allow individuals	s to remain in con	nmunity settings.
30	This will be in consultation with the Executive	Office of Health	and Human Servi	ces.
31	Federal Funds	400,000	0	400,000
32	Restricted Receipts	111,163	8	111,171
33	Total – Governor's Commission on Disabilities	s 1,583,171	1,478	1,584,649
34	Office of the Mental Health Advocate			

34 Office of the Mental Health Advocate

1	General Revenues	630,982	1,950	632,932	
2	Elementary and Secondary Education				
3	Administration of the Comprehensive Education	strategy			
4	General Revenues	21,621,645	(138,354)	21,483,291	
5	Provided that \$90,000 be allocated to	support the hosp	ital school at H	asbro Children's	
6	Hospital pursuant to Rhode Island General Law, Section 16-7-20 and that \$395,000 be allocated to				
7	support child opportunity zones through agreements with the Department of Elementary and				
8	Secondary Education to strengthen education, health and social services for students and their				
9	families as a strategy to accelerate student achievement.				
10	Federal Funds	289,817,342	4,517,609	294,334,951	
11	Restricted Receipts				
12	Restricted Receipts	2,646,610	622,735	3,269,345	
13	HRIC Adult Education Grants	3,500,000	0	3,500,000	
14	Total – Admin. of the Comprehensive Ed.				
15	Strategy	317,585,597	5,001,990	322,587,587	
16	Davies Career and Technical School				
17	General Revenues	13,726,982	0	13,726,982	
18	Federal Funds	1,030,667	252,974	1,283,641	
19	Restricted Receipts	4,809,260	0	4,809,260	
20	Other Funds				
21	P-Tech	0	100,000	100,000	
22	Rhode Island Capital Plan Funds				
23	Davies School HVAC	500,000	(373,500)	126,500	
24	Davies School Asset Protection	150,000	0	150,000	
25	Davies School Healthcare Classroom				
26	Renovations	500,000	(500,000)	0	
27	Total – Davies Career and Technical School	20,716,909	(520,526)	20,196,383	
28	RI School for the Deaf				
29	General Revenues	6,718,335	119,259	6,837,594	
30	Federal Funds	545,023	0	545,023	
31	Restricted Receipts	474,337	0	474,337	
32	Other Funds				
33	School for the Deaf Transformation Grants	59,000	0	59,000	
34	Rhode Island Capital Plan Funds				

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -20-)

1	School for the Deaf Asset Protection	250,000	(175,000)	75,000	
2	Total – RI School for the Deaf	8,046,695	(55,741)	7,990,954	
3	Metropolitan Career and Technical School				
4	General Revenues	9,342,007	0	9,342,007	
5	Federal Funds	379,184	0	379,184	
6	Other Funds				
7	Rhode Island Capital Plan Funds				
8	MET School Asset Protection	250,000	0	250,000	
9	Total – Metropolitan Career and Technical Sc	hool 9,971,191	0	9,971,191	
10	0 Education Aid				
11	General Revenues	987,621,657	(63,685)	987,557,972	
12	Provided that the criteria for the a	llocation of early	childhood fund	s shall prioritize	
13	prekindergarten seats and classrooms for four	-year-olds whose fa	amily income is	at or below one	
14	hundred eighty-five percent (185%) of federa	l poverty guidelines	and who reside	e in communities	
15	with higher concentrations of low performing	schools.			
16	Federal Funds	44,115,018	0	44,115,018	
17	Restricted Receipts	31,449,533	2,882,385	34,331,918	
18	Other Funds				
19	Permanent School Fund	300,000	0	300,000	
20	Total – Education Aid	1,063,486,208	2,818,700	1,066,304,908	
21	Central Falls School District				
22	General Revenues	45,109,045	0	45,109,045	
23	Federal Funds	1,888,744	0	1,888,744	
24	Total – Central Falls School District	46,997,789	0	46,997,789	
25	School Construction Aid				
26	General Revenues				
27	School Housing Aid	79,130,193	0	79,130,193	
28	School Building Authority Capital Fund	869,807	0	869,807	
29	Total – School Construction Aid	80,000,000	0	80,000,000	
30	Teachers' Retirement				
31	General Revenues	118,375,402	0	118,375,402	
32	Grand Total – Elementary and Secondary				
33	Education	1,665,179,791	7,244,423	1,672,424,214	
34	Public Higher Education				

34 **Public Higher Education**

1 Office of Postsecondary Commissioner

-				
2	General Revenues	16,793,746	(76,946)	16,716,800
3	Provided that \$355,000 shall be allocated	to the Rhode Is	aland College Crus	ade pursuant to
4	the Rhode Island General Law, Section 16-70-5 and that \$75,000 shall be allocated to Best Buddies			
5	Rhode Island to support its programs for children	with developm	nental and intellect	ual disabilities.
6	It is also provided that \$7,233,864 <u>\$7,100,000</u> s	hall be allocat	ted to the Rhode	Island Promise
7	Scholarship program and \$147,000 shall be used to	support Rhod	e Island's member	ship in the New
8	England Board of Higher Education.			
9	Federal Funds			
10	Federal Funds	3,953,488	9,719,428	13,672,916
11	Guaranty Agency Administration	400,000	831	400,831
12	Provided that an amount equivalent to n	ot more than	ten (10) percent o	of the guaranty
13	agency operating fund appropriated for direct sch	olarship and g	grants in fiscal yea	r 2021 shall be
14	appropriated for guaranty agency administra	tion in fisca	ıl year 2021. T	This limitation
15	notwithstanding, final appropriations for fiscal year	ar 2021 for gua	aranty agency adm	inistration may
16	also include any residual monies collected during	fiscal year 20	21 that relate to g	uaranty agency
17	operations, in excess of the foregoing limitation. \underline{F}	or fiscal year 2	021 only, the foreg	going limitation
18	may be exceeded by an amount necessary to fina	ance the plann	ed mid-year incre	ase in required
19	contributions to the state assessed fringe benefit in	ternal service	fund.	
20	Guaranty Agency Operating Fund –			
21	Scholarships & Grants	4,000,000	0	4,000,000
22	Restricted Receipts	2,307,236	3,568	2,310,804
23	Other Funds			
24	Tuition Savings Program – Dual Enrollment	2,300,000	0	2,300,000
25	Tuition Savings Program –			
26	Scholarships and Grants	5,595,000	0	5,595,000
27	Nursing Education Center – Operating	3,154,580	3,362	3,157,942
28	Rhode Island Capital Plan Funds			
29	Asset Protection	341,000	0	341,000
30	Higher Education Centers	2,000,000	(2,000,000)	0
31	Provided that the state fund no more than	50.0 percent of	f the total project c	cost.

 32
 Total – Office of Postsecondary Commissioner
 40,845,050
 7,650,243
 48,495,293

33 University of Rhode Island

34 General Revenues

RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -22-)

 1
 General Revenues
 76,843,790
 0
 76,843,790

Provided that in order to leverage federal funding and support economic development,
\$350,000 shall be allocated to the Small Business Development Center and that \$50,000 shall be
allocated to Special Olympics Rhode Island to support its mission of providing athletic
opportunities for individuals with intellectual and developmental disabilities.

6	Debt Service	31,380,282	0	31,380,282
7	RI State Forensics Laboratory	1,309,006	0	1,309,006
8	Federal Funds – COVID Relief	14,000,000	(14,000,000)	0
9	Other Funds			
10	University and College Funds	706,291,345	0	706,291,345
11	Debt – Dining Services	983,687	0	983,687
12	Debt – Education and General	4,894,005	0	4,894,005
13	Debt – Health Services	787,110	0	787,110
14	Debt – Housing Loan Funds	12,765,579	0	12,765,579
15	Debt – Memorial Union	320,156	0	320,156
16	Debt – Ryan Center	2,359,093	0	2,359,093
17	Debt – Alton Jones Services	103,097	0	103,097
18	Debt – Parking Authority	1,090,069	0	1,090,069
19	Debt – Restricted Energy Conservation	789,816	0	789,816
20	Debt – URI Energy Conservation	3,317,597	0	3,317,597
21	Rhode Island Capital Plan Funds			
22	Asset Protection	2,455,280	0	2,455,280
23	Fine Arts Center	2,008,672	0	2,008,672
24	Total – University of Rhode Island	861,698,584	(14,000,000)	847,698,584
25	Notwithstanding the provisions of sec	ction 35-3-15 of th	e general laws, a	ll unexpended or
26	unencumbered balances as of June 30, 2021 r	elating to the Univ	versity of Rhode I	Island are hereby
27	reappropriated to fiscal year 2022.			
28	Rhode Island College			
29	General Revenues			
30	General Revenues	52,172,385	0	52,172,385
31	Debt Service	5,706,171	0	5,706,171
32	Federal Funds – COVID Relief	4,000,000	(4,000,000)	0
33	Other Funds			
34	University and College Funds	131,892,892	0	131,892,892
		Art5		

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -23-)

1	Debt – Education and General	877,841	0	877,841
2	Debt – Housing	366,667	0	366,667
3	Debt – Student Center and Dining	154,297	0	154,297
4	Debt – Student Union	208,800	0	208,800
5	Debt – G.O. Debt Service	1,644,301	0	1,644,301
6	Debt – Energy Conservation	655,575	0	655,575
7	Rhode Island Capital Plan Funds			
8	Asset Protection	4,213,120	0	4,213,120
9	Infrastructure Modernization	959,015	0	959,015
10	Total – Rhode Island College	202,851,064	(4,000,000)	198,851,064
11	Notwithstanding the provisions of section	ion 35-3-15 of th	e general laws, a	ll unexpended or
12	unencumbered balances as of June 30, 202	1 relating to R	hode Island Col	lege are hereby
13	reappropriated to fiscal year 2022.			
14	Community College of Rhode Island			
15	General Revenues			
16	General Revenues	52,258,866	0	52,258,866
17	Debt Service	1,486,945	0	1,486,945
18	Federal Funds – COVID Relief	5,000,000	(5,000,000)	0
19	Restricted Receipts	655,700	0	655,700
20	Other Funds			
21	University and College Funds	104,977,414	0	104,977,414
22	CCRI Debt Service – Energy Conservation	804,063	0	804,063
23	Rhode Island Capital Plan Funds			
24	Asset Protection	737,857	364,000	1,101,857
25	Knight Campus Renewal	1,555,817	(890,000)	665,817
26	Knight Campus Lab Renovation	1,599,080	0	1,599,080
27	Data, Cabling, and Power Infrastructure	303,000	0	303,000
28	Total – Community College of RI	169,378,742	(5,526,000)	163,852,742
29	Notwithstanding the provisions of secti	on 35-3-15 of th	ne general laws,	all unexpended
30	or unencumbered balances as of June 30, 2021 r	elating to the Co	mmunity College	e of Rhode Island
31	are hereby reappropriated to fiscal year 2022.			
32	Grand Total – Public Higher Education	1,274,773,440	(15,875,757)	1,258,897,683
33	RI State Council on the Arts			
34	General Revenues			
		۸ <i>r</i> +5		

1	Operating Support	837,026	2,632	839,658
2	Grants	1,165,000	0	1,165,000
3	Provided that \$375,000 be provided t	o support the	operational c	osts of WaterFire
4	Providence art installations.			
5	Federal Funds	828,776	1,130,534	1,959,310
6	Restricted Receipts	15,000	(10,000)	5,000
7	Other Funds			
8	Art for Public Facilities	602,750	(340,000)	262,750
9	Grand Total – RI State Council on the Arts	3,448,552	783,166	4,231,718
10	RI Atomic Energy Commission			
11	General Revenues	1,059,645	3,479	1,063,124
12	Federal Funds	7,936	469,064	477,000
13	Restricted Receipts	99,000	0	99,000
14	Other Funds			
15	URI Sponsored Research	299,276	754	300,030
16	Rhode Island Capital Plan Funds			
17	RINSC Asset Protection	50,000	0	50,000
18	Grand Total – RI Atomic Energy Commission	1,515,857	473,297	1,989,154
19	RI Historical Preservation and Heritage Com	nission		
20	General Revenues	1,562,984	(148,747)	1,414,237
21	Provided that \$30,000 support the operat	ional costs of t	he Fort Adams	Trust's restoration
22	activities.			
23	Federal Funds	544,043	15,172	559,215
24	Restricted Receipts	422,100	0	422,100
25	Other Funds			
26	RIDOT Project Review	146,624	367	146,991
27	Grand Total – RI Historical Preservation and			
28	Heritage Commission	2,675,751	(133,208)	2,542,543
29	Attorney General			
30	Criminal			
31	General Revenues	17,629,585	(30,325)	17,599,260
32	Federal Funds	2,890,200	126,126	3,016,326
33	Restricted Receipts	365,305	316	365,621
34	Total – Criminal	20,885,090	96,117	20,981,207

1	Civil			
2	General Revenues	6,031,523	(72,259)	5,959,264
3	Restricted Receipts	780,991	108,738	889,729
4	Total – Civil	6,812,514	36,479	6,848,993
5	Bureau of Criminal Identification			
6	General Revenues	1,814,266	5,854	1,820,120
7	Federal Funds	0	99,740	99,740
8	Restricted Receipts	0	1,196,276	1,196,276
9	Total – Bureau of Criminal Identification	1,814,266	1,301,870	3,116,136
10	General			
11	General Revenues	4,106,493	13,703	4,120,196
12	Other Funds			
13	Rhode Island Capital Plan Funds			
14	Building Renovations and Repairs	177,791	(100,000)	77,791
15	Total – General	4,284,284	(86,297)	4,197,987
16	Grand Total – Attorney General	33,796,154	1,348,169	35,144,323
17	Corrections			
18	Central Management			
19	General Revenues	16,482,004	23,890	16,505,894
20	Federal Funds	434,871	860,425	1,295,296
21	Restricted Receipts	50,000	0	50,000
22	Total – Central Management	16,966,875	884,315	17,851,190
23	Parole Board			
24	General Revenues	1,434,820	4,446	1,439,266
25	Federal Funds	74,536	100,000	174,536
26	Total – Parole Board	1,509,356	104,446	1,613,802
27	Custody and Security			
28	General Revenues	74,341,951	(52,112,691)	22,229,260
29	Federal Funds	72,326,003	47,073,974	119,399,977
30	Total – Custody and Security	146,667,954	(5,038,717)	141,629,237
31	Institutional Support			
32	General Revenues	20,623,117	(20,670)	20,602,447
33	Federal Funds	1,365,355	1,509,885	2,875,240
34	Other Funds			

1	Rhode Island Capital Plan Funds			
2	Asset Protection	3,126,642	0	3,126,642
3	Correctional Facilities – Renovations	6,765,166	0	6,765,166
4	Total – Institutional Support	31,880,280	1,489,215	33,369,495
5	Institutional Based Rehab./Population Manag	ement		
6	General Revenues	12,482,524	26,855	12,509,379
7	Provided that \$1,050,000 be allocated	ted to Crossroads	Rhode Island f	or sex offender
8	discharge planning.			
9	Federal Funds	826,469	1,171,088	1,997,557
10	Restricted Receipts	48,600	15,000	63,600
11	Total – Institutional Based Rehab/Population	Mgt. 13,357,593	1,212,943	14,570,536
12	Healthcare Services			
13	General Revenues	20,527,893	(367,476)	20,160,417
14	Federal Funds	4,962,511	1,823,202	6,785,713
15	Restricted Receipts	846,628	0	846,628
16	Total – Healthcare Services	26,337,032	1,455,726	27,792,758
17	Community Corrections			
18	General Revenues	17,354,335	51,824	17,406,159
19	Federal Funds	651,467	(553,019)	98,448
20	Restricted Receipts	14,854	82	14,936
21	Total – Community Corrections	18,020,656	(501,113)	17,519,543
22	Grand Total – Corrections	254,739,746	(393,185)	254,346,561
23	Judiciary			
24	Supreme Court			
25	General Revenues			
26	General Revenues	29,004,598	67,565	29,072,163
27	Provided however, that no more than	\$1,451,527 in com	bined total shall	be offset to the
28	Public Defender's Office, the Attorney Ger	neral's Office, the	Department of	Corrections, the
29	Department of Children, Youth, and Familie	s, and the Departm	ent of Public Sa	fety for square-
30	footage occupancy costs in public courthouse	s and further provid	led that \$230,000	0 be allocated to
31	the Rhode Island Coalition Against Domesti	c Violence for the	domestic abuse	court advocacy
32	project pursuant to Rhode Island General Law	w, Section 12-29-7	and that \$90,000) be allocated to
33	Rhode Island Legal Services, Inc. to provide h	nousing and eviction	n defense to indig	gent individuals.
34	Defense of Indigents	5,079,035	(941,786)	4,137,249
	RELATING TO MAKING REVISED A	Art5 PPROPRIATIONS	IN SUPPORT C)F FY 2021

RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021

1	Federal Funds	117,123	85,959	203,082
2	Restricted Receipts	3,603,601	961,194	4,564,795
3	Other Funds			
4	Rhode Island Capital Plan Funds			
5	Judicial Complexes - HVAC	1,000,000	0	1,000,000
6	Judicial Complexes Asset Protection	521,648	0	521,648
7	Licht Judicial Complex Restoration	761,721	0	761,721
8	Noel Shelled Courtroom Building Out	40,366	(40,366)	0
9	Total - Supreme Court	40,128,092	132,566	40,260,658
10	Judicial Tenure and Discipline			
11	General Revenues	154,779	452	155,231
12	Superior Court			
13	General Revenues	23,332,817	(167,005)	23,165,812
14	Federal Funds	104,076	26,239	130,315
15	Restricted Receipts	325,000	0	325,000
16	Total – Superior Court	23,761,893	(140,766)	23,621,127
17	Family Court			
18	General Revenues	22,805,368	30,746	22,836,114
19	Federal Funds	3,235,689	209,333	3,445,022
20	Total – Family Court	26,041,057	240,079	26,281,136
21	District Court			
22	General Revenues	14,140,491	26,271	14,166,762
23	Federal Funds	0	140,875	140,875
24	Restricted Receipts	60,000	0	60,000
25	Total - District Court	14,200,491	167,146	14,367,637
26	Traffic Tribunal			
27	General Revenues	8,966,306	11,913	8,978,219
28	Workers' Compensation Court			
29	Restricted Receipts	8,874,787	26,644	8,901,431
30	Grand Total – Judiciary	122,127,405	438,034	122,565,439
31	Military Staff			
32	General Revenues	3,275,354	(84,196)	3,191,158
33	Federal Funds	40,291,970	(5,395,442)	34,896,528
34	Restricted Receipts			

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -28-)

1	RI Military Family Relief Fund	55,000	0	55,000
2	Other Funds			
3	Rhode Island Capital Plan Funds			
4	Asset Protection	752,330	0	752,330
5	Bristol Readiness Center	192,000	0	192,000
6	Joint Force Headquarters Building	1,595,566	0	1,595,566
7	Grand Total – Military Staff	46,162,220	(5,479,638)	40,682,582
8	Public Safety			
9	Central Management			
10	General Revenues	892,435	2,899	895,334
11	Federal Funds	10,104,768	1,593,666	11,698,434
12	Restricted Receipts	79,963	239	80,202
13	Total – Central Management	11,077,166	1,596,804	12,673,970
14	E-911 Emergency Telephone System			
15	Federal Funds	2,763,814	(411,997)	2,351,817
16	Restricted Receipts	4,799,266	4,781	4,804,047
17	Total – E-911 Emergency Telephone System	7,563,080	(407,216)	7,155,864
18	Security Services			
19	General Revenues	15,340,704	(7,683,022)	7,657,682
20	Federal Funds	11,011,316	7,915,976	18,927,292
21	Total – Security Services	26,352,020	232,954	26,584,974
22	Municipal Police Training Academy			
23	General Revenues	310,456	780	311,236
24	Federal Funds	506,487	(88,404)	418,083
25	Total – Municipal Police Training Academy	816,943	(87,624)	729,319
26	State Police			
27	General Revenues	50,887,042	(14,225,662)	36,661,380
28	Federal Funds	32,185,028	12,007,220	44,192,248
29	Restricted Receipts	791,000	0	791,000
30	Other Funds			
31	Airport Corporation Assistance	149,570	0	149,570
32	Road Construction Reimbursement	1,755,588	1,217,475	2,973,063
33	Weight and Measurement Reimbursement	400,000	0	400,000
34	Rhode Island Capital Plan Funds			

1	DPS Asset Protection	752,449	0	752,449
2	Training Academy Upgrades	535,160	0	535,160
3	Headquarters Roof Replacement	588,120	0	588,120
4	Facilities Master Plan	37,566	0	37,566
5	Total–State Police	88,081,523	(1,000,967)	87,080,556
6	Grand Total – Public Safety	133,890,732	333,951	134,224,683
7	Office of Public Defender			
8	General Revenues	12,680,653	(101,352)	12,579,301
9	Federal Funds	75,665	110,850	186,515
10	Grand Total – Office of Public Defender	12,756,318	9,498	12,765,816
11	Emergency Management Agency			
12	General Revenues	2,713,353	(298,278)	2,415,075
13	Federal Funds	40,506,062	14,815,427	55,321,489
14	Restricted Receipts	553,132	1,058	554,190
15	Other Funds			
16	Rhode Island Capital Plan Funds			
17	RI Statewide Communications Network	1,494,414	0	1,494,414
18	Grand Total – Emergency Management Agency	45,266,961	14,518,207	59,785,168
19	Environmental Management			
20	Office of the Director			
21	General Revenues	7,197,864	8,837	7,206,701
22	Of this general revenue amount, \$50,000	is appropriated	to the Conservat	ion Districts.
23	Federal Funds	1,496	654,716	656,212
24	Restricted Receipts	3,914,384	10,296	3,924,680
25	Total – Office of the Director	11,113,744	673,849	11,787,593
26	Natural Resources			
27	General Revenues	22,708,134	(208,735)	22,499,399
28	Federal Funds	25,364,409	638,561	26,002,970
29	Restricted Receipts	4,605,884	1,232,236	5,838,120
30	Other Funds			
31	DOT Recreational Projects	762,000	0	762,000
32	Blackstone Bikepath Design	1,000,000	0	1,000,000
33	Transportation MOU	10,286	0	10,286
34				

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -30-)

1	Fort Adams Rehabilitation	553,618	0	553,618
2	Recreational Facilities Improvements	1,004,217	300,000	1,304,217
3	Recreation Facility Asset Protection	250,000	0	250,000
4	Galilee Piers Upgrade	9,344,239	(4,000,000)	5,344,239
5	Newport Pier Upgrades	300,000	(150,000)	150,000
6	Blackstone Park Improvements	1,094,191	(500,000)	594,191
7	State Building Demolition	54,942	0	54,942
8	Total – Natural Resources	67,051,920	(2,687,938)	64,363,982
9	Environmental Protection			
10	General Revenues	12,863,971	41,881	12,905,852
11	Federal Funds	10,145,096	(248,277)	9,896,819
12	Restricted Receipts	8,038,936	280,159	8,319,095
13	Other Funds			
14	Transportation MOU	72,499	202	72,701
15	Total – Environmental Protection	31,120,502	73,965	31,194,467
16	Grand Total – Environmental Management	109,286,166	(1,940,124)	107,346,042
17	Coastal Resources Management Council			
18	General Revenues	2,580,300	(111,668)	2,468,632
19	Federal Funds	2,283,202	4,197	2,287,399
20	Restricted Receipts	250,000	0	250,000
21	Other Funds			
22	Rhode Island Capital Plan Funds			
23	Green Hill Pond	2,159	0	2,159
24	Narragansett Bay SAMP	89,151	0	89,151
25	Grand Total – Coastal Resources Mgmt. Council	5,204,812	(107,471)	5,097,341
26	Transportation			
27	Central Management			
28	Federal Funds	10,062,731	2,660,424	12,723,155
29	Other Funds			
30	Gasoline Tax	7,524,138	1,915,889	9,440,027
31	Total – Central Management	17,586,869	4,576,313	22,163,182
32	Management and Budget			
33	Other Funds			
34	Gasoline Tax	4,774,747	264,014	5,038,761
	A	rt5		

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -31-)

1	Infrastructure Engineering			
2	Federal Funds	329,329,472	25,033,104	354,362,576
3	Restricted Receipts	2,711,328	(125,639)	2,585,689
4	Other Funds			
5	Gasoline Tax	65,894,036	7,502,121	73,396,157
6	Toll Revenue	46,946,000	(5,331,000)	41,615,000
7	Land Sale Revenue	3,280,000	7,531,732	10,811,732
8	Rhode Island Capital Plan Funds			
9	Bike Path Facilities Maintenance	38,406	0	38,406
10	RIPTA - Land and Buildings	661,363	530	661,893
11	RIPTA – Providence Transit Connector	40,215	0	40,215
12	RIPTA – Pawtucket Bus Hub & Transit			
13	Connector	713,180	0	713,180
14	RIPTA - Warwick Bus Hub	120,000	(120,000)	0
15	Total - Infrastructure Engineering	449,734,000	34,490,848	484,224,848
16	Infrastructure Maintenance			
17	Federal Funds	0	37,045,730	37,045,730
18	Other Funds			
19	Gasoline Tax	17,177,978	(1,025,915)	16,152,063
20	Non-Land Surplus Property	50,000	0	50,000
21	Rhode Island Highway Maintenance			
22	Account	116,659,663	1,831,050	118,490,713
23	Rhode Island Capital Plan Funds			
24	Maintenance Facilities Improvements	468,746	0	468,746
25	Welcome Center	176,208	0	176,208
26	Salt Storage Facilities	386,860	0	386,860
27	Train Station Maintenance and Repairs	327,932	0	327,932
28	Total – Infrastructure Maintenance	135,247,387	37,850,865	173,098,252
29	Grand Total – Transportation	607,343,003	77,182,040	684,525,043
30	Statewide Totals			
31	General Revenues	4,153,269,709	(161,634,847)	3,991,634,862
32	Federal Funds	5,812,927,486	1,698,953,268	7,511,880,754
33	Restricted Receipts	322,268,722	26,420,846	348,689,568
34	Other Funds	2,441,976,054	13,072,721	2,455,048,775
1Statewide Grand Total12,730,441,9711,576,811,98814,307,253,9592SECTION 2. Each line appearing in Section 1 of this Article shall constitute an3appropriation.

4 SECTION 3. The general assembly authorizes the state controller to establish the internal 5 service accounts shown below, and no other, to finance and account for the operations of state 6 agencies that provide services to other agencies, institutions and other governmental units on a cost 7 reimbursed basis. The purpose of these accounts is to ensure that certain activities are managed in 8 a businesslike manner, promote efficient use of services by making agencies pay the full costs 9 associated with providing the services, and allocate the costs of central administrative services 10 across all fund types, so that federal and other non-general fund programs share in the costs of 11 general government support. The controller is authorized to reimburse these accounts for the cost 12 of work or services performed for any other department or agency subject to the following 13 expenditure limitations:

14		Account	Exp	penditure Limit
15		FY 2021	FY 2021	FY 2021
16		Enacted	Change	FINAL
17	State Assessed Fringe Benefit			
18	Internal Service Fund	37,505,032	10,817,245	48,322,277
19	Administration Central Utilities			
20	Internal Service Fund	27,426,989	566	27,427,555
21	State Central Mail Internal Service Fund	6,583,197	3,319	6,586,516
22	State Telecommunications			
23	Internal Service Fund	3,552,053	3,221	3,555,274
24	State Automotive Fleet			
25	Internal Service Fund	12,743,810	2,612	12,746,422
26	Surplus Property Internal Service Fund	3,000	0	3,000
27	Health Insurance Internal Service Fund	273,639,595	7,482	273,647,077
28	State Fleet Revolving Loan Fund	264,339	(482)	263,857
29	Other Post-Employment Benefits Fund	63,858,483	0	63,858,483
30	Capitol Police Internal Service Fund	1,429,798	0	1,429,798
31	Corrections Central Distribution Center			
32	Internal Service Fund	6,868,331	3,256	6,871,587
33	Correctional Industries Internal Service Fund	8,231,177	6,226	8,237,403
34	Secretary of State Record Center			

34 Secretary of State Record Center

Art5

1	Internal Service Fund	1,086,670	1,820	1,088,490
2	Human Resources Internal Service Fund	14,237,328	41,286	14,278,614
3	DCAMM Facilities Internal Service Fund	42,849,110	41,752	42,890,862
4	Information Technology			
5	Internal Service Fund	49,488,621	(4,834,346)	44,654,275

6 SECTION 4. Departments and agencies listed below may not exceed the number of full-7 time equivalent (FTE) positions shown below in any pay period. Full-time equivalent positions do 8 not include limited period positions or, seasonal or intermittent positions whose scheduled period 9 of employment does not exceed twenty-six consecutive weeks or whose scheduled hours do not 10 exceed nine hundred and twenty-five (925) hours, excluding overtime, in a one-year period. Nor 11 do they include individuals engaged in training, the completion of which is a prerequisite of 12 employment. Provided, however, that the Governor or designee, Speaker of the House of 13 Representatives or designee, and the President of the Senate or designee may authorize an 14 adjustment to any limitation. Prior to the authorization, the State Budget Officer shall make a 15 detailed written recommendation to the Governor, the Speaker of the House, and the President of 16 the Senate. A copy of the recommendation and authorization to adjust shall be transmitted to the 17 chairman of the House Finance Committee, Senate Finance Committee, the House Fiscal Advisor 18 and the Senate Fiscal Advisor.

State employees whose funding is from non-state general revenue funds that are time limited shall receive limited term appointment with the term limited to the availability of non-state general revenue funding source.

22

FY 2021 FTE POSITION AUTHORIZATION

23	Departments and Agencies	Full-Time Equivalent
24	Administration	647.7
25	Provided that no more than 417.0 of the total authorization would be l	imited to positions that
26	support internal service fund programs.	
27	Business Regulation	161.0
28	Executive Office of Commerce	14.0
29	Labor and Training	425.7
30	Revenue	602.5
31	Legislature	298.5
32	Office of the Lieutenant Governor	8.0
33	Office of the Secretary of State	59.0
34	Office of the General Treasurer	89.0

1	Board of Elections	13.0
2	Rhode Island Ethics Commission	12.0
3	Office of the Governor	45.0
4	Commission for Human Rights	14.5
5	Public Utilities Commission	52.0
6	Office of Health and Human Services	192.0
7	Children, Youth, and Families	617.5
8	Health	513.6
9	Human Services	755.0
10	Office of Veterans Services	252.1
11	Office of Healthy Aging	31.0
12	Behavioral Healthcare, Developmental Disabilities, and Hospitals	1,188.4
13	Office of the Child Advocate	10.0
14	Commission on the Deaf and Hard of Hearing	4.0
15	Governor's Commission on Disabilities	4.0
16	Office of the Mental Health Advocate	4.0
17	Elementary and Secondary Education	139.1
18	School for the Deaf	60.0
19	Davies Career and Technical School	126.0
20	Office of Postsecondary Commissioner	31.0
21	Provided that 1.0 of the total authorization would be available only for positi	ions that are supported
22	by third-party funds, 8.0 would be available only for positions at the Stat	te's Higher Education
23	Centers located in Woonsocket and Westerly, and 10.0 would be available of	nly for positions at the
24	Nursing Education Center.	
25	University of Rhode Island	2,555.0
26	Provided that 357.8 of the total authorization would be available only	for positions that are
27	supported by third-party funds.	
28	Rhode Island College	949.2
29	Provided that 76.0 of the total authorization would be available only for posit	ions that are supported
30	by third-party funds.	
31	Community College of Rhode Island	849.1
32	Provided that 89.0 of the total authorization would be available only for posit	ions that are supported
33	by third-party funds.	
34	Rhode Island State Council on the Arts	8.6
	<i>▶</i>	

Art5 RELATING TO MAKING REVISED APPROPRIATIONS IN SUPPORT OF FY 2021 (Page -35-)

1	RI Atomic Energy Commission	8.6
2	Historical Preservation and Heritage Commission	15.6
3	Office of the Attorney General	239.1
4	Corrections	1,411.0
5	Judicial	726.3
6	Military Staff	92.0
7	Emergency Management Agency	32.0
8	Public Safety	593.6
9	Office of the Public Defender	96.0
10	Environmental Management	394.0
11	Coastal Resources Management Council	30.0
12	Transportation	755.0
13	Total	15,124.7
14	SECTION 5. Notwithstanding any general laws to the contrary, the S	tate Controller shall
15	transfer \$67,000,000 to the Information Technology Investment Fund by June	<u>30, 2021.</u>
16	SECTION 6. Notwithstanding any general laws to the contrary, the S	tate Controller shall
17	transfer \$20,000,000 to the Historic Tax Credit Fund by June 30, 2021.	

18 SECTION 7. This article shall take effect upon passage.

art.006/6/006/5/006/4/006/3/006/2/006/1

1	ARTICLE 6
2	RELATING TO TAXES AND REVENUE
3	SECTION 1. Sections 5-65-5, 5-65-8 and 5-65-9 of the General Laws in Chapter 5-65
4	entitled "Contractors' Registration and Licensing Board" are hereby amended to read as follows:
5	5-65-5. Registered application.
6	(a) A person who wishes to register as a contractor shall submit an application upon a form
7	prescribed by the board. The application shall include:
8	(1) Workers' compensation insurance account number, or company name if a number has
9	not yet been obtained, if applicable;
10	(2) Unemployment insurance account number, if applicable;
11	(3) State withholding tax account number, if applicable;
12	(4) Federal employer identification number, if applicable, or if self-employed and
13	participating in a retirement plan;
14	(5)(i) The individual(s) name and business address and residential address of:
15	(A) Each partner or venturer, if the applicant is a partnership or joint venture;
16	(B) The owner, if the applicant is an individual proprietorship;
17	(C) The corporation officers and a copy of corporate papers filed with the Rhode Island
18	secretary of state's office, if the applicant is a corporation;
19	(ii) Post office boxes are not acceptable as the only address;
20	(6) A statement as to whether or not the applicant has previously applied for registration,
21	or is or was an officer, partner, or venturer of an applicant who previously applied for registration
22	and if so, the name of the corporation, partnership, or venture;
23	(7) Valid insurance certificate for the type of work being performed.
24	(b) A person may be prohibited from registering or renewing a registration as a contractor
25	under the provisions of this chapter or his or her registration may be revoked or suspended if he or
26	she has any unsatisfied or outstanding judgments from arbitration, bankruptcy, courts, or
27	administrative agency against him or her relating to his or her work as a contractor, and provided,
28	further, that a statement shall be provided to the board attesting to the information herein.
29	(c) Failure to provide or falsified information on an application, or any document required
30	by this chapter, is punishable by a fine not to exceed ten thousand dollars (\$10,000) and/or

1 revocation of the registration.

2 (d) An applicant must be at least eighteen (18) years of age.

3 (e) Satisfactory proof shall be provided to the board evidencing the completion of $\frac{\text{five }(5)}{1000}$ 4 two and one-half (2.5) hours of continuing education units that will be required to be maintained 5 by residential contractors as a condition of registration as determined by the board pursuant to established regulations. 6

7 (f) A certification in a form issued by the board shall be completed upon registration or 8 license or renewal to ensure contractors are aware of certain provisions of this law and shall be 9 signed by the registrant before a registration can be issued or renewed.

10

5-65-8. Term of registration – Renewal – Registration identification card.

11 12 issuance unless the registration is revoked or suspended as described in § 5-65-10. It may be 13 renewed by the same procedure provided for an original registration upon application and 14 furnishing of any additional supplemental information that the board may require by rule.

15 (b) The board shall issue a pocket-card certificate of registration to a contractor registered 16 under this chapter including a picture of the registrant as prescribed by the board in the rules and 17 regulations. The Rhode Island department of administration, division of motor vehicles, shall, upon 18 the board's request, provide electronic copies of the digital photos of any registrant under this 19 chapter on record to be incorporated into the contractors' registration data bank to match the drivers' 20 licenses or IDs provided by registrants or applicants unless the applicant provides written 21 notification to the board to the contrary.

22 (c) The board may vary the dates of registration renewal by giving to the registrant written 23 notice of the renewal date assigned and by making appropriate adjustments in the renewal fee.

24 (d) The presentation of the registration or license identification card shall be mandatory at the time of permit application. 25

26

(e) If a registrant files in bankruptcy court, the board must be notified in writing by the 27 registrant and kept informed of the status of the case until dismissed, discharged, or resolved in 28 court.

29 5-65-9. Registration fee.

30 (a) Each applicant shall pay to the board:

31 (1) For original registration or renewal of registration, a fee of two hundred dollars (\$200) 32 one hundred and fifty dollars (\$150).

33 (2) A fee for all changes in the registration, as prescribed by the board, other than those 34 due to clerical errors.

Art₆ RELATING TO TAXES AND REVENUE (Page -2-)

- (b) All fees and fines collected by the board shall be deposited as general revenues to
 support the activities set forth in this chapter until June 30, 2008. Beginning July 1, 2008, all fees
 and fines collected by the board shall be deposited into a restricted-receipt account for the exclusive
 use of supporting programs established by this chapter.
- 5 (c) On or before January 15, 2018, and annually thereafter, the board shall file a report with 6 the speaker of the house and the president of the senate, with copies to the chairpersons of the house 7 and senate finance committees, detailing:
- 8 (1) The total number of fines issued, broken down by category, including the number of
 9 fines issued for a first violation and the number of fines issued for a subsequent violation;
- 10 (2) The total dollar amount of fines levied;
- (3) The total amount of fees, fines, and penalties collected and deposited for the most
 recently completed fiscal year; and
- 13
 - (4) The account balance as of the date of the report.
- (d) Each year, the department of business regulation shall prepare a proposed budget to
 support the programs approved by the board. The proposed budget shall be submitted to the board
 for its review. A final budget request shall be submitted to the legislature as part of the department
- 17 of business regulation's annual request.
- (e) New or renewal registrations may be filed online or with a third-party approved by theboard, with the additional cost incurred to be borne by the registrant.
- SECTION 2. Section 73-4 of Chapter 5 of the General Laws entitled "Roofing Contractors"
 is hereby amended to read as follows:
- 22 **5-73-4. Registration fee.**

23 All roofing contractors shall submit a payment in the amount of four hundred dollars 24 (\$400), which shall support the licensing program, representing a license fee along with the 25 application referenced in § 5-73-3, and be required to comply with the provisions of chapter 65 of 26 this title and those provisions shall be interpreted to include commercial roofers as defined in this chapter. Beginning July 1, 2008, all fines and fees collected pursuant to this chapter shall be 27 28 deposited into a restricted-receipt account for the exclusive use of supporting programs established 29 by the board. The license shall expire every two (2) years on the anniversary date of the license's 30 issuance and may be renewed upon payment of a two hundred dollar (\$200) fee.

- SECTION 3. Section 7-11-206 of the General Laws in Chapter 7-11 entitled "Rhode Island
 Uniform Securities Act" is hereby amended to read as follows:
- 33 <u>7-11-206. Licensing and notice fees; and filing requirements for federal covered</u>
 34 advisers.
 - Art6 RELATING TO TAXES AND REVENUE (Page -3-)

- (a) A federal covered adviser or an applicant for licensing shall pay an annual fee as
 follows:
- 3 (1) Broker-dealer three hundred dollars (\$300) and for each branch office one hundred
 4 dollars (\$100);
- 5 (2) Sales representative seventy-five dollars (\$75.00) one hundred dollars (\$100.00);
- 6 (3) Investment adviser three hundred dollars (\$300);
- 7 (4) Investment adviser representative sixty dollars (\$60.00); and

8 (5) Federal covered adviser three hundred dollars (\$300).

9 (b) Except with respect to federal covered advisers whose only clients are those described 10 in § 7-11-204(1)(i), a federal covered adviser shall file any documents filed with the U.S. Securities 11 and Exchange Commission with the director, that the director requires by rule or order, together 12 with any notice fee and consent to service of process that the director requires by rule or order. The 13 notice filings under this subsection expire annually on December 31, unless renewed.

(c) A notice filing under this section is effective from receipt until the end of the calendar
year. A notice filing may be renewed by filing any documents that have been filed with the U.S.
Securities and Exchange Commission as required by the director along with a renewal fee of three

17 hundred dollars (\$300).

18 (d) A federal covered adviser may terminate a notice filing upon providing the director19 notice of the termination, which is effective upon receipt by the director.

(e) Notwithstanding the provisions of this section, until October 11, 1999, the director may require the registration as an investment adviser of any federal covered adviser who has failed to promptly pay the fees required by this section after written notification from the director of the nonpayment or underpayment of the fees. A federal covered adviser is considered to have promptly paid the fees if they are remitted to the director within fifteen (15) days following the federal covered adviser's receipt of written notice from the director.

(f) For purposes of this section, "branch office" means any location where one or more
associated persons of a broker-dealer regularly conducts the business of effecting any transactions
in, or inducing or attempting to induce the purchase or sale of any security, or is held out as such,
excluding:

30 (1) Any location that is established solely for customer service and/or back office type
31 functions where no sales activities are conducted and that is not held out to the public as a branch
32 office;

33 (2) Any location that is the associated person's primary residence; provided that:

34 (i) Only one associated person, or multiple associated persons who reside at that location

Art6 RELATING TO TAXES AND REVENUE (Page -4-)

- 1 and are members of the same immediate family, conduct business at the location;
- 2 (ii) The location is not held out to the public as an office and the associated person does 3 not meet with customers at the location;
 - (iii) Neither customer funds nor securities are handled at that location;
- 5 (iv) The associated person is assigned to a designated branch office, and such designated branch office is reflected on all business cards, stationery, advertisements and other 6 7 communications to the public by such associated person;
- 8

4

(v) The associated person's correspondence and communications with the public are 9 subject to the firm's supervision in accordance with Rule 3010 of the Financial Industry Regulatory 10 Authority;

- 11 (vi) Electronic communications are made through the broker-dealer's electronic system;
- 12 (vii) All orders are entered through the designated branch office or an electronic system 13 established by the broker-dealer that is reviewable at the branch office;
- 14 (viii) Written supervisory procedures pertaining to supervision of sales activities conducted 15 at the residence are maintained by the broker-dealer; and
- 16 (ix) A list of the residence locations is maintained by the broker-dealer;
- 17 (3) Any location, other than a primary residence, that is used for securities business for less 18 than thirty (30) business days in any one calendar year, provided the broker-dealer complies with
- 19 the provisions of subsections (f)(2)(i) through (ix) above;
- 20 (4) Any office of convenience, where associated person(s) occasionally and exclusively by 21 appointment meet with customers, which is not held out to the public as an office;
- 22 (5) Any location that is used primarily to engage in non-securities activities and from which 23 the associated person(s) effects no more than twenty-five (25) securities transactions in any one 24 calendar year; provided that any advertisement or sales literature identifying such location also sets 25 forth the address and telephone number of the location from which the associated person(s) 26 conducting business at the non-branch locations are directly supervised;
- 27

(6) The floor of a registered national securities exchange where a broker-dealer conducts a 28 direct access business with public customers;

- 29 (7) A temporary location established in response to the implementation of a business 30 continuity plan.
- 31 (g) Notwithstanding the exclusions in subsection (f), any location that is responsible for 32 supervising the activities of persons associated with the broker-dealer at one or more non-branch 33 locations of the broker-dealer is considered to be a branch office.
- 34

(h) The term "business day" as used in subsection (f) shall not include any partial business

Art₆ RELATING TO TAXES AND REVENUE (Page -5-)

day provided that the associated person spends at least four (4) hours on such business day at his
 or her designated branch office during the hours that such office is normally open for business.

3 (i) Where such office of convenience is located on bank premises, signage necessary to
4 comply with applicable federal and state laws, rules and regulations and applicable rules and
5 regulations of the New York Stock Exchange, other self-regulatory organizations, and securities
6 and banking regulators may be displayed and shall not be deemed "holding out" for purposes of
7 subsection (f)(4).

8 (j) If an application is denied or withdrawn or the license is revoked, suspended, or
9 withdrawn, the director is not required to refund the fee paid.

10 (k) The director may issue a stop order suspending the activities of a federal covered
11 adviser in this state if the director reasonably believes there has been a violation of the provisions
12 of this section.

SECTION 4. Section 23-17-38.1 of the General Laws in Chapter 23-17 entitled "Licensing
of Health Care Facilities" is hereby amended to read as follows:

15

<u> 23-17-38.1. Hospitals – Licensing fee.</u>

16 (a) There is also imposed a hospital licensing fee at the rate of six percent (6%) upon the 17 net patient services revenue of every hospital for the hospital's first fiscal year ending on or after 18 January 1, 2017, except that the license fee for all hospitals located in Washington County, Rhode 19 Island shall be discounted by thirty-seven percent (37%). The discount for Washington County 20 hospitals is subject to approval by the Secretary of the U.S. Department of Health and Human 21 Services of a state plan amendment submitted by the executive office of health and human services 22 for the purpose of pursuing a waiver of the uniformity requirement for the hospital license fee. This 23 licensing fee shall be administered and collected by the tax administrator, division of taxation 24 within the department of revenue, and all the administration, collection, and other provisions of 25 chapter 51 of title 44 shall apply. Every hospital shall pay the licensing fee to the tax administrator 26 on or before July 10, 2019, and payments shall be made by electronic transfer of monies to the 27 general treasurer and deposited to the general fund. Every hospital shall, on or before June 14, 28 2019, make a return to the tax administrator containing the correct computation of net patient-29 services revenue for the hospital fiscal year ending September 30, 2017, and the licensing fee due upon that amount. All returns shall be signed by the hospital's authorized representative, subject to 30 31 the pains and penalties of perjury. 32

32 (b) (a) There is also imposed a hospital licensing fee at the rate of six percent (6%) upon 33 the net patient-services revenue of every hospital for the hospital's first fiscal year ending on or 34 after January 1, 2018, except that the license fee for all hospitals located in Washington County,

Art6 RELATING TO TAXES AND REVENUE (Page -6-)

1 Rhode Island shall be discounted by thirty-seven percent (37%). The discount for Washington 2 County hospitals is subject to approval by the Secretary of the U.S. Department of Health and 3 Human Services of a state plan amendment submitted by the executive office of health and human 4 services for the purpose of pursuing a waiver of the uniformity requirement for the hospital license 5 fee. This licensing fee shall be administered and collected by the tax administrator, division of taxation within the department of revenue, and all the administration, collection, and other 6 7 provisions of Chapter 51 of title 44 shall apply. Every hospital shall pay the licensing fee to the tax 8 administrator on or before July 13, 2020, and payments shall be made by electronic transfer of 9 monies to the general treasurer and deposited to the general fund. Every hospital shall, on or before 10 June 15, 2020, make a return to the tax administrator containing the correct computation of net 11 patient- services revenue for the hospital fiscal year ending September 30, 2018, and the licensing 12 fee due upon that amount. All returns shall be signed by the hospital's authorized representative, 13 subject to the pains and penalties of perjury.

14 (c) (b) There is also imposed a hospital licensing fee for state fiscal year 2021 against each 15 hospital in the state. The hospital licensing fee is equal to five percent (5.0%) of the net patient-16 services revenue of every hospital for the hospital's first fiscal year ending on or after January 1, 17 2018 2019, except that the license fee for all hospitals located in Washington County, Rhode Island 18 shall be discounted by thirty-seven percent (37%). The discount for Washington County hospitals 19 is subject to approval by the Secretary of the U.S. Department of Health and Human Services of a 20 state plan amendment submitted by the executive office of health and human services for the 21 purpose of pursuing a waiver of the uniformity requirement for the hospital license fee. This 22 licensing fee shall be administered and collected by the tax administrator, division of taxation 23 within the department of revenue, and all the administration, collection, and other provisions of 24 Chapter 51 of title 44 shall apply. Every hospital shall pay the licensing fee to the tax administrator 25 on or before July 13, 2021, and payments shall be made by electronic transfer of monies to the 26 general treasurer and deposited to the general fund. Every hospital shall, on or before June 15, 27 2020, make a return to the tax administrator containing the correct computation of net patient-28 services revenue for the hospital fiscal year ending September 30, 2018 2019, and the licensing fee 29 due upon that amount. All returns shall be signed by the hospital's authorized representative, subject 30 to the pains and penalties of perjury.

31 (d) (c) There is also imposed a hospital licensing fee for state fiscal year 2022 against each
 32 hospital in the state. The hospital licensing fee is equal to five and seven hundred twenty-five
 33 thousandths percent (5.725%) of the net patient-services revenue of every hospital for the hospital's
 34 first fiscal year ending on or after January 1, 2020, except that the license fee for all hospitals

1 located in Washington County, Rhode Island shall be discounted by thirty-seven percent (37%). 2 The discount for Washington County hospitals is subject to approval by the Secretary of the U.S. 3 Department of Health and Human Services of a state plan amendment submitted by the executive 4 office of health and human services for the purpose of pursuing a waiver of the uniformity 5 requirement for the hospital license fee. This licensing fee shall be administered and collected by the tax administrator, division of taxation within the department of revenue, and all the 6 7 administration, collection, and other provisions of Chapter 51 of title 44 shall apply. Every hospital 8 shall pay the licensing fee to the tax administrator on or before July 13, 2022, and payments shall 9 be made by electronic transfer of monies to the general treasurer and deposited to the general fund. 10 Every hospital shall, on or before June 15, 2022, make a return to the tax administrator containing 11 the correct computation of net patient-services revenue for the hospital fiscal year ending 12 September 30, 2020, and the licensing fee due upon that amount. All returns shall be signed by the 13 hospital's authorized representative, subject to the pains and penalties of perjury.

(d) For purposes of this section the following words and phrases have the following
 meanings:

16 (1) "Hospital" means the actual facilities and buildings in existence in Rhode Island, 17 licensed pursuant to § 23-17-1 et seq. on June 30, 2010, and thereafter any premises included on 18 that license, regardless of changes in licensure status pursuant to chapter 17.14 of title 23 (hospital 19 conversions) and § 23-17-6(b) (change in effective control), that provides short-term acute inpatient 20 and/or outpatient care to persons who require definitive diagnosis and treatment for injury, illness, 21 disabilities, or pregnancy. Notwithstanding the preceding language, the negotiated Medicaid 22 managed care payment rates for a court-approved purchaser that acquires a hospital through 23 receivership, special mastership, or other similar state insolvency proceedings (which court-24 approved purchaser is issued a hospital license after January 1, 2013) shall be based upon the newly 25 negotiated rates between the court-approved purchaser and the health plan, and such rates shall be 26 effective as of the date that the court-approved purchaser and the health plan execute the initial 27 agreement containing the newly negotiated rate. The rate-setting methodology for inpatient hospital 28 payments and outpatient hospital payments set forth in §§ 40-8-13.4(b) and 40-8-13.4(b)(2), 29 respectively, shall thereafter apply to negotiated increases for each annual twelve-month (12) 30 period as of July 1 following the completion of the first full year of the court-approved purchaser's 31 initial Medicaid managed care contract.

32 (2) "Gross patient-services revenue" means the gross revenue related to patient care
 33 services.

34

(3) "Net patient-services revenue" means the charges related to patient care services less

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1 (i) charges attributable to charity care; (ii) bad debt expenses; and (iii) contractual allowances.

- 2 (e) The tax administrator shall make and promulgate any rules, regulations, and procedures
 3 not inconsistent with state law and fiscal procedures that he or she deems necessary for the proper
 4 administration of this section and to carry out the provisions, policy, and purposes of this section.
- (f) The licensing fee imposed by subsection (b) shall apply to hospitals as defined herein
 that are duly licensed on July 1, 2019-2020, and shall be in addition to the inspection fee imposed
 by § 23-17-38 and to any licensing fees previously imposed in accordance with this section.
- 8 (g) The licensing fee imposed by subsection (c) shall apply to hospitals as defined herein 9 that are duly licensed on July 1, 2020 2021, and shall be in addition to the inspection fee imposed 10 by § 23-17-38 and to any licensing fees previously imposed in accordance with this section.
- SECTION 5. Section 42-17.1-9.1 of the General Laws in Chapter 42-17.1 entitled "User
 fees at state beaches, parks, and recreation areas" is hereby amended to read as follows:
- 13

42-17.1-9.1. User fees at state beaches, parks, and recreation areas.

(a) The department of environmental management in pursuance of its administrative duties
and responsibilities may charge a user fee for any state beach, or recreational area under its
jurisdiction, and fees for the use of its services or facilities.

- (b) The fee may be on a daily or annual basis, or both, and may be based on vehicle parking or other appropriate means. The fees may recognize the contribution of Rhode Island taxpayers to support the facilities in relation to other users of the state's facilities. The fee structure may acknowledge the need to provide for all people, regardless of circumstances.
- (c) An additional fee for camping and other special uses may be charged where appropriate.
 Rates so charged should be comparable to equivalent commercial facilities.
- 23 (d) All such fees shall be established after a public hearing.
- (e) All daily fees from beach parking, which shall also include fees charged and collected
 at Ninigret conservation area and Charlestown breachway, shall be shared with the municipality in
 which the facility is located on the basis of seventy-three percent (73%) retained by the state and
 twenty-seven percent (27%) remitted to the municipality; provided, further, from July 1, 2016, until
 October 1, 2021, the beach fees charged and collected under this subsection shall be equal to those
 in effect on June 30, 2011.
- 30 (1) Notwithstanding subsection (e), effective July 1, 2021, the fees charged and collected
- 31 for facilities located in the town of Westerly may exceed those in effect on June 30, 2011, in an
- 32 amount to be reasonably determined by the department of environmental management.
- (f) Fifty percent (50%) of all user and concession fees received by the state shall be
 deposited as general revenues. For the year beginning July 1, 1979, the proportion of user and

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1 concession fees to be received by the state shall be sixty-five percent (65%); for the year beginning 2 July 1, 1980, eighty-five percent (85%); and for the year beginning July 1, 1981, and all years 3 thereafter, one hundred percent (100%). The general revenue monies appropriated are hereby 4 specifically dedicated to meeting the costs of development, renovation of, and acquisition of state-5 owned recreation areas and for regular maintenance, repair and operation of state owned recreation areas. Purchases of vehicles and equipment and repairs to facilities shall not exceed four hundred 6 7 thousand dollars (\$400,000) annually. Notwithstanding the provisions of § 37-1-1 or any other 8 provision of the general laws, the director of the department of environmental management is 9 hereby authorized to accept any grant, devise, bequest, donation, gift, or assignment of money, 10 bonds, or other valuable securities for deposit in the same manner as provided above for user and 11 concession fees retained by the state.

(g) No fee shall be charged to any school or other nonprofit organization provided that a
representative of the school or other organization gives written notice of the date and time of their
arrival to the facility.

SECTION 6. Sections 44-19-1 and 44-19-2 of the General Laws in Chapter 44-19 entitled
"Sales and Use Taxes – Enforcement and Collection" are hereby amended to read as follows:

17

<u>44-19-1. Annual permit required – Retail business subject to sales tax – Promotion of</u>

18 shows – Revocation of show permit.

19 (a)(1) Every person desiring to engage in or conduct within this state a business of making 20 sales at retail, or engage in a business of renting living quarters in any hotel, rooming house, or 21 tourist camp, the gross receipts from which sales or rental charges are required to be included in 22 the measure of the tax imposed under chapter 18 of this title, shall file with the tax administrator 23 an application for a permit for each place of business. The application shall be in a form, include 24 information, and bear any signatures that the tax administrator may require. At the time of making 25 an application, the applicant shall pay to the tax administrator a permit fee of ten dollars (\$10.00) 26 for each permit. There shall be no fee for this permit. Every permit issued under this chapter expires 27 on June 30 of each year at the times prescribed by the tax administrator.

(2) Every permit holder shall annually, on or before February 1 on forms prescribed and at
the times prescribed by the tax administrator of each year, renew its permit by filing an application
for renewal along with a ten dollars (\$10.00) renewal fee. The renewal permit is valid for the period
July 1 of that calendar year through June 30 of the subsequent calendar year unless otherwise
canceled, suspended or revoked. All fees received under this section are allocated to the tax
administrator for enforcement and collection of all taxes.

34

(b)(1) Every promoter of a show shall, at least ten (10) days prior to the opening of each

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show, file with the tax administrator a notice stating the location and dates of the show, in a form
 prescribed by the tax administrator.

(2) The tax administrator shall, within five (5) days after the receipt of that notice, issue to
the promoter, without charge, a permit to operate the show, unless the provisions of subdivision (5)
of this subsection have been applied to the promoter. No promoter may operate a show without
obtaining the permit. The permit shall be prominently displayed at the main entrance of the show.
(3) Any promoter who is a retailer shall comply with all of the provisions of this chapter
and chapter 18 relating to retailers, in addition to all of the provisions of this chapter relating to
promoters.

(4) A promoter may not permit any person to display or sell tangible personal property,
services, or food and drink at a show unless that person is registered under subsection (a) of this
section and displays his or her permit in accordance with the provisions of subsection (a) of this
section.

(5) Any promoter who permits any person to display or sell tangible personal property, services, or food and drink at a show who is not registered, or does not display a permit, or fails to keep a record or file a monthly report of the name, address and permit number of every person whom the promoter permitted to sell or display tangible personal property, services, or food and drink at a show, is subject to revocation of all existing permits issued pursuant to this section to operate a show, and to the denial of a permit to operate any show for a period of not more than two (2) years, in addition to the provisions of § 44-19-31.

21

<u>44-19-2. Issuance of permit – Assignment prohibited – Display – Fee for renewal after</u>

22 suspension or revocation.

23 Upon receipt of the required application and permit fee, the tax administrator shall issue to 24 the applicant a separate permit for each place of business within the state. If the applicant, at the 25 time of making the application, owes any tax, penalty, or interest imposed under chapters 18 and 26 19 of this title, then before a permit is issued the applicant shall pay the amount owed. A permit is 27 not assignable and is valid only for the person in whose name it is issued and for the transaction of 28 business at the place designated in the permit. The permit shall at all times be conspicuously 29 displayed at the place for which issued. A retailer whose permit has been previously suspended or 30 revoked shall pay to the tax administrator a fee of ten dollars (\$10.00) for the renewal or issuance 31 of a permit.

SECTION 7. Sections 46-23-7.1, 46-23-7.3 and 46-23-7.4 of the General Laws in Chapter
 46-23 of entitled "Coastal Resources Management Council" are hereby amended to read as follows:

34 **46-23-7.1. Administrative penalties.**

Art6 RELATING TO TAXES AND REVENUE (Page -11-) 1 Any person who violates, or refuses or fails to obey, any notice or order issued pursuant to 2 § 46-23-7(a); or any assent, order, or decision of the council, may be assessed an administrative 3 penalty by the chairperson or executive director in accordance with the following:

4 (1) The chairperson or executive director is authorized to assess an administrative penalty 5 of not more than two thousand five hundred dollars (\$2,500) ten thousand dollars (\$10,000) for each violation of this section, and is authorized to assess additional penalties of not more than five 6 7 hundred dollars (\$500) one thousand (\$1,000) for each day during which this violation continues 8 after receipt of a cease and desist order from the council pursuant to § 46-23-7(a), but in no event 9 shall the penalties in an aggregate equal or exceed ten thousand dollars (\$10,000) fifty thousand 10 dollars (\$50,000). Prior to the assessment of a penalty under this subdivision, the property owner 11 or person committing the violation shall be notified by certified mail or personal service that a 12 penalty is being assessed. The notice shall include a reference to the section of the law, rule, 13 regulation, assent, order, or permit condition violated; a concise statement of the facts alleged to 14 constitute the violation; a statement of the amount of the administrative penalty assessed; and a 15 statement of the party's right to an administrative hearing.

16 (2) The party shall have twenty-one (21) days from receipt of the notice within which to 17 deliver to the council a written request for a hearing. This request shall specify in detail the 18 statements contested by the party. The executive director shall designate a person to act as hearing 19 officer. If no hearing is requested, then after the expiration of the twenty-one (21) day period, the 20 council shall issue a final order assessing the penalty specified in the notice. The penalty is due 21 when the final order is issued. If the party shall request a hearing, any additional daily penalty shall 22 not commence to accrue until the council issues a final order.

(3) If a violation is found to have occurred, the council may issue a final order assessing
not more than the amount of the penalty specified in the notice. The penalty is due when the final
order is issued.

26 (4) The party may within thirty (30) days appeal the final order, of fine assessed by the27 council to the superior court which shall hear the assessment of the fine de novo.

28

46-23-7.3. Criminal penalties.

Any person who knowingly violates any provision of this chapter, the coastal resources management program, or any rule, regulation, assent, or order shall be guilty of a misdemeanor, and, upon conviction thereof shall be fined not more than five hundred dollars (\$500) one thousand dollars (\$1,000) or by imprisonment of not more than three (3) months or both; and each day the violation is continued or repeated shall be deemed a separate offense.

34 **46-23-7.4.** Penalty for blocking or posting of rights-of-way.

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1 Any person who shall post or block any tidal water, public right-of-way, as designated by 2 the council, shall be punished by a fine not exceeding five hundred dollars (\$500) one thousand 3 <u>dollars (\$1,000)</u> or by imprisonment for not more than three (3) months or both; and each day the 4 posting or blocking continues or is repeated shall be deemed a separate offense. The chairperson 5 of the council, through council's legal counsel or the attorney general, may apply to any court of competent jurisdiction for an injunction to prevent the unlawful posting or blocking of any tidal 6 7 water, public right-of-way. 8 SECTION 8. Section 42-61.2-5 of the General Laws in Chapter 42-61.2 entitled "Video-9 Lottery Games, Table Games and Sports Wagering" is hereby amended to read as follows: 10 42-61.2-5. Allocation of sports-wagering and online sports-wagering revenue. 11 (a) Notwithstanding the provisions of § 42-61-15, the division of lottery is authorized to 12 enter into an agreement to allocate sports-wagering revenue derived from sports wagering and 13 online sports wagering at the hosting facilities between the state, the state's authorized sports-14 wagering vendor, and the host facilities. The allocation of sports-wagering revenue and online 15 sports-wagering revenue shall be: 16 (1) To the state, fifty-one percent (51%) of sports-wagering revenue and online sports-17 wagering revenue; 18 (2) To the state's authorized sports-wagering vendor, thirty-two percent (32%) of sports-19 wagering revenue and online sports-wagering revenue; and

20 (3) To the host facilities, seventeen percent (17%) of sports-wagering revenue and online
21 sports-wagering revenue.

(b) Sports-wagering revenue and online sports-wagering revenue allocated to the state shall
be deposited into the state lottery fund for administrative purposes and then the balance remaining
into the general fund.

25 (c) The town of Lincoln shall be paid an annual flat fee of one hundred thousand dollars

26 (\$100,000) two hundred thousand dollars (\$200,000) and the town of Tiverton shall be paid an

27 annual flat fee of one hundred thousand dollars (\$100,000) two hundred thousand dollars

28 (\$200,000) in compensation for serving as the host communities for sports wagering.

29 SECTION 9. Section 42-61.2-7 of the General Laws in Chapter 42-61.2 entitled "Video-

30 Lottery Games, Table Games and Sports Wagering" is hereby amended to read as follows:

31 **42-61.2-7. Division of revenue.**

32 (a) Notwithstanding the provisions of Section 42-61-15, the allocation of net terminal

33 income derived from video lottery games is as follows:

34 (1) For deposit in the general fund and to the Division fund for administrative purposes:

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Net, terminal income not otherwise disbursed in accordance with subdivisions (a)(2) -- (a)(6)
 inclusive, or otherwise disbursed in accordance with subsections (g)(2) and (h)(2);

3 (i) Except for the fiscal year ending June 30, 2008, nineteen one hundredths of one percent 4 (0.19%), up to a maximum of twenty million dollars (\$20,000,000), shall be equally allocated to 5 the distressed communities (as defined in Section 45-13-12) provided that no eligible community shall receive more than twenty-five percent (25%) of that community's currently enacted municipal 6 7 budget as its share under this specific subsection. Distributions made under this specific subsection 8 are supplemental to all other distributions made under any portion of General Laws Section 45-13-9 12. For the fiscal year ending June 30, 2008, distributions by community shall be identical to the 10 distributions made in the fiscal year ending June 30, 2007, and shall be made from general 11 appropriations. For the fiscal year ending June 30, 2009, the total state distribution shall be the 12 same total amount distributed in the fiscal year ending June 30, 2008, and shall be made from 13 general appropriations. For the fiscal year ending June 30, 2010, the total state distribution shall be 14 the same total amount distributed in the fiscal year ending June 30, 2009, and shall be made from 15 general appropriations, provided, however, that seven hundred eighty-four thousand four hundred 16 fifty-eight dollars (\$784,458) of the total appropriation shall be distributed equally to each 17 qualifying distressed community. For each of the fiscal years ending June 30, 2011, June 30, 2012, 18 and June 30, 2013, seven hundred eighty-four thousand four hundred fifty-eight dollars (\$784,458) 19 of the total appropriation shall be distributed equally to each qualifying distressed community.

(ii) Five one hundredths of one percent (0.05%), up to a maximum of five million dollars
(\$5,000,000), shall be appropriated to property tax relief to fully fund the provisions of Section 4433-2.1 [repealed]. The maximum credit defined in subdivision 44-33-9(2) shall increase to the
maximum amount to the nearest five dollar (\$5.00) increment within the allocation until a
maximum credit of five hundred dollars (\$500) is obtained. In no event shall the exemption in any
fiscal year be less than the prior fiscal year.

(iii) One and twenty-two one hundredths of one percent (1.22%) to fund Section 44-34.11, entitled "Motor Vehicle and Trailer Excise Tax Elimination Act of 1998", to the maximum
amount to the nearest two hundred fifty dollar (\$250) increment within the allocation. In no event
shall the exemption in any fiscal year be less than the prior fiscal year.

(iv) Except for the fiscal year ending June 30, 2008, ten one hundredths of one percent
(0.10%), to a maximum of ten million dollars (\$10,000,000), for supplemental distribution to
communities not included in subsection (a)(1)(i) distributed proportionately on the basis of general
revenue sharing distributed for that fiscal year. For the fiscal year ending June 30, 2008,
distributions by community shall be identical to the distributions made in the fiscal year ending

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June 30, 2007, and shall be made from general appropriations. For the fiscal year ending June 30,
 2009, no funding shall be disbursed. For the fiscal year ending June 30, 2010, and thereafter,
 funding shall be determined by appropriation.

4 (2) To the licensed, video lottery retailer:

(a)(i) Prior to the effective date of the Newport Grand Master Contract, Newport Grand
twenty-six percent (26%), minus three hundred eighty-four thousand nine hundred ninety-six
dollars (\$384,996);

8 (ii) On and after the effective date of the Newport Grand Master Contract, to the licensed,
9 video lottery retailer who is a party to the Newport Grand Master Contract, all sums due and payable
10 under said Master Contract, minus three hundred eighty-four thousand nine hundred ninety-six
11 dollars (\$384,996).

(iii) Effective July 1, 2013, the rate of net terminal income payable to the licensed, video
lottery retailer who is a party to the Newport Grand Master Contract shall increase by two and one
quarter percent (2.25%) points. The increase herein shall sunset and expire on June 30, 2015, and
the rate in effect as of June 30, 2013, shall be reinstated.

16 (iv)(A) Effective July 1, 2015, the rate of net terminal income payable to the licensed video 17 lottery retailer who is a party to the Newport Grand Master Contract shall increase over the rate in 18 effect as of June 30, 2013, by one and nine-tenths (1.9) percentage points. (i.e., x% plus 1.9 19 percentage points equals (x + 1.9)%, where "x%" is the current rate of net terminal income payable 20 to the licensed, video lottery retailer who is a party to the Newport Grand Master Contract). The 21 dollar amount of additional net terminal income paid to the licensed video lottery retailer who is a 22 party to the Newport Grand Master Contract with respect to any Newport Grand Marketing Year 23 as a result of such increase in rate shall be referred to as "Additional Newport Grand Marketing NTI." 24

25 (B) The excess, if any, of marketing expenditures incurred by the licensed, video lottery 26 retailer who is a party to the Newport Grand Master Contract with respect to a Newport Grand 27 Marketing Year over one million four hundred thousand dollars (\$1,400,000) shall be referred to as the "Newport Grand Marketing Incremental Spend." Beginning with the Newport Grand 28 29 Marketing Year that starts on July 1, 2015, after the end of each Newport Grand Marketing Year, 30 the licensed, video lottery retailer who is a party to the Newport Grand Master Contract shall pay 31 to the Division the amount, if any, by which the Additional Newport Grand Marketing NTI for such 32 Newport Grand Marketing Year exceeds the Newport Grand Marketing Incremental Spend for such 33 Newport Grand Marketing Year; provided however, that such video lottery retailer's liability to the 34 Division hereunder with respect to any Newport Grand Marketing Year shall never exceed the

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1 Additional Newport Grand Marketing NTI paid to such video lottery retailer with respect to such 2 Newport Grand Marketing Year.

3 The increase in subsection 2(a)(iv) shall sunset and expire upon the commencement of the 4 operation of casino gaming at Twin River-Tiverton's facility located in the town of Tiverton, and the rate in effect as of June 30, 2013, shall be reinstated. 5

6

(b)(i) Prior to the effective date of the UTGR master contract, to the present, licensed, video 7 lottery retailer at Lincoln Park, which is not a party to the UTGR master contract, twenty-eight and 8 eighty-five one hundredths percent (28.85%), minus seven hundred sixty-seven thousand six 9 hundred eighty-seven dollars (\$767,687);

10 (ii) On and after the effective date of the UTGR master contract, to the licensed, video 11 lottery retailer that is a party to the UTGR master contract, all sums due and payable under said 12 master contract minus seven hundred sixty-seven thousand six hundred eighty-seven dollars 13 (\$767,687).

14 (3) Except for the period commencing on January 1, 2023 and expiring on June 30, 2043, 15 (i) To the technology providers that are not a party to the GTECH Master Contract as set forth and 16 referenced in P.L. 2003, ch. 32, seven percent (7%) of the net terminal income of the provider's 17 terminals; in addition thereto, technology providers that provide premium or licensed proprietary 18 content or those games that have unique characteristics, such as 3D graphics; unique math/game 19 play features; or merchandising elements to video lottery terminals may receive incremental 20 compensation, either in the form of a daily fee or as an increased percentage, if all of the following 21 criteria are met:

22 (A) A licensed, video lottery retailer has requested the placement of premium or licensed 23 proprietary content at its licensed, video lottery facility;

24 (B) The division of lottery has determined in its sole discretion that the request is likely to 25 increase net terminal income or is otherwise important to preserve or enhance the competitiveness 26 of the licensed, video lottery retailer;

27 (C) After approval of the request by the division of lottery, the total number of premium or 28 licensed, proprietary-content video lottery terminals does not exceed ten percent (10%) of the total 29 number of video lottery terminals authorized at the respective licensed, video lottery retailer; and

30 (D) All incremental costs are shared between the division and the respective licensed, video 31 lottery retailer based upon their proportionate allocation of net terminal income. The division of 32 lottery is hereby authorized to amend agreements with the licensed, video lottery retailers, or the technology providers, as applicable, to effect the intent herein. 33

34

(ii) To contractors that are a party to the master contract as set forth and referenced in P.L.

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1 2003, ch. 32, all sums due and payable under said master contract; and

(iii) Notwithstanding paragraphs (i) and (ii), there shall be subtracted proportionately from
the payments to technology providers the sum of six hundred twenty-eight thousand seven hundred
thirty-seven dollars (\$628,737) which shall be distributed pursuant to Section 42-61.2-7(b)(3)(iii).
With respect to the period commencing on January 1, 2023 and expiring on June 30, 2043,
(i) To the exclusive technology provider, all sums due and payable under the VLT
Agreement;

8 (ii) Notwithstanding paragraph (i), there shall be subtracted from the payments to the 9 exclusive technology provider the sum of six hundred twenty-eight thousand seven hundred thirty-10 seven dollars (\$628,737) which shall be distributed pursuant to Section 42-61.2-7(b)(3)(iii); and

11 (iii) To IGT, all sums due and payable under the Video Lottery Agreement.

(4)(A) Until video lottery games are no longer operated at the Newport Grand gaming facility located in Newport, to the city of Newport one and one hundredth percent (1.01%) of net terminal income of authorized Video Lottery Terminals at Newport Grand, except that effective November 9, 2009, until June 30, 2013, the allocation shall be one and two tenths percent (1.2%) of net terminal income of authorized Video Lottery Terminals at Newport Grand for each week the facility operates video lottery games on a twenty-four-hour (24) basis for all eligible hours authorized; and

(B) Upon commencement of the operation of video lottery games at the Tiverton gaming
facility, to the town of Tiverton one and forty-five hundredths percent (1.45%) of net terminal
income of authorized Video Lottery Terminals at the Tiverton gaming facility, subject to subsection
(g)(2); and

(C) To the town of Lincoln, one and twenty-six hundredths percent (1.26%) of net terminal
 income of authorized Video Lottery Terminals at the Lincoln gaming facility except that:

(i) Effective November 9, 2009, until June 30, 2013, the allocation shall be one and fortyfive hundredths percent (1.45%) of net terminal income of authorized Video Lottery Terminals at
the Lincoln gaming facility for each week video lottery games are offered on a twenty-four-hour
(24) basis for all eligible hours authorized; and

(ii) Effective July 1, 2013, provided that the referendum measure authorized by P.L. 2011,
ch. 151, article 25 as amended, section 4, is approved statewide and in the Town of Lincoln, the
allocation shall be one and forty-five hundredths percent (1.45%) of net terminal income of
authorized Video Lottery Terminals at the Lincoln gaming facility, subject to subsection (h)(2);
and

34

(5) To the Narragansett Indian Tribe, seventeen hundredths of one percent (0.17%) of net

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1 terminal income of authorized Video Lottery Terminals at the Lincoln gaming facility , up to a 2 maximum of ten million dollars (\$10,000,000) per year, that shall be paid to the Narragansett Indian 3 Tribe for the account of a Tribal Development Fund to be used for the purpose of encouraging and 4 promoting: home ownership and improvement; elderly housing; adult vocational training; health 5 and social services; childcare; natural resource protection; and economic development consistent with state law. Provided, however, such distribution shall terminate upon the opening of any 6 7 gaming facility in which the Narragansett Indians are entitled to any payments or other incentives; 8 and provided, further, any monies distributed hereunder shall not be used for, or spent on, 9 previously contracted debts; and

10

(6) Unclaimed prizes and credits shall remit to the general fund of the state; and

(7) Payments into the state's general fund specified in subsections (a)(1) and (a)(6) shall be
made on an estimated monthly basis. Payment shall be made on the tenth day following the close
of the month except for the last month when payment shall be on the last business day.

(b) Notwithstanding the above, the amounts payable by the Division to UTGR related to
the marketing program described in the UTGR master contract (as such may be amended from time
to time) shall be paid on a frequency agreed by the Division, but no less frequently than annually.

17 (c) Notwithstanding anything in this chapter 61.2 of this title to the contrary, the director18 is authorized to fund the marketing program as described in the UTGR master contract.

(d) Notwithstanding the above, the amounts payable by the Division to the licensed, video
lottery retailer who is a party to the Newport Grand Master Contract related to the marketing
program described in the Newport Grand Master Contract (as such may be amended from time to
time) shall be paid on a frequency agreed by the Division, but no less frequently than annually.

(e) Notwithstanding anything in this chapter 61.2 of this title to the contrary, the director
is authorized to fund the marketing program as described in the Newport Grand Master Contract.

(f) Notwithstanding the provisions of Section 42-61-15, but subject to Section 42-61.27(h), the allocation of net table-game revenue derived from table games at the Lincoln gaming
facility is as follows:

(1) For deposit into the state lottery fund for administrative purposes and then the balanceremaining into the general fund:

30 (i) Sixteen percent (16%) of net table-game revenue, except as provided in Section 4231 61.2-7(f)(1)(ii);

(ii) An additional two percent (2%) of net table-game revenue generated at the Lincoln
gaming facility shall be allocated starting from the commencement of table games activities by
such table-game retailer and ending, with respect to such table-game retailer, on the first date that

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such table-game retailer's net terminal income for a full state fiscal year is less than such table game retailer's net terminal income for the prior state fiscal year, at which point this additional
 allocation to the state shall no longer apply to such table-game retailer.

4 (2) To UTGR, net table-game revenue not otherwise disbursed pursuant to subsection
5 (f)(1); provided, however, on the first date that such table-game retailer's net terminal income for a
6 full state fiscal year is less than such table-game retailer's net terminal income for the prior state
7 fiscal year, as set forth in subsection (f)(1)(ii), one percent (1%) of this net table-game revenue
8 shall be allocated to the town of Lincoln for four (4), consecutive state fiscal years.

9 (g) Notwithstanding the provisions of Section 42-61-15, the allocation of net table-game
10 revenue derived from table games at the Tiverton gaming facility is as follows:

(1) Subject to subsection (g)(2) of this section, one percent (1%) of net table-game revenue
shall be allocated to the town of Tiverton;

13 (2) Fifteen and one-half percent (15.5%) of net table-game revenue shall be allocated to 14 the state first for deposit into the state lottery fund for administrative purposes and then the balance 15 remaining into the general fund; provided however, that beginning with the first state fiscal year 16 that the Tiverton gaming facility offers patrons video lottery games and table games for all of such 17 state fiscal year, for that initial state fiscal year and each subsequent state fiscal year that such 18 Tiverton gaming facility offers patrons video lottery games and table games for all of such state 19 fiscal year, if the town of Tiverton has not received an aggregate of three million dollars 20 (\$3,000,000) in the state fiscal year from net table-game revenues and net terminal income, 21 combined, generated by the Tiverton gaming facility ("Tiverton Minimum"), then the state shall 22 make up such shortfall to the town of Tiverton out of the state's percentage of net table-game revenue set forth in this subsection (g)(2) and net terminal income set forth in subsections (a)(1)23 24 and (a)(6), so long as that there has not been a closure of the Tiverton gaming facility for more than 25 thirty (30) consecutive days during such state fiscal year, and, if there has been such a closure, then 26 the Tiverton Minimum, if applicable, shall be prorated per day of such closure and any closure(s) 27 thereafter for that state fiscal year; notwithstanding the foregoing, with respect to fiscal year 2021, 28 because of the closure of the Tiverton gaming facility due to the COVID-19 pandemic, the town of 29 Tiverton shall receive no less than a total of three million dollars (\$3,000,000) as an aggregate 30 payment for net, table-game revenues, net terminal income, and the shortfall from the state, 31 combined; provided further however, if in any state fiscal year either video lottery games or table 32 games are no longer offered at in the Tiverton gaming facility, then the state shall not be obligated 33 to make up the shortfall referenced in this subsection (g)(2); and

34

(3) Net, table-game revenue not otherwise disbursed pursuant to subsections (g)(1) and

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1 (g)(2) of this section shall be allocated to Twin River-Tiverton.

2 (h) Notwithstanding the foregoing Section 42-61.2-7(f) and superseding that section 3 effective upon the first date that the Tiverton gaming facility offers patrons video lottery games and 4 table games, the allocation of net table-game revenue derived from table games at the Lincoln 5 gaming facility shall be as follows:

6

(1) Subject to subsection (h)(2), one percent (1%) of net table-game revenue shall be 7 allocated to the town of Lincoln;

8 (2) Fifteen and one-half percent (15.5%) of net table-game revenue shall be allocated to 9 the state first for deposit into the state lottery fund for administrative purposes and then the balance 10 remaining into the general fund; provided however, that beginning with the first state fiscal year 11 that the Tiverton gaming facility offers patrons video lottery games and table games for all of such 12 state fiscal year, for that state fiscal year and each subsequent state fiscal year that the Tiverton 13 gaming facility offers patrons video lottery games and table games for all of such state fiscal year, 14 if the town of Lincoln has not received an aggregate of three million dollars (\$3,000,000) in the 15 state fiscal year from net table-game revenues and net terminal income, combined, generated by 16 the Lincoln gaming facility ("Lincoln Minimum"), then the state shall make up such shortfall to the 17 town of Lincoln out of the state's percentage of net table-game revenue set forth in this subsection 18 (h)(2) and net terminal income set forth in subsections (a)(1) and (a)(6), so long as that there has 19 not been a closure of the Tiverton gaming facility for more than thirty (30) consecutive days during 20 such state fiscal year, and, if there has been such a closure, then the Lincoln Minimum, if applicable, 21 shall be prorated per day of such closure and any closure(s) thereafter for that state fiscal year; 22 provided further however, if in any state fiscal year either video lottery games or table games are 23 no longer offered at the Tiverton gaming facility, then the state shall not be obligated to make up 24 the shortfall referenced in this subsection (h)(2); and 25 (3) Net, table-game revenue not otherwise disbursed pursuant to subsections (h)(1) and 26 (h)(2) shall be allocated to UTGR. SECTION 10. Section 44-11-11 of the General Laws in Chapter 44-11 entitled "Net 27 28 income' defined" is hereby amended to read as follows: 29 44-11-11. "Net income" defined. 30 (a)(1) "Net income" means, for any taxable year and for any corporate taxpayer, the taxable 31 income of the taxpayer for that taxable year under the laws of the United States, plus:

32 (i) Any interest not included in the taxable income;

(ii) Any specific exemptions; 33

34 (iii) The tax imposed by this chapter; and minus:

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- (iv) For any taxable year beginning on or after January 1, 2020, the amount of any Paycheck
 Protection Program loan forgiven for federal income tax purposes as authorized by the Coronavirus
 Aid, Relief, and Economic Security Act and/or the Consolidated Appropriations Act, 2021 and/or
 any other subsequent federal stimulus relief packages enacted by law, to the extent that the amount
- 5 of the loan forgiven exceeds \$250,000; and minus:

6 (iv)(v) Interest on obligations of the United States or its possessions, and other interest
7 exempt from taxation by this state; and

8

(v)(vi) The federal net operating loss deduction.

9 (2) All binding federal elections made by or on behalf of the taxpayer applicable either 10 directly or indirectly to the determination of taxable income shall be binding on the taxpayer except 11 where this chapter or its attendant regulations specifically modify or provide otherwise. Rhode 12 Island taxable income shall not include the "gross-up of dividends" required by the federal Internal 13 Revenue Code to be taken into taxable income in connection with the taxpayer's election of the 14 foreign tax credit.

(b) A net operating loss deduction shall be allowed, which shall be the same as the net
operating loss deduction allowed under 26 U.S.C. § 172, except that:

(1) Any net operating loss included in determining the deduction shall be adjusted to reflect
the inclusions and exclusions from entire net income required by subsection (a) of this section and
§ 44-11-11.1;

20 (2) The deduction shall not include any net operating loss sustained during any taxable year
21 in which the taxpayer was not subject to the tax imposed by this chapter; and

(3) The deduction shall not exceed the deduction for the taxable year allowable under 26
U.S.C. § 172; provided, that the deduction for a taxable year may not be carried back to any other
taxable year for Rhode Island purposes but shall only be allowable on a carry forward basis for the
five (5) succeeding taxable years.

(c) "Domestic international sales corporations" (referred to as DISCs), for the purposes of
this chapter, will be treated as they are under federal income tax law and shall not pay the amount
of the tax computed under § 44-11-2(a). Any income to shareholders of DISCs is to be treated in
the same manner as it is treated under federal income tax law as it exists on December 31, 1984.

30 (d) A corporation that qualifies as a "foreign sales corporation" (FSC) under the provisions
31 of subchapter N, 26 U.S.C. § 861 et seq., and that has in effect for the entire taxable year a valid
32 election under federal law to be treated as a FSC, shall not pay the amount of the tax computed
33 under § 44-11-2(a). Any income to shareholders of FSCs is to be treated in the same manner as it
34 is treated under federal income tax law as it exists on January 1, 1985.

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(e) For purposes of a corporation's state tax liability, any deduction to income allowable
 under 26 U.S.C. § 1400Z-2(c) may be claimed in the case of any investment held by the taxpayer
 for at least seven years. The division of taxation shall promulgate, in its discretion, rules and
 regulations relative to the accelerated application of deductions under 26 U.S.C. § 1400Z-2(c).

5 SECTION 11. Section 44-14-11 of the General Laws in Chapter 44-14 entitled "Gross
6 income' defined" is hereby amended to read as follows:

7

44-14-11. "Gross income" defined.

8 "Gross income" includes all gains, profits, and income of the taxpayer from whatever 9 sources derived during the income period; provided, that gains from the sale or other disposition of 10 any property other than securities shall not be included in gross income, and losses from the sale 11 or other disposition of any property other than securities shall not be deducted from gross income. 12 For taxable year beginning on or after January 1, 2020, gross income includes the amount of any 13 Paycheck Protection Program loan forgiven for federal income tax purposes as authorized by the 14 Coronavirus Aid, Relief, and Economic Security Act and/or the Consolidated Appropriations Act, 15 2021 and/or any other subsequent federal stimulus relief packages enacted by law, to the extent that 16 the amount of loan forgiven exceeds \$250,000. 17 SECTION 12. Section 44-30-12 of the General Laws in Chapter 44-30 entitled "Rhode 18 Island income of a resident individual" is hereby amended to read as follows: 19 44-30-12. Rhode Island income of a resident individual.

(a) *General.* The Rhode Island income of a resident individual means his or her adjusted
 gross income for federal income tax purposes, with the modifications specified in this section.

22 (b) *Modifications increasing federal adjusted gross income*. There shall be added to federal

23 adjusted gross income:

(1) Interest income on obligations of any state, or its political subdivisions, other thanRhode Island or its political subdivisions;

(2) Interest or dividend income on obligations or securities of any authority, commission,

27 or instrumentality of the United States, but not of Rhode Island or its political subdivisions, to the

extent exempted by the laws of the United States from federal income tax but not from state income

29 taxes;

26

30 (3) The modification described in § 44-30-25(g);

31 (4)(i) The amount defined below of a nonqualified withdrawal made from an account in
32 the tuition savings program pursuant to § 16-57-6.1. For purposes of this section, a nonqualified
33 withdrawal is:

34

(A) A transfer or rollover to a qualified tuition program under Section 529 of the Internal

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1 Revenue Code, 26 U.S.C. § 529, other than to the tuition savings program referred to in § 16-57-

2 6.1; and

3

(B) A withdrawal or distribution that is:

4 (I) Not applied on a timely basis to pay "qualified higher education expenses" as defined
5 in § 16-57-3(12) of the beneficiary of the account from which the withdrawal is made;

6

(II) Not made for a reason referred to in § 16-57-6.1(e); or

7 (III) Not made in other circumstances for which an exclusion from tax made applicable by 8 Section 529 of the Internal Revenue Code, 26 U.S.C. § 529, pertains if the transfer, rollover, 9 withdrawal, or distribution is made within two (2) taxable years following the taxable year for 10 which a contributions modification pursuant to subsection (c)(4) of this section is taken based on 11 contributions to any tuition savings program account by the person who is the participant of the 12 account at the time of the contribution, whether or not the person is the participant of the account 13 at the time of the transfer, rollover, withdrawal or distribution;

(ii) In the event of a nonqualified withdrawal under subsection (b)(4)(i)(A) or (b)(4)(i)(B)
of this section, there shall be added to the federal adjusted gross income of that person for the
taxable year of the withdrawal an amount equal to the lesser of:

17 (A) The amount equal to the nonqualified withdrawal reduced by the sum of any 18 administrative fee or penalty imposed under the tuition savings program in connection with the 19 nonqualified withdrawal plus the earnings portion thereof, if any, includible in computing the 20 person's federal adjusted gross income for the taxable year; and

(B) The amount of the person's contribution modification pursuant to subsection (c)(4) of this section for the person's taxable year of the withdrawal and the two (2) prior taxable years less the amount of any nonqualified withdrawal for the two (2) prior taxable years included in computing the person's Rhode Island income by application of this subsection for those years. Any amount added to federal adjusted gross income pursuant to this subdivision shall constitute Rhode Island income for residents, nonresidents and part-year residents;

27

(5) The modification described in § 44-30-25.1(d)(3)(i);

(6) The amount equal to any unemployment compensation received but not included in
 federal adjusted gross income; and

30 (7) The amount equal to the deduction allowed for sales tax paid for a purchase of a
31 qualified motor vehicle as defined by the Internal Revenue Code § 164(a)(6)-; and

32 (8) For any taxable year beginning on or after January 1, 2020, the amount of any Paycheck

33 Protection Program loan forgiven for federal income tax purposes as authorized by the Coronavirus

34 <u>Aid, Relief, and Economic Security Act and/or the Consolidated Appropriations Act, 2021 and/or</u>

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1 any other subsequent federal stimulus relief packages enacted by law, to the extent that the amount

2 of the loan forgiven exceeds \$250,000, including an individual's distributive share of the amount

3 of a pass-through entity's loan forgiveness in excess of \$250,000.

4

5

(c) Modifications reducing federal adjusted gross income. There shall be subtracted from federal adjusted gross income:

- (1) Any interest income on obligations of the United States and its possessions to the extent 6 7 includible in gross income for federal income tax purposes, and any interest or dividend income on 8 obligations, or securities of any authority, commission, or instrumentality of the United States to 9 the extent includible in gross income for federal income tax purposes but exempt from state income 10 taxes under the laws of the United States; provided, that the amount to be subtracted shall in any 11 case be reduced by any interest on indebtedness incurred or continued to purchase or carry 12 obligations or securities the income of which is exempt from Rhode Island personal income tax, to 13 the extent the interest has been deducted in determining federal adjusted gross income or taxable 14 income:
- 15

(2) A modification described in § 44-30-25(f) or § 44-30-1.1(c)(1);

16 (3) The amount of any withdrawal or distribution from the "tuition savings program" 17 referred to in § 16-57-6.1 that is included in federal adjusted gross income, other than a withdrawal 18 or distribution or portion of a withdrawal or distribution that is a nonqualified withdrawal;

19 (4) Contributions made to an account under the tuition savings program, including the 20 "contributions carryover" pursuant to subsection (c)(4)(iv) of this section, if any, subject to the 21 following limitations, restrictions and qualifications:

22 (i) The aggregate subtraction pursuant to this subdivision for any taxable year of the 23 taxpayer shall not exceed five hundred dollars (\$500) or one thousand dollars (\$1,000) if a joint 24 return;

25 (ii) The following shall not be considered contributions:

28

26

(A) Contributions made by any person to an account who is not a participant of the account

at the time the contribution is made; 27

(B) Transfers or rollovers to an account from any other tuition savings program account or

29 from any other "qualified tuition program" under section 529 of the Internal Revenue Code, 26

- 30 U.S.C. § 529; or
- 31 (C) A change of the beneficiary of the account;
- 32 (iii) The subtraction pursuant to this subdivision shall not reduce the taxpayer's federal adjusted gross income to less than zero (0); 33
- 34 (iv) The contributions carryover to a taxable year for purpose of this subdivision is the

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excess, if any, of the total amount of contributions actually made by the taxpayer to the tuition
 savings program for all preceding taxable years for which this subsection is effective over the sum
 of:

4 (A) The total of the subtractions under this subdivision allowable to the taxpayer for all
5 such preceding taxable years; and

6 (B) That part of any remaining contribution carryover at the end of the taxable year which 7 exceeds the amount of any nonqualified withdrawals during the year and the prior two (2) taxable 8 years not included in the addition provided for in this subdivision for those years. Any such part 9 shall be disregarded in computing the contributions carryover for any subsequent taxable year;

(v) For any taxable year for which a contributions carryover is applicable, the taxpayer
shall include a computation of the carryover with the taxpayer's Rhode Island personal income tax
return for that year, and if for any taxable year on which the carryover is based the taxpayer filed a
joint Rhode Island personal income tax return but filed a return on a basis other than jointly for a
subsequent taxable year, the computation shall reflect how the carryover is being allocated between
the prior joint filers;

16

(5) The modification described in § 44-30-25.1(d)(1);

(6) Amounts deemed taxable income to the taxpayer due to payment or provision of
insurance benefits to a dependent, including a domestic partner pursuant to chapter 12 of title 36 or
other coverage plan;

20

(7) Modification for organ transplantation.

(i) An individual may subtract up to ten thousand dollars (\$10,000) from federal adjusted
gross income if he or she, while living, donates one or more of his or her human organs to another
human being for human organ transplantation, except that for purposes of this subsection, "human
organ" means all or part of a liver, pancreas, kidney, intestine, lung, or bone marrow. A subtract
modification that is claimed hereunder may be claimed in the taxable year in which the human
organ transplantation occurs.

(ii) An individual may claim that subtract modification hereunder only once, and the
subtract modification may be claimed for only the following unreimbursed expenses that are
incurred by the claimant and related to the claimant's organ donation:

30 (A) Travel expenses.

31 (B) Lodging expenses.

32 (C) Lost wages.

33 (iii) The subtract modification hereunder may not be claimed by a part-time resident or a
34 nonresident of this state;

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(8) *Modification for taxable Social Security income.*

1

2 (i) For tax years beginning on or after January 1, 2016:

3 (A) For a person who has attained the age used for calculating full or unreduced social
4 security retirement benefits who files a return as an unmarried individual, head of household, or
5 married filing separate whose federal adjusted gross income for the taxable year is less than eighty
6 thousand dollars (\$80,000); or

(B) A married individual filing jointly or individual filing qualifying widow(er) who has
attained the age used for calculating full or unreduced social security retirement benefits whose
joint federal adjusted gross income for the taxable year is less than one hundred thousand dollars
(\$100,000), an amount equal to the social security benefits includable in federal adjusted gross
income.

(ii) Adjustment for inflation. The dollar amount contained in subsections (c)(8)(i)(A) and
(c)(8)(i)(B) of this section shall be increased annually by an amount equal to:

(A) Such dollar amount contained in subsections (c)(8)(i)(A) and (c)(8)(i)(B) of this section
adjusted for inflation using a base tax year of 2000, multiplied by;

16 (B) The cost-of-living adjustment with a base year of 2000.

17 (iii) For the purposes of this section the cost-of-living adjustment for any calendar year is 18 the percentage (if any) by which the consumer price index for the preceding calendar year exceeds 19 the consumer price index for the base year. The consumer price index for any calendar year is the 20 average of the consumer price index as of the close of the twelve-month (12) period ending on 21 August 31, of such calendar year.

(iv) For the purpose of this section the term "consumer price index" means the last consumer price index for all urban consumers published by the department of labor. For the purpose of this section the revision of the consumer price index which is most consistent with the consumer price index for calendar year 1986 shall be used.

(v) If any increase determined under this section is not a multiple of fifty dollars (\$50.00),
such increase shall be rounded to the next lower multiple of fifty dollars (\$50.00). In the case of a
married individual filing separate return, if any increase determined under this section is not a
multiple of twenty-five dollars (\$25.00), such increase shall be rounded to the next lower multiple
of twenty-five dollars (\$25.00);

31 (9) Modification for up to fifteen thousand dollars (\$15,000) of taxable retirement income
32 from certain pension plans or annuities.

(i) For tax years beginning on or after January 1, 2017, a modification shall be allowed for
up to fifteen thousand dollars (\$15,000) of taxable pension and/or annuity income that is included

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1 in federal adjusted gross income for the taxable year:

2 (A) For a person who has attained the age used for calculating full or unreduced social 3 security retirement benefits who files a return as an unmarried individual, head of household, or 4 married filing separate whose federal adjusted gross income for such taxable year is less than the 5 amount used for the modification contained in subsection (c)(8)(i)(A) of this section an amount not 6 to exceed \$15,000 of taxable pension and/or annuity income includable in federal adjusted gross 7 income; or

8 (B) For a married individual filing jointly or individual filing qualifying widow(er) who 9 has attained the age used for calculating full or unreduced social security retirement benefits whose 10 joint federal adjusted gross income for such taxable year is less than the amount used for the 11 modification contained in subsection (c)(8)(i)(B) of this section an amount not to exceed \$15,000 12 of taxable pension and/or annuity income includable in federal adjusted gross income.

(ii) Adjustment for inflation. The dollar amount contained by reference in subsections
(c)(9)(i)(A) and (c)(9)(i)(B) of this section shall be increased annually for tax years beginning on
or after January 1, 2018 by an amount equal to:

(A) Such dollar amount contained by reference in subsections (c)(9)(i)(A) and (c)(9)(i)(B)
of this section adjusted for inflation using a base tax year of 2000, multiplied by;

18 (B) The cost-of-living adjustment with a base year of 2000.

(iii) For the purposes of this section, the cost-of-living adjustment for any calendar year is
the percentage (if any) by which the consumer price index for the preceding calendar year exceeds
the consumer price index for the base year. The consumer price index for any calendar year is the
average of the consumer price index as of the close of the twelve-month (12) period ending on
August 31, of such calendar year.

(iv) For the purpose of this section, the term "consumer price index" means the last consumer price index for all urban consumers published by the department of labor. For the purpose of this section, the revision of the consumer price index which is most consistent with the consumer price index for calendar year 1986 shall be used.

(v) If any increase determined under this section is not a multiple of fifty dollars (\$50.00),
such increase shall be rounded to the next lower multiple of fifty dollars (\$50.00). In the case of a
married individual filing a separate return, if any increase determined under this section is not a
multiple of twenty-five dollars (\$25.00), such increase shall be rounded to the next lower multiple
of twenty-five dollars (\$25.00); and

(10) Modification for Rhode Island investment in opportunity zones. For purposes of a
 taxpayer's state tax liability, in the case of any investment in a Rhode Island opportunity zone by

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the taxpayer for at least seven (7) years, a modification to income shall be allowed for the
incremental difference between the benefit allowed under 26 U.S.C. § 1400Z-2(b)(2)(B)(iv) and
the federal benefit allowed under 26 U.S.C. § 1400Z-2(c).

4 (d) *Modification for Rhode Island fiduciary adjustment*. There shall be added to, or 5 subtracted from, federal adjusted gross income (as the case may be) the taxpayer's share, as 6 beneficiary of an estate or trust, of the Rhode Island fiduciary adjustment determined under § 44-7 30-17.

8 (e) *Partners*. The amounts of modifications required to be made under this section by a
9 partner, which relate to items of income or deduction of a partnership, shall be determined under §
10 44-30-15.

- SECTION 13. Sections 1 through 7 of this article shall take effect July 1, 2021. Sections 8
 through 12 of this article shall take effect upon passage.
- 13

ARTICLE 7

1

2	RELATING TO THE ENVIRONMENT
3	SECTION 1. Section 2-7-4 of the General Laws in Chapter 2-7 entitled "Commercial
3	Fertilizer" is hereby amended to read as follows:
4	
	2-7-4. Registration.
6	(a) Each brand and grade of commercial fertilizer shall be registered by the manufacturer
7	or by that person whose name appears upon the label before being distributed in this state. The
8	application for registration shall be submitted to the director on a form furnished by the director,
9	and shall be accompanied by a fee of seventy-two dollars (\$72.00) one hundred dollars (\$100) per
10	brand or grade registered.
11	(1) All revenues received from registration fees shall be deposited as general revenues.
12	(2) All applications for registration shall be accompanied by a label or true copy of the
13	label.
14	(3) Upon approval by the director, a copy of the registration shall be furnished to the
15	applicant.
16	(4) All registrations expire on December 31st of each year.
17	(5) The application includes the following information:
18	(i) The brand and grade;
19	(ii) The guaranteed analysis;
20	(iii) The name and address of the registrant.
21	(b) A distributor is not required to register any commercial fertilizer which is already
22	registered under this chapter by another person, providing the label does not differ in any respect.
23	(c) A distributor is not required to register each grade of commercial fertilizer formulated
24	according to specifications which are furnished by a consumer prior to mixing.
25	(d) The plant nutrient content of each and every brand and grade of commercial fertilizer
26	must remain uniform for the period of registration.
27	SECTION 2. Sections 20-2-15, 20-2-16, 20-2-17, 20-2-18, 20-2-18.1, 20-2-18.3, 20-2-30,
28	20-2-37 and 20-2-42 of the General Laws in Chapter 20-2 entitled "Licensing" are hereby amended
29	to read as follows:
30	20-2-15. Freshwater fishing license.

1	(a)(1) Resident: eighteen dollars (\$18.00). twenty-one dollars (\$21.00); commencing July
2	1, 2025, twenty-four dollars (\$24.00); commencing July 1, 2028, twenty-seven dollars (\$27.00).
3	(2) Nonresident: thirty-five dollars (\$35.00). thirty-eight dollars (\$38.00); commencing
4	July 1, 2025, forty-one dollars (\$41.00); commencing July 1, 2028, forty-four dollars (\$44.00).
5	(3) Nonresident tourist: sixteen dollars (\$16.00). eighteen dollars (\$18.00); commencing
6	July 1, 2025, twenty dollars (\$20.00); commencing July 1, 2028, twenty-two dollars (\$22.00). This
7	license shall entitle the licensee to fish in Rhode Island for three (3) consecutive days including the
8	day of issue.
9	(b) Freshwater fishing licenses shall expire on the last day of February of each year.
10	20-2-16. Hunting License.
11	(a)(1) Resident: eighteen dollars (\$18.00). twenty-one dollars (\$21.00); commencing July
12	1, 2025, twenty-four dollars (\$24.00); commencing July 1, 2028, twenty-seven dollars (\$27.00).
13	(2) Nonresident: forty-five dollars (\$45.00). fifty-five dollars (\$55.00); commencing July
14	1, 2025, sixty-five dollars (\$65.00); commencing July 1, 2028, seventy-five dollars (\$75.00).
15	(3) Nonresident landowner: a nonresident citizen of the United States and owner of real
16	estate in Rhode Island assessed for taxation at a valuation of not less than thirty thousand dollars
17	(\$30,000) may obtain a resident's hunting license.
18	(4) Shooting preserve: three dollars and fifty cents (\$3.50).
19	(5) Nonresident three (3) day: sixteen dollars (\$16.00) twenty dollars (\$20.00). This license
20	shall entitle the licensee to hunt in Rhode Island for three (3) consecutive days as validated by the
21	issuing agent.
22	(6) Resident junior hunting license: fourteen dollars (\$14.00).
23	(7) Nonresident junior hunting license: forty dollars (\$40.00).
24	(b) Hunting licenses shall expire on the last day of February of each year.
25	20-2-17. Combination fishing and hunting license.
26	The director may grant to any eligible resident applying for a combination hunting and
27	fishing license a license that shall entitle the licensee to the privileges of both hunting and fishing
28	licenses, for a fee of thirty three dollars (\$33.00) thirty-eight dollars (\$38.00); commencing July 1,
29	2025, forty-three dollars (\$43.00); commencing July 1, 2028, forty-eight dollars (\$48.00). The
30	license shall expire on the last day of February of each year.
31	20-2-18. Deer Permits.
32	(a)(1) Resident: twelve dollars and fifty cents (\$12.50) thirteen dollars (\$13.00);
33	commencing July 1, 2025, fourteen dollars (\$14.00); commencing July 1, 2028, fifteen dollars
34	<u>(\$15.00)</u> .

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(2) Nonresident: twenty-five twenty-six_dollars and fifty cents (\$25.50\$26.50)-;
 commencing July 1, 2025, twenty-seven dollars and fifty cents (\$27.50); commencing July 1, 2028,
 twenty-eight dollars and fifty cents (\$28.50).
 (b) A deer permit is good only for the season in which it is issued.

5

20-2-18.1. Wild turkey permits.

(a) No person shall attempt to take any wild turkey without first obtaining a regular hunting 6 7 license and a turkey permit for the current year. Permits shall be sold at the direction of the director 8 for a fee of seven dollars and fifty cents eight dollars (\$7.50\$8.00) for residents and twenty-one 9 dollars and fifty cents (\$20.00\$21.50) for nonresidents. Commencing July 1, 2025, permits shall 10 be sold for a fee of nine dollars (\$9.00) for residents and twenty-three dollars (\$23.00) for 11 nonresidents. Commencing July 1, 2028, permits shall be sold for a fee of ten dollars and fifty cents 12 (\$10.50) for residents and twenty-four dollars and fifty cents (\$24.50) for nonresidents. The issuing 13 agent may retain a fee of fifty cents (\$.50) for each permit and shall remit seven dollars (\$7.00) for 14 resident permits and nineteen dollars and fifty cents (\$19.50) for nonresident permits the remainder 15 to the department.

16

(b) A wild turkey permit shall be good only for the season in which it is issued.

(c) All monies derived by the department from the sale of wild turkey permits shall be
 expended for turkey habitat acquisition in Rhode Island and wild turkey restoration management
 and research.

20 **<u>20-2-18.3. Stocked game bird permit fees and bag limits.</u>**

21 Permits shall be sold at the direction of the director for a fee of fifteen seventeen dollars 22 and fifty cents (\$15.50 \$17.00). Commencing July 1, 2025, the fee for a permit shall be eighteen dollars and fifty cents (\$18.50). Commencing July 1, 2028, the fee for a permit shall be twenty-one 23 24 dollars (\$21.00). The issuing agent will retain a fee of fifty cents (\$0.50) for each permit and shall 25 remit fifteen dollars (\$15.00) the remainder to the department. The permit will allow the person to 26 harvest a daily bag and season limit as described in regulations promulgated by the director. All 27 monies derived by the department from the sale of stocked game bird permits shall be expended 28 for stocking game birds and wildlife habitat acquisition in Rhode Island.

- 29 **20-2-30. Fur trapping and licenses.**
- 30 (a)(1) Fur trapper Resident: ten fifteen dollars ($\frac{10.00}{15.00}$; commencing July 1, 2025,
- 31 twenty dollars (\$20.00); commencing July 1, 2028, twenty-five dollars (\$25.00).

32 (2) Fur trapper – Nonresident: thirty fifty dollars (\$30.00 \$50.00); commencing July 1,

- 33 2025, seventy-five dollars (\$75.00); commencing July 1, 2028, one hundred dollars (\$100.00).
- 34
 - (b) Fur trapper and fur licenses expire on the last day of March of each year.

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1

20-2-37. Waterfowl stamp fees.

2 (a) Stamps shall be sold at the direction of the director for a fee of seven eight dollars and fifty cents (\$7.50\$8.00). Commencing July 1, 2025, the fee for a stamp shall be nine dollars (\$9.00). 3 4 Commencing July 1, 2028, the fee for a stamp shall be ten dollars (\$10.00). The issuing agent may 5 retain a fee of fifty cents (\$.50) for each stamp and shall remit seven dollars (\$7.00) the remainder of each fee to the department. The director shall establish a uniform sale price for all categories of 6 7 by-products. 8 (b) [Deleted by P.L. 2002, ch. 65, art. 13, § 16.] 9 20-2-42. Trout conservation stamp fee. Stamps shall be sold at the direction of the director for a fee of five dollars and fifty cents 10 11 (\$5.50). Commencing July 1, 2025, the fee for a stamp shall be six dollars (\$6.00). Commencing 12 July 1, 2028, the fee for a stamp shall be six dollars and fifty cents (\$6.50). The issuing agent may 13 retain a fee of fifty cents (\$.50) for each stamp sold and shall remit five dollars (\$5.00) the 14 remainder of each fee to the department. The director shall establish uniform sale prices for all 15 categories of by-products. 16 SECTION 3. Section 20-2-27.1 of the General Laws in Chapter 20-2 entitled "Licensing" 17 is hereby amended to read as follows: 18 20-2-27.1. Rhode Island party and charter boat vessel license Rhode Island party and 19 charter vessel license. 20 (a) All party and charter **boats** <u>vessels</u> carrying recreational passengers to take or attempt 21 to take marine fish species upon the navigable state and coastal waters of Rhode Island shall be 22 required to obtain a Rhode Island party and charter boat vessel license. The licenses shall be issued 23 by the department on a biennial basis for a fee of twenty five dollars (\$25) per vessel. The annual 24 fee shall be one hundred dollars (\$100) for a resident of Rhode Island and shall be three hundred 25 dollars (\$300) for a non-resident. All licensed party and charter boats vessels shall be required to 26 display a party and charter boat vessel decal provided by the department. To obtain a license, the 27 owner of a qualified vessel must submit: 28 (1) A current copy of the operator's United States Coast Guard license to carry passengers for hire; 29 30 (2) A current copy of the vessel's "Certificate of Documentation" certifying that the vessel 31 is documented "Coastwise", or if the vessel is under five (5) net tons, a copy of the vessel's state 32 registration; 33 (3) Proof that the operator and crew are currently enrolled in a random drug testing program 34 that complies with the federal government's 46 C.F.R. § 16.101 et seq. "Drug Testing Program"

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- 1 regulations; and
- 2 (4) A signed license application form certifying that the vessel is and will be operated in
 3 compliance with all state and federal safety regulations for the vessel.
- 4 (b) Rhode Island party and charter boat vessel licenses shall expire on the last day of
 5 February December every other year, with the first expiration date being in February 2001.
- 6 SECTION 4. Sections 20-2.1-3, 20-2.1-4, 20-2.1-7 and 20-2.1-8 of the General Laws in
 7 Chapter 20-2.1 entitled "Commercial Fishing Licenses" are hereby amended to read as follows:
- 8 <u>20-2.1-3. Definitions.</u>
- 9 For the purposes of this chapter the following terms shall mean:
- (1) "Basic harvest and gear levels" means fishery specific harvest and/or gear levels,
 established and regularly updated by the department by rule, that, in a manner consistent with the
 state or federally sanctioned management plans or programs that may be in effect, and to the extent
 possible given those plans and programs, provide a maximum level of participation for commercial
- 14 fishing license holders in accordance with applicable endorsements.
 - (1) "Activity Standard" means a level of fishing participation used to establish criteria for
- 16 the issuance of new licenses.

15

- 17 (2) "Commercial fisherman" means a natural person <u>licensed to who</u> catches, harvests, or
 18 takes finfish, crustaceans, or shellfish <u>marine species</u> from the marine waters for sale.
- 19 (3) "Council" means the marine fisheries council established by chapter 3 of this title.
- 20 (4) "Crustaceans" means lobsters, crabs, shrimp, and for purposes of this chapter it also
 21 includes horseshoe crabs.
- 22 (5) "Director" means the director of the department of environmental management.

23 (6) "Endorsement" means the designation of a fishery in which a license holder may participate at either basic or full harvest and gear levels. Endorsement categories and levels shall 24 25 be established annually by the department by rule, based on the status of the various fisheries, the 26 levels of participation of existing license holders, and the provisions of applicable management 27 plans or programs. At a minimum, endorsement categories and endorsement opportunities shall 28 include, but may not be limited to: non-lobster crustacean; lobster; non-quahaug shellfish; quahaug; non restricted finfish; and restricted finfish. Endorsements, when available, shall be issued in 29 accordance with applicable qualifying criteria. 30 31 (7) "February 28" means the twenty-eighth (28th) day in the month of February or the next business day if February 28 falls on a Saturday or Sunday for the purpose of application submittals 32

- 33 and renewal deadlines.
- 34 (6) "Family member" means a spouse, mother, father, brother, sister, child, or grandchild

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- 1 of the holder or transferor of a commercial fishing license. 2 (87) "Finfish" means cold-blooded aquatic vertebrates with fins, including fish, sharks, 3 rays, skates, and eels and also includes, for the purposes of this chapter, squid. 4 (98) "Fisheries sectors" means and comprises crustaceans, finfish, shellfish, as defined in 5 this section, each of which shall singularly be considered a fishery sector. (10) "Full harvest and gear levels" means fishery-specific harvest and/or gear levels, 6 7 established and regularly updated by the department by rule, that, in a manner consistent with the 8 state or federally sanctioned management plans or programs that may be in effect, and to the extent 9 possible given those plans and programs, provide a maximum level of participation for principal 10 effort license holders in accordance with applicable endorsements and for all multi-purpose license holders. 11 12 (9) "Fishery Endorsement" means the authorization for a license holder to participate in a 13 designated fishery sector at a limited or unlimited level. 14 (<u>1110</u>) "Grace period" means sixty (60) calendar days commencing <u>the last day of</u> February 15 28, as defined herein, and shall only apply to renewals of licenses from the immediately preceding 16 year; provided, that for calendar year 2004 the grace period shall be ninety (90) calendar days 17 commencing February 29, 2004. 18 (1211) "Medical hardship" means a significant medical condition that prevents a license 19 applicant from meeting the application requirements renders an active licensed person unable to 20 fish for a period in excess of fourteen (14) days, either as a result of the physical loss of function
- 21 or impairment of a body part or parts, or debilitating pain. Demonstration of the medical hardship
- 22 <u>shall be in the form of a diagnosis and prognosis signed by a medical doctor (M.D. or O.D.).</u>
- 23 (12) "Medical Incapacity" means death or injury that renders an active license holder
- 24 permanently unable to actively fish. Demonstration of medical incapacity shall be in the form of a
- 25 death certificate, or a diagnosis and prognosis signed by a medical doctor (M.D. or O.D.).
- 26 (13) "Other Endorsement" means the authorization for a license holder or vessel to
- 27 participate in a designated activity.
- 28 (134) "Shellfish" means quahogs, clams, mussels, scallops, oysters, conches, and mollusks
- 29 in general other than squid.
- 30 (14<u>5</u>) "Student commercial fisherman" means a resident twenty-three (23) years of age or
- 31 younger<u>, licensed pursuant to this chapter</u>, who is a full-time student.
- 32 **20-2.1-4.** Licenses -- General provisions governing licenses issued General provisions
- 33 governing licenses issued.
- 34 (a) Licenses and vessel declarations required <u>Applicability</u>. It shall be unlawful for any

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1 person in Rhode Island or the waters of the state: (1) To take, eatch, harvest, possess, or to hold, or 2 transport for sale in Rhode Island any marine finfish, crustacean, or shellfish species without a 3 license issued under the provisions of this title, provided, however, that marine finfish, crustaceans, 4 or shellfish species may be transported by a duly licensed dealer if the marine finfish, crustaceans, 5 or shellfish species have previously been sold by a duly licensed person; or (2) To engage in commercial fishing from a vessel unless the vessel has been declared a commercial fishing vessel 6 as provided in § $20-2.1-5(\frac{2}{2})$ and has a decal affixed to it or is displaying a plate. 7

8

(b) Validation of license. No license issued under this chapter shall be valid until signed by 9 the licensee in his or her own handwriting.

10 (c) Transfer or loan of license. Unless otherwise provided for in this title, a license issued 11 to a person under this chapter shall be good only for the person to whom it is issued and any transfer 12 or loan of the license shall be grounds for revocation or suspension of that license pursuant to § 20-13 2-13.

14 (d) Reporting and inspections condition of license. All persons granted a license under the 15 provisions of this chapter are deemed to have consented to the reporting requirements applicable 16 to commercial fishing actively that are established pursuant to this title and to the reasonable 17 inspection of any boat, vessel, net, rake, bullrake, tong, dredge, trap, pot, vehicle, structure, or other 18 contrivance used regularly for the keeping or storage of fish, shellfish or crustaceans marine 19 species, and any creel, box, locker, basket, crate, blind, fishing, or paraphernalia used in 20 conjunction with the licensed activity by persons duly authorized by the director. The provisions 21 of § 20-1-8(a)(7)(ii) shall apply to these inspections.

22 (e) Possession, inspection, and display of license. Every person holding a license issued under this chapter shall have that license in his or her possession at all times while engaged in the 23 24 licensed activity and shall present the license for inspection on demand by any authorized person. 25 Any person who shall refuse to present a license on demand shall be liable to the same punishment 26 as if that person were fishing without a license.

27 (f) Application for license. Every person entitled to a license under this chapter shall file 28 an application with the director, or the director's authorized agent, properly sworn to, stating the 29 name, age, occupation, place of residence, mailing address, weight, height, and color of hair and 30 eyes of the applicant for whom the license is wanted and providing any other information that may 31 be required pursuant to rule in order to effectuate the purposes of this chapter, and pay the fees as 32 provided in this chapter. All licenses issued under this chapter shall be valid only for the calendar 33 year of issuance, unless otherwise specified in this chapter or in the rules and regulations adopted 34 pursuant to this chapter. If the person will be either the owner or the operator as provided in § 20-

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1 2.1-5(57) of a commercial fishing vessel, the person shall declare, on the application for each 2 commercial fishing vessel, the vessel name, length, horsepower, state registration number or coast 3 guard documentation number, federal permit number, if any, gear type(s), the principal fishery or 4 fisheries, and average projected crew size.

5

(g) Application deadline, grace period for renewals, and limitation on appeals after the deadlines. For commercial marine fishing licenses provided for in §§ 20-2.1-5 and 20-2.1-6, the 6 7 following provisions shall apply:

8 (1) Unless otherwise specified in this chapter, an individual qualified to obtain a license 9 must submit an application to the department of environmental management no later than the last day of February 28 of each year; license application shall be deemed valid if submitted to the 10 11 department prior to the close of regular office hours on <u>the last day of</u> February 28 or if postmarked 12 by the last day of February 28;

13 (2) Unless otherwise specified in this title, no new or renewed licenses shall be issued after 14 the last day of February 28 of each year, unless an applicant has submitted an application by the 15 February 28 deadline required by this section;

16 (3) The department shall notify all license holders, in writing, regarding the December 31 17 expiration and the February 28 renewal deadline no later than November 1 of each year;

18 (4) For renewals of existing commercial marine fishing licenses that expire on December 19 31 of the immediately preceding year, there shall be a sixty-day (60) grace period from the renewal 20 deadline of February 28; licenses issued during the grace period shall be subject to a late fee in the 21 amount of two-hundred dollars (\$200) in addition to all other applicable fees;

22 (5) Except as provided for in subsection (g)(4) of this section or $20-2.1-5(1)(\frac{1}{10})$, the 23 department shall not accept any applications submitted after the last day of February 28; and

24 (6) There shall be no right to request reconsideration by the commercial fishing license 25 review board or an appeal to the department of environmental management's administrative adjudication division (AAD) for the rejection of any new license applications submitted after the 26 27 last day of February 28, or any license renewal applications submitted after the sixty (60) day grace 28 period., except iIn the case of a documented medical hardship as defined herein medical condition 29 that prevents a license applicant from meeting the application requirements, the license applicant 30 has no more than one year after the expiration of a license to appeal to AAD. Demonstration of 31 such medical condition shall be in the form of a diagnosis and prognosis signed by a medical doctor 32 (M.D. or O.D.).

33 (h) Lost or destroyed licenses and duplicate licenses. Whoever loses, or by a mistake or 34 accident destroys his or her certificate of a commercial marine fisheries license, may, upon

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1 application to the department accompanied by an affidavit fully setting forth the circumstances of 2 the loss, receive a duplicate certificate license for the remainder of the year covered by the original 3 certificate, for a fee of ten dollars (\$10.00) for each duplicate license.

4

(i) Revocation of licenses.

5 (1) License revocation. The license of any person who has violated the provisions of this chapter, or rules adopted pursuant to the provisions of this chapter, or rules and regulations that 6 7 pertain to commercial fishing and reporting issued pursuant to this title, may be suspended or 8 revoked by the director as the director shall determine by regulation. Any person aggrieved by an 9 order of suspension or revocation may appeal this order in accordance with the provisions of the 10 administrative procedures act, chapter 35 of title 42.

11 (2) False statements and violations; cancellation of license. Any person who willfully 12 makes a false representation as to birthplace or requirements of identification or of other facts 13 required in an application for license under this chapter, or is otherwise directly or indirectly a party 14 to a false representation, shall be punished by a fine of not more than fifty dollars (\$50.00). A 15 license obtained by any person through a false representation shall be null and void, and the license 16 shall be surrendered immediately to the director. No license shall be issued under this title to this 17 person for a period of one year from the date of imposition of a penalty under this section.

18 (3) False, altered, forged, or counterfeit licenses. Every person who falsely makes, alters, 19 forges, or counterfeits, or who causes to be made, altered, forged, or counterfeited, a license issued 20 under this chapter or title or purporting to be a license issued under this chapter or title, or who 21 shall have in his or her possession such a license knowing it to be false, altered, forged, or 22 counterfeit, is guilty of a misdemeanor and is subject to the penalties prescribed in § 20-1-16.

23 (*j*) *Expiration*. Unless otherwise specified in this title, all licenses issued under this chapter 24 shall be annual and shall expire on December 31 of each year. It shall be unlawful for any person 25 to fish commercially in Rhode Island waters on an expired license; and the application and grace 26 periods set forth in subsections (g)(1) and (g)(4) above shall not extend the validity of any expired 27 license.

28 (k) Notice of change of address. Whenever any person holding any commercial fishing 29 license shall move from the address named in his or her last application, that person shall, within 30 ten (10) days subsequent to moving, notify the office of boat registration and licensing of his or her 31 former and current address.

32

20-2.1-7. Landing permits and fees.

33

Landing permits shall be issued as provided for in chapter 4 of this title. In addition, a non-34 resident must obtain a landing permit, for a fee of two hundred dollars (\$200), to off-load or land

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species harvested outside Rhode Island waters. The landing permit shall be valid for the calendar
 year in which it was issued. The department shall adopt any rules and procedures that may be
 necessary for the timely issuance of landing permits in order to facilitate the off-loading and sale
 of non-quota species harvested outside state waters.

- 5 (a) All residents or non-residents, with the exception of persons or vessels with qualifying
 6 Rhode Island fishing licenses, who have charge of a vessel carrying seafood products legally
- 7 harvested outside Rhode Island waters shall obtain a permit to land, sell or offer for sale seafood
- 8 products in Rhode Island. The permit shall be issued by the department upon proof that the
- 9 <u>applicant holds a valid state or federal commercial fishing license.</u>
- (1) Resident landing permit: for the landing, sale or offering for sale of marine species
 (including process product), caught by any means: the fee shall be three hundred dollars (\$300).
- 12 (2) Non-resident landing permit: for the landing, sale or offering for sale of marine species
- 13 (including process product), caught by any means, excluding restricted species as defined by rule.
- 14 The fee shall be six hundred dollars (\$600).
- 15 (3) Non-resident exempted landing permits.
- 16 (i) A new landing permit shall not be issued to any non-resident to off-load, land, offer for
 17 sale, or sell any restricted marine species, the definition of which shall be established by the
 18 department by rule and shall take into account species for which a quota has been allocated to the
 19 state of Rhode Island by the Atlantic States Marine Fisheries Council or the National Marine
 20 Fisheries service, unless:
- 21 (A) the landing shall be counted against the quota of the state where the vessel making the
- 22 landing is registered or documented; or

23 (B) the state where the vessel making the landing is registered or documented issues new 24 landing permits to Rhode Island residents to land against that state's quota for the same species. For 25 purposes of this section, the renewal of any non-resident landing permit shall be considered a new 26 non-resident landing permit unless the applicant can show, to the satisfaction of the director, 27 historic participation in the fishery and landings of the species; and any change or upgrade of a 28 vessel twenty percent (20%) or greater in length, displacement, or horsepower above the named 29 vessel shall be considered a new landing permit. Issuance of a landing permit shall not be deemed 30 to create a property right that can be sold, transferred, or encumbered; landing permits shall be 31 surrendered to the state upon their non-renewal or forfeiture, and the acquisition of a named vessel 32 by a non-resident who does not already have a landing permit shall not entitle the non-resident to

- 33 <u>a landing permit unless a new landing permit can be issued as allowed in this section.</u>
- 34 (4) Fee: The fee shall be six hundred dollars (\$600).

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- 1 (b) Landing permits shall be valid for the calendar year in which they are issued.
- 2 (c) The department shall adopt any rules and procedures that may be necessary for the
 3 timely issuance of these permits in order to facilitate the off-loading and sale of seafood products,
 4 except restricted finfish, harvested outside Rhode Island waters.
- 5 (d) Notwithstanding the provisions of this section, a commercial vessel with seafood
- 6 products on board may, without a landing permit, enter Rhode Island waters and be secured to a
- 7 shoreside facility for purposes other than landing, selling, or offering for sale the seafood products
- 8 on board if the person having charge of the vessel obtains permission from the department's division
- 9 of law enforcement prior to securing the vessel to the shoreside facility.
- 10 **20-2.1-8. Dealers' licenses and fees.**
- In accordance with §§ 20-4-1.1, 20-6-24, and 20-7-5.1, the following dealers' licenses shall
- 12 be issued by the department:
- 13 (a) No person, partnership, firm, association, or corporation shall barter or trade in marine
- 14 species taken by persons licensed under this chapter unless a license so to do has been obtained
- 15 <u>from the director of environmental management.</u>
- 16 (b) Any licensee operating under the provisions of this section shall purchase marine
- species from licensed persons only and shall purchase or possess only those lobsters legally taken
 or possessed.
- 19 (c) The director shall issue and enforce rules and regulations and orders governing bartering
- 20 and trading in marine species by licensed persons of marine species and licensed dealers, and other
- 21 persons, partnerships, firms, associations, or corporations.
- 22 (d) License types and fees:

(1) Multi-purpose Rhode Island dealer's license. This license shall allow the holder dealer
to deal purchase or sell all marine products in the state of Rhode Island. The license shall be valid
for the calendar year in which it is issued. The cost of the license fee shall be three hundred four
hundred and fifty dollars (\$300 450).

27 (2) *Finfish dealer's license*. This license shall allow the holder dealer to deal purchase or

28 <u>sell</u> all finfish products in the state of Rhode Island. The license shall be valid for the calendar year

in which it is issued. The cost of the license fee shall be two hundred three hundred dollars (\$200
30 <u>300</u>).

31 (3) Shellfish dealer's license. This license shall allow the holder dealer to deal purchase or
32 sell all shellfish products in the state of Rhode Island. The license shall be valid for the calendar
33 year in which it is issued. The cost of the license fee shall be two hundred three hundred dollars
34 (\$200 300).

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- 1 (4) Crustacean dealer license. This license shall allow the dealer to purchase all crustacean 2 products in the state of Rhode Island. The license shall be valid for the calendar year in which it is
- 3 issued. The fee shall be three hundred dollars (\$300).
- 4 (e) Seafood dealers license - suspension or revocation.-The director may suspend, revoke,
- 5 or deny the license of a seafood dealer or fisher of marine species for the violation of any provision
- of this title or the rules, regulations, or orders adopted or issued pursuant to this title. 6
- 7 (f) Any person aggrieved by the decisions of the director may appeal the decision pursuant
- 8 to the provisions of the Administrative Procedures Act, chapter 35 of title 42.
- 9 (g) The director is authorized to enter and inspect the business premises, appurtenant
- 10 structures, vehicles, or vessels of any seafood dealer and to inspect the records maintained by a
- 11 seafood dealer for the purpose of determining compliance with the provisions of this section and
- 12 any rules, regulations, or orders issued under this section, and no person shall interfere with,
- 13 obstruct the entrance, or inspection of the director or the director's agents of those business
- 14 premises, appurtenant structures, vehicles or vessels.
- 15 (h) Any violation of the provisions of this section or any rule, regulation, or order adopted
- 16 under this section shall be subject to penalties prescribed in § 20-1-16.
- 17 SECTION 5. Sections 20-2.1-5 and 20-2.1-6 of the General Laws in Chapter 20-2.1 entitled 18 "Commercial Fishing Licenses" are hereby amended to read as follows:
- 19

20-2.1-5. Resident licenses, endorsements and fees.

- 20 The director shall establish, as a minimum, the following types of licenses and 21 endorsements set forth in this section. In addition, the director may establish any other classes and 22 types of licenses and endorsements, consistent with the provisions of this chapter and with adopted 23 management plans that may be necessary to accomplish the purposes of this chapter:
- 24 (1) Types of licenses.
- 25 (i) <u>Standard resident</u> Commercial fishing license. Rhode Island residents shall be eligible 26 to obtain a standard resident commercial fishing license; the license shall allow the holder to engage 27 in commercial fishing in fisheries sectors, per dictated by the fishery endorsement(s) associated 28 with the license at basic harvest and gear levels. Fishery endorsements shall be established by the 29 department consistent with fishery management plans developed pursuant to this chapter. The annual fee for a commercial fishing license shall be fifty dollars (\$50.00) and twenty-five dollars 30 31 (\$25.00) for each endorsement at the basic harvest and gear levels.
- 32 (ii) Principal effort license. Duly licensed persons, in a fishery as of December 31 of the immediately preceding year, shall be eligible to obtain a principal effort license for the fishery 33
- 34 sector for which they were licensed on December 31 of the immediately preceding year, which

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principal effort license shall allow its holder to fish in a fishery sector at the full harvest and gear levels. Principal effort license holders, in addition to the fishery sector of their principal effort, shall be eligible to obtain endorsements for the other fishery sectors at the full harvest and gear levels, if and when those endorsements are made available; the annual fee for each other fishery sector endorsement shall be seventy five dollars (\$75). Principal effort license holders shall also be eligible to obtain a commercial fishing license with endorsements, except for fisheries in which the license holder can fish at the full harvest and gear levels.

8 *(iii) Multi-purpose license.* All multi-purpose license holders as of December 31 of the 9 immediately preceding year shall be eligible to obtain a multi-purpose license that shall allow the 10 holder to engage in commercial fishing in all fisheries sectors at the full harvest and gear levels. At 11 the time of application for a multi-purpose license and each annual renewal of it, the applicant shall 12 make a non-binding declaration of which fishing sectors the applicant intends to place significant 13 fishing effort during the period covered by the license. The annual fee for multi-purpose license 14 shall be three hundred dollars (\$300).

(A<u>iii</u>) Student shellfish license. A resident twenty-three (23) years or younger shall pay fifty dollars (\$50.00) for a student commercial license to take shellfish upon provision of proof of full-time student status. An individual qualified to obtain a license must submit an application to the department of environmental management no later than June 30; a license application shall be deemed valid if submitted to the department prior to the close of regular office hours on June 30 or if postmarked by June 30.

(Biv) Over sixty-five (65) shellfish license. A resident sixty-five (65) years of age and over
shall be eligible for a shellfish license to shellfish commercially and there shall be no fee for this
license.

- 24 (v) Multipurpose vessel license. Any multipurpose license holder shall be eligible to obtain 25 a multipurpose vessel license that shall allow the vessel owner to designate any operator to engage 26 in commercial fishing for all marine species aboard their owned vessel, provided the vessel owner 27 has consigned a multipurpose fishing license to the department. The department may then re-issue 28 the consigned multipurpose fishing license to the commercially declared fishing vessel as a 29 multipurpose vessel license. The director has the authority to limit the number of multipurpose vessel licenses issued annually by rule. The fee for a multipurpose vessel license shall be one 30 31 thousand dollars (\$1,000). 32 (2) Fees. (i) Standard resident commercial fishing license. 33
- 34 (A) Standard resident commercial fishing license plus one limited fishery endorsement:

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- 1 <u>The fee shall be one hundred fifty dollars (\$150).</u>
- 2 (B) Standard resident commercial fishing license plus two limited fishery endorsement:
- 3 The fee shall be two hundred dollars (\$200).
- 4 (C) Standard resident commercial fishing license plus three limited fishery endorsement:
- 5 The fee shall be two hundred fifty dollars (\$250).
- 6 (D) Standard resident commercial fishing license plus one unlimited fishery endorsement:
- 7 <u>The fee shall be three hundred dollars (\$300).</u>
- 8 (E) Standard resident commercial fishing license plus one unlimited fishery endorsement
- 9 and one limited fishery endorsement: The fee shall be three hundred fifty dollars (\$350).
- 10 (F) Standard resident commercial fishing license plus two unlimited fishery endorsement:
- 11 The fee shall be three hundred seventy-five dollars (\$375).
- 12 (G) Standard resident commercial fishing license plus one unlimited fishery endorsement
- 13 and two limited fishery endorsement: The fee shall be four hundred dollars (\$400).
- 14 (H) Standard resident commercial fishing license plus two unlimited fishery endorsement
- 15 and one limited fishery endorsement: The fee shall be four hundred twenty-five dollars (\$425).
- 16 (ii) Multipurpose license: The fee shall be four hundred fifty dollars (\$450).
- 17 (iv) Special licenses.
- 18 (23) Vessel declaration and fees; gear endorsement and fees.

19 (i) Vessel declaration and fee. (A) The department shall require the owner and/or the 20 operator of a commercial fishing vessel to declare the vessel on the owner/operator's commercial 21 fishing license. The declaration shall be made at the time of initial license issuance and each 22 renewal, or prior to the vessel being used for commercial fishing by the owner and/or operator if 23 the first usage of the vessel for commercial fishing occurs during the course of a year after the 24 license has been issued or renewed. If the declaration is for a vessel of less than twenty-five feet 25 (25') in length, the declaration shall be transferable to another vessel less than twenty-five feet (25') 26 in length, provided the vessel is identified as commercial fishing vessel while it is being used for 27 commercial fishing by displaying a plate as provided in § 20-2.1-4.

(B) The annual fee for each vessel declaration shall be twenty-five dollars (\$25.00) for the
first twenty-five feet (25') or under, plus fifty cents (\$0.50) per foot for each whole foot over twentyfive feet (25'); this declaration fee shall entitle the holder to a decal. The holder of a valid decal for
twenty-five feet (25') in length or under may obtain a plate from the department for display on a
vessel twenty-five feet (25') in length that is being used temporarily for commercial fishing; the
annual fee for a plate shall be fifteen dollars (\$15.00).

34

(iii4) Gear endorsements and fees.

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(A) Shellfish dredging endorsement. A resident of this state who holds a multipurpose
 license and/or an appropriate shellfish license is also eligible to apply for a shellfish dredging
 endorsement to take quahogs, mussels, and surf clams by dredges hauled by powerboat. The annual
 fee shall be twenty dollars (\$20.00).

(B) Fish trap endorsements. A person who holds a multi-purpose license and/or a principal-5 6 effort license for finfish is also eligible to apply for a fish trap endorsement in accordance with the 7 permitting provisions in chapter 5 of this title. The fee shall be twenty dollars (\$20.00) per trap 8 location for a three year (3) period. Applicants who possessed a valid fish trap endorsement as of 9 the immediately preceding year may obtain a fish trap endorsement for the immediately following 10 year, subject to the same terms and conditions in effect as the immediately preceding year. New 11 fish trap endorsement opportunities shall be established by the department by rule, pursuant to 12 applicable management plans and the provisions in chapter 5 of this title.

13 (*Ci*) *Gill net endorsements*. A person who holds a multipurpose license, or a vessel with a 14 multipurpose vessel license, and/or a principal effort license for finfish is also eligible to apply for 15 a commercial gill net endorsement in accordance with the provisions of this section. The annual 16 fee for a commercial gill net endorsement *is shall be* twenty dollars (\$20.00). Applicants who 17 possessed a gill net endorsement as of the immediately preceding year may obtain a gill net 18 endorsement for the immediately following year. New gill net endorsement opportunities shall be 19 established by the department by rule, pursuant to applicable management plans.

20 (D<u>ii</u>) Miscellaneous gear *Other endorsements*. The department may establish by rule any 21 specific gear endorsements that may be necessary or appropriate to effectuate the purposes of this 22 chapter and facilitate participation in a specific fishery with a specific type of gear; the fee for such 23 a gear endorsement shall not be greater than two hundred dollars (\$200), but may be a lesser 24 amount. This endorsement shall be issued only in a manner consistent with the general requirements 25 of this chapter, including specifically those governing residency.

 $26 \qquad (\underline{35}) New licenses.$

(i) Eligibility. For new principal effort standard resident commercial fishing and multi purpose licenses, priority shall be given to applicants who have held a lower level of commercial
 fishing license for two (2) years or more, applicants with military service, and applicants who have
 completed a department authorized commercial fishing training program, with preference to family

31 members and crew members of a license holder who is retiring his or her license.

(*ii*) *Priority or preference applicants*. A new license shall be granted to priority/preference
 applicants who have acquired vessel and_or gear from a license holder who has retired a license,
 provided, that as the result of any such transaction, for each license retired, not more than one new

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license may be granted, nor may the nominal effort, including the total number of licenses, in a
 fishery subject to effort controls or catch restrictions be increased.

3 *(iii) Availability of new or additional licenses.* New principal effort standard resident 4 commercial fishing and multipurpose licenses that increase the total number of licenses in the 5 fishery may be made available by rule consistent with management plan for issuance effective 6 January 1, in any year, based on status of resource and economic condition of fishery. Priority for 7 new licenses shall be given to Rhode Island residents.

8 (46) *Retirement of licenses*. Issuance of <u>a commercial fishing</u> license shall not be deemed 9 to create a property right such that the license can be sold or transferred by <u>the</u> license holder; 10 fishing licenses shall be surrendered to the state upon their non-renewal, forfeiture, or revocation.

11 (57) Transfer for Issuance of temporary operator permits in cases of medical 12 hardship. Notwithstanding the provisions of § 20-2.1-4(c), a license may be transferred to a family 13 member upon the incapacity or death of the license holder who has actively participated in 14 commercial fishing. The transfer shall be effective upon its registration with the department. A 15 family member shall be defined as the spouse, mother, father, brother, sister, child, or grandchild 16 of the transferor. The department shall make available, as necessary, temporary operator permits to 17 provide solely for the continued operation of a fishing vessel upon the illness, incapacity, or death 18 determination of medical hardship of a license holder who has actively participated in commercial 19 fishing fished., which Temporary operator permits shall be subject at a minimum to the conditions 20 and restrictions that applied to the license holder.

(8) *Issuance of new Licenses to family members in cases of medical incapacity:* Upon
determination of medical incapacity, an actively fished license may be surrendered to the
Department for the purpose of the concurrent issuance of a new license to a resident family member.
(9) *Issuance of new licenses upon the sale of a commercial fishing business:* Upon the sale
of a commercial fishing business, as defined by rule, a new license may be issued to the buyer upon
the surrender of the seller's license to the department for the purpose of the concurrent issuance of

28 (610) Transfer of vessels and gear. Vessels and gear may be sold, transferred, or disposed 29 at the sole discretion of the owner; provided, however, that the subsequent level of use of the gear 30 may be restricted in Rhode Island waters in order to accomplish the purposes of a duly adopted 31 management plan or other duly adopted program to reduce effort.

- 32 **20-2.1-6.** Non-resident licenses, endorsements and fees.
- 33 Subject to the rules of the department, non-residents may apply for the following
- 34 commercial fishing licenses:

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(1) <u>Standard</u> Nnon-resident principal effort commercial fishing license.

1

2 (i) Non-residents age eighteen (18) and over shall be eligible to obtain a standard nonresident commercial fishing license and, in accordance with applicable qualifying criteria, available 3 4 fishery sector endorsements, provided that the state of residence of the person affords the same 5 privilege in a manner that is not more restrictive to Rhode Island residents. A standard non-resident principal effort commercial fishing license shall allow the license holder to harvest, land, and sell 6 7 in a lawful manner any marine species of finfish, per as dictated by the fishery endorsement(s), at 8 principal harvest and gear levels and as allowed in a management plan adopted by the department 9 associated with the license. Fishery endorsements shall be established by the department consistent 10 with fishery management plans developed pursuant to this chapter. 11 (ii) Duly Rhode Island-licensed non-residents in a commercial fishery as of December 31 12 of the immediately preceding year shall be eligible to obtain a standard non-resident principal effort 13 <u>commercial fishing</u> license with a single sector endorsement applicable to the fishery <u>sectors</u> for 14 which they were licensed as of December 31 of the immediately preceding year; provided: 15 (A) that the state of residence of the person affords the same privilege in a manner that is 16 not more restrictive to Rhode Island residents; 17 (B) that those persons apply for the standard non-resident principal effort commercial 18 fishing license in accordance with § 20-2.1-4(g); and 19 (C) that those persons shall also be subject to any other restrictions that were applicable to 20 the license as of December 31 of the immediately preceding year, which other restrictions may be 21 altered or changed consistent with a fishery management plans adopted by the department 22 developed pursuant to this chapter. 23 (iii) Persons not duly licensed as of December 31 of the immediately preceding year shall 24 be eligible to obtain a standard non-resident principal effort commercial fishing license, per 25 endorsement, when available, consistent with fishery management plans developed pursuant to this 26 chapter, in accordance with applicable qualifying criteria and as allowed in a management plan 27 adopted by the department, provided that the state of residence of the person affords the same 28 privilege in a manner that is not more restrictive to Rhode Island residents. 29 (iv) The annual fee for a standard non-resident principal effort license shall be four hundred 30 dollars (\$400), plus one hundred dollars (\$100) per endorsement. 31 (2) Non-resident commercial fishing license. (i) A non-resident commercial fishing license 32 shall allow the holder to harvest, land, and sell in a lawful manner any species of finfish, per 33 endorsement(s), at basic harvest and gear levels and as allowed in a management plan adopted by 34 the department.

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1	(ii) Non residents age eighteen (18) and over shall be eligible to obtain a non-resident
2	commercial fishing license and, in accordance with applicable qualifying criteria, available fishery
3	sector endorsements, provided that the state of residence of the person affords the same privilege
4	in a manner that is not more restrictive to Rhode Island residents.
5	(iii) Holders of non resident principal effort licenses shall not be eligible to obtain non-
6	resident commercial fishing licenses with the same fishery sector endorsements.
7	(iv) Duly Rhode Island licensed non-residents in a commercial fishery as of December 31
8	of the immediately preceding year shall be eligible to obtain a non-resident commercial fishing
9	license in their endorsed fishery sector as of December 31 of the immediately preceding year
10	provided:
11	(A) That the state of residence of the person affords the same privilege in a manner that is
12	not more restrictive to Rhode Island residents;
13	(B) That those persons apply for the non-resident commercial fishing license in accordance
14	with § 20-2.1-4(g); and
15	(C) That those persons shall also be subject to any other restrictions that were applicable
16	to the license as of December 31 of the immediately preceding year which other restrictions may
17	be altered or changed consistent with a management plan adopted by the department.
18	(v) The annual fee for a non-resident commercial fishing license shall be one hundred fifty
19	dollars (\$150), plus fifty dollars (\$50.00) per endorsement.
20	<u>(2) Fees.</u>
21	(i) Standard non-resident commercial fishing license.
22	(A) Standard non-resident commercial fishing license plus one limited fishery
23	endorsement: The fee shall be three hundred fifty dollars (\$350).
24	(B) Standard non-resident commercial fishing license plus one unlimited fishery
25	endorsement: The fee shall be seven hundred dollars (\$700).
26	(C) Standard non-resident commercial fishing license plus two limited fishery
27	endorsements: The fee shall be seven hundred dollars (\$700).
28	(D) Standard non-resident commercial fishing license plus three limited fishery
29	endorsements: The fee shall be one thousand fifty dollars (\$1,050).
30	(E) Standard non-resident commercial fishing license plus one unlimited fishery
31	endorsement and one limited fishery endorsement: The fee shall be one thousand fifty dollars
32	<u>(\$1,050).</u>
33	(F) Standard non-resident commercial fishing license plus one unlimited fishery
34	endorsement and two limited fishery endorsements: The fee shall be one thousand four hundred
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1 dollars (\$1,400).

- (G) Standard non-resident commercial fishing license plus two unlimited fishery 2 3 endorsements: The fee shall be one thousand four hundred dollars (\$1,400).
- 4 (H) Standard non-resident commercial fishing license plus two unlimited and one limited 5 fishery endorsement: The fee shall be one thousand seven hundred fifty dollars (\$1,750).
- 6

(3) Vessel declaration and fees. The department shall require a non-resident owner and/or 7 operator of a commercial fishing vessel to make a declaration for that vessel; which shall be made 8 at the time of initial license issuance and each renewal, or prior to the vessel's being used for 9 commercial fishing in Rhode Island waters by the non-resident owner and/or operator if the first 10 usage of the vessel for commercial fishing occurs during the course of a year after the license has 11 been issued or renewed, for a cost of fifty dollars (\$50.00), plus one dollar and fifty cents (\$1.50)

12 for each whole foot over twenty-five feet (25') in length overall.

13 (4) New licenses. Any resident of a state that accords to Rhode Island residents commercial 14 fishing privileges that include an ability to obtain a new license to fish for finfish species that are 15 subject to restrictions and/or quotas, may on species specific reciprocal basis be eligible to obtain 16 commercial fishing licenses and principal effort standard non-resident commercial fishing licenses 17 by endorsement as provided in this section, subject to availability and with the priority established

- 18 in § 20-2.1-5(3)(iii).
- SECTION 6. Sections 20-4-1.1, 20-4-1.2 and 20-4-1.3 of the General Laws in Chapter 20-19 20 4 entitled "Commercial Fisheries" are hereby repealed.
- 21 20-4-1.1. Finfish dealers license License for finfish buyers Suspension or
- 22 revocation.

(a) No person, partnership, firm, association, or corporation shall barter or trade in finfish 23 24 taken by persons licensed under this chapter unless a license so to do has been obtained from the

- 25 director of environmental management.
- 26 (b) Any licensee operating under the provisions of this section shall purchase finfish from
- 27 licensed persons only and shall purchase or possess only those finfish legally taken or possessed.
- 28 (c) The director shall issue and enforce rules and regulations and orders governing bartering

29 and trading in finfish by licensed fishers of finfish and licensed finfish buyers and other persons,

- 30 partnerships, firms, associations, or corporations.
- 31 (d) The director may suspend, revoke, or deny the license of a finfish buyer or fisher of 32 finfish for the violation of any provision of this title or the rules, regulations, or orders adopted or
- 33 issued pursuant to this title.
- 34

(e) Any person aggrieved by the decisions of the director may appeal the decision pursuant

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1 to the provisions of the Administrative Procedures Act, chapter 35 of title 42.

2 (f) The director of the department of environmental management and the director's agents are authorized to enter and inspect the business premises, appurtenant structures, vehicles, or 3 4 vessels of any finfish buyer and to inspect the records maintained by a finfish buyer for the purpose 5 of determining compliance with the provisions of this section and any rules, regulations, or orders issued under this section, and no person shall interfere with, obstruct the entrance, or inspection of 6 the director or the director's agents of those business premises, appurtenant structures, vehicles or 7 8 vessels. 9 (g) Any violation of the provisions of this section or any rule, regulation, or order adopted 10 under this section shall be subject to penalties prescribed in § 20-1-16.

11

20-4-1.2. Resident or non-resident commercial landing permit.

(a) Each resident or non resident who has charge of a vessel carrying seafood products
 legally harvested outside Rhode Island waters shall obtain a permit to land, sell or offer for sale
 seafood products in Rhode Island. The permit shall be issued by the department upon proof that the
 applicant holds a valid state or federal commercial fishing license and upon payment of the
 following fees:

(1) Resident or non-resident finfish landing permit: for the landing sale or offering for sale
of non-restricted finfish, the definition of which shall be established by the department by rule,
caught by any means, two hundred dollars (\$200) for residents of the state; four hundred dollars
(\$400) for non-residents of the state.

(2) Resident or non-resident shellfish landing permit: (includes process product), two
 hundred dollars (\$200) for residents of the state; four hundred dollars (\$400) for non-residents of
 the state. This permit allows the holder to land shellfish (surf clams, blue mussels, ocean quahaugs,
 sea scallops) legally harvested in federal water.

(3) Resident or non-resident miscellaneous landing permit: includes all other seafood
 products not specified under any other provision of this chapter, two hundred dollars (\$200) for
 residents of the state; four hundred dollars (\$400) for non-residents of the state.

28 (4) Multi-purpose resident or non-resident landing permit: This permit allows a resident or

29 non resident to land and sell all marine products in the state of Rhode Island, except restricted

30 finfish, the definition of which shall be established by the department by rule, three hundred dollars

31 (\$300) for residents of the state; six hundred dollars (\$600) for non-residents of the state.

32 (b) Landing permits shall be valid for the calendar year in which they are issued.

33 (c) The department shall adopt any rules and procedures that may be necessary for the

34 timely issuance of these permits in order to facilitate the off-loading and sale of seafood products,

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1 except restricted finfish, harvested outside Rhode Island waters.

2 (d) Notwithstanding the provisions of this section, a commercial vessel with seafood
3 products on board may, without a landing permit, enter Rhode Island waters and be secured to a
4 shoreside facility for purposes other than landing, selling, or offering for sale the seafood products
5 on board if the person having charge of the vessel obtains permission from the department's division
6 of law enforcement prior to securing the vessel to the shoreside facility

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20-4-1.3. Non-resident landing permits.

8 A new landing permit shall not be issued to any non-resident to off-load, land, offer for 9 sale, or sell any restricted marine species, the definition of which shall be established by the department by rule and shall take into account species for which a quota has been allocated to the 10 11 state of Rhode Island by the Atlantic States Marine Fisheries Council or the National Marine 12 Fisheries service, unless: (1) the landing shall be counted against the quota of the state where the 13 vessel making the landing is registered or documented; or (2) the state where the vessel making the 14 landing is registered or documented issues new landing permits to Rhode Island residents to land 15 against that state's quota for the same species. For purposes of this section, the renewal of any non-16 resident landing permit shall be considered a new non-resident landing permit unless the applicant 17 can show, to the satisfaction of the director, historic participation in the fishery and landings of the 18 species; and any change or upgrade of a vessel twenty percent (20%) or greater in length, 19 displacement, or horsepower above the named vessel shall be considered a new landing permit. 20 Issuance of a landing permit shall not be deemed to create a property right that can be sold, 21 transferred, or encumbered; landing permits shall be surrendered to the state upon their non-renewal 22 or forfeiture, and the acquisition of a named vessel by a non-resident who does not already have a 23 landing permit shall not entitle the non resident to a landing permit unless a new landing permit 24 can be issued as allowed in this section 25 SECTION 7. Section 20-6-24 of the General Laws in Chapter 20-6 entitled "Shellfish" is

hereby repealed.

27 <u>20-6-24. License for shellfish buyers – Suspension or revocation.</u>

(a) No person, partnership, firm, association, or corporation shall barter or trade in shellfish
 taken by persons licensed under this chapter unless a license so to do has been obtained from the
 director of environmental management.

31 (b) Any licensee operating under the provisions of this section shall purchase shellfish from
 32 licensed persons only and shall purchase or possess only those shellfish legally taken or possessed.
 33 (c) The director shall issue and enforce rules and regulations and orders governing bartering

34 and trading in shellfish by licensed fishers of shellfish, licensed shellfish buyers and other persons,

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1 partnerships, firms, associations, or corporations.

2	(d) The director may suspend, revoke, or deny the license of a shellfish buyer or fisher of
3	shellfish for the violation of any provision of this title or the rules, regulations, or orders adopted
4	or issued pursuant to this title.
5	(e) Any person aggrieved by the decision of the director may appeal the decision pursuant
6	to the provisions of the Administrative Procedures Act, chapter 35 of title 42.
7	(f) The director of the department of environmental management and the director's agents
8	are authorized to enter and inspect the business premises, appurtenant structures, vehicles, or
9	vessels of any shellfish buyer and to inspect records maintained by a shellfish buyer for the purpose
10	of determining compliance with the provisions of this section and any rules, regulations, or orders
11	issued under this section, and no person shall interfere with or obstruct the entrance or inspection
12	of the director or the director's agents of those business premises, appurtenant structures, vehicles,
13	or vessels.
14	(g) Any violation of the provisions of this section or any rule, regulation, or order adopted
15	under this section shall be subject to the penalties prescribed in § 20-1-16.
16	SECTION 8. Section 20-7-5.1 of the General Laws in Chapter 20-7 entitled "Lobsters and
17	Other Crustaceans" is hereby repealed.
18	<u>20-7-5.1 Lobster dealer's license.</u>
19	(a) No person, partnership, firm, association, or corporation shall barter or trade in lobsters
20	taken by persons licensed under this chapter unless a license so to do has been obtained from the
21	director of environmental management.
22	(b) Any licensee operating under the provisions of this section shall purchase lobsters from
23	licensed persons only and shall purchase or possess only those lobsters legally taken or possessed.
24	(c) The director shall issue and enforce rules and regulations and orders governing bartering
25	and trading in lobsters by licensed fishers of lobster and licensed lobster buyers and other persons,
26	partnerships, firms, associations, or corporations.
27	(d) The director may suspend, revoke, or deny the license of a lobster buyer or fisher of
28	lobster for the violation of any provision of this title or the rules, regulations, or orders adopted or
29	issued pursuant to this title.
30	(e) Any person aggrieved by the decision of the director may appeal the decision pursuant
31	to the provision of the Administrative Procedures Act, chapter 35 of title 42.
32	(f) The director of the department of environmental management and the director's agents
33	are authorized to enter and inspect the business premises, appurtenant structures, vehicles or vessels
34	of any lobster buyer and to inspect records maintained by a lobster buyer for the purposes of

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- determining compliance with the provisions of this section and any rules, regulations, or orders
 issued under this section, and no person shall interfere with or obstruct the entrance or inspection
- 3 of the director or the director's her agents of those business premises, appurtenant structures,
- 4 vehicles or vessels.
- 5 (g) Any violation of the provisions of this section or any rule, regulation or order adopted
 6 hereunder shall be subject to the penalties prescribed in § 20-1-16.
- 7
- 8

9

21-14-12. Inspection of business premises – Dockside Program Established.

Packing Houses" is hereby amended to read as follows:

SECTION 9. Section 21-14-12 of the General Laws in Chapter 21-14 entitled "Shellfish

10 (a) The director shall make regular inspections of the business premises of licensees and 11 no person shall interfere with or obstruct the entrance of the director to any packing house or 12 structural appurtenance to it, vessel, or vehicle for the purpose of making inspection as to sanitary 13 conditions during reasonable business hours, and no person shall obstruct the conduct of this 14 inspection; provided, that inspections as to sanitary conditions shall be made only by the director 15 or employees of the department of health. These employees of the department of health shall not 16 be construed to include agents whom the director may appoint in other departments for the purpose 17 of enforcing other provisions of this chapter; and provided, that nothing in this section shall be 18 construed as having granted to the director or any duly authorized official of the department the 19 right of search and seizure without a warrant.

20 (b) The director shall be authorized to establish a dockside program, including the

21 promulgation of any rules and regulations deemed necessary or advisable in connection therewith,

22 pursuant to the relevant provisions of the National Shellfish Sanitation Program (NSSP) Model

23 Ordinance. Promulgating such rules and regulations pursuant to the NSSP Model Ordinance shall

24 assure that the marine shellfish processers, licensed by the department to land and process surf

25 clams and/or other marine shellfish species acquired in federal waters, are doing so in sanitary

26 <u>fashion that comports with national standards. Such rules and regulations shall also be consistent</u>

- 27 with the landing permit requirements of the department of environmental management in section
- 28 <u>20-2.1-7. The dockside program shall not apply to aquaculture processers.</u>
- (c) The licensing fees from the dockside program shall be deposited into the general fund.
 However, the amount of the revenues collected for the dockside program shall be appropriated to
 the department of health for its administration of this program. The director shall have the authority
 to establish the licensing fees and limit the number of licenses issued, at his or her sole discretion.
 SECTION 10. Section 23-25-6.1 of the General Laws in Chapter 23-25 entitled "Pesticide"
- 34 Control" is hereby amended to read as follows:

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1 <u>23-25-6.1. Registration fee - Surcharge.</u>

In addition to the annual registration fee of fifty dollars (\$50.00) as required by § 23-25-6, an additional one hundred fifty dollar (\$150) two hundred fifty dollars (\$250) registration surcharge fee shall be imposed upon each pesticide to be sold or used within the state, unless the director has determined the subject product is a "statewide minor use" product pursuant to § 23-25-6(b)(3). The registration surcharge fee shall be deposited as general revenues. SECTION 11. Sections 1, 2, and 10 of this article shall take effect on July 1, 2021. Section

8 5 of this article shall take effect on July 1, 2022. The remainder of this article shall take effect upon

9 passage.

art.008/1

ARTICLE 8

2	RELATING TO PUBLIC UTILITIES AND CARRIERS
3	SECTION 1. Chapter 39-2 of the General Laws entitled "Duties of Utilities and Carriers"
4	is hereby amended by adding thereto the following sections:
5	<u>39-2-26. Emergency response plans.</u>
6	Submission, approval, penalties for failure to file, and denial of recovery of service
7	restoration costs for failure to implement emergency response plan.
8	(a) Each electric distribution company and natural gas distribution company conducting
9	business in the state shall, on or before May 15, 2022 and annually thereafter, submit to the division
10	an emergency response plan for review and approval. The emergency response plan shall be
11	designed for the reasonably prompt restoration of service in the case of an emergency event, which
12	is an event where widespread outages have occurred in the service area of the company due to
13	storms or other causes beyond the control of the company.
14	(b) After review of an electric distribution or natural gas distribution company's emergency
15	response plan, the division may request that the company amend the plan. The division may open
16	an investigation of the company's plan. If, after hearings, the division finds a material deficiency in
17	the plan, the division may order the company to make such modifications that it deems reasonably
18	necessary to remedy the deficiency.
19	(c) Any investor-owned electric distribution or natural gas distribution company that fails
20	to file its emergency response plan may be fined five hundred dollars (\$500) for each day during
21	which such failure continues. Any fines levied by the division shall be returned to ratepayers
22	through distribution rates in a manner determined by the commission.
23	(d) Each investor-owned electric distribution or natural gas distribution company, when
24	implementing an emergency response plan, shall designate an employee or employees to remain
25	stationed at the Rhode Island emergency management agency's emergency operations center for
26	the duration of the emergency when the emergency operations center is activated in response to an
27	emergency with an electric or gas service restoration component. In the event of a virtual activation
28	of the emergency activation center, each investor-owned electric and natural gas distribution
29	company shall designate an employee or employees to participate in the virtual activation. The
30	employee or employees shall coordinate communications efforts with designated local and state

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1 <u>emergency management officials, as required by this section.</u>

2 (e) Each investor-owned electric distribution or natural gas distribution company, when implementing an emergency response plan, shall designate an employee or employees to serve as 3 4 community liaisons for each municipality within their service territory. An investor-owned electric 5 distribution or natural gas distribution company shall provide each community liaison with the necessary feeder map or maps outlining municipal substations and distribution networks and up-6 7 to-date customer outage reports at the time of designation as a community liaison. An investor-8 owned electric distribution or natural gas distribution company shall, at a minimum, provide each 9 community liaison with three (3) customer outage report updates for each twenty-four (24) hour 10 period, to the liaison's respective city or town. The community liaison shall utilize the maps and 11 outage reports to respond to inquiries from state and local officials and relevant regulatory agencies. 12 (f) On or before October 1 of each year, every city or town shall notify each investor-owned 13 electric distribution or natural gas distribution company and the Rhode Island emergency 14 management agency of the name of the emergency management official or designee responsible 15 for coordinating the emergency response during storm restoration. If a municipality does not have 16 a designated emergency management official, the chief municipal officer shall designate one public 17 safety official responsible for said emergency response. 18 (g) Notwithstanding any existing power or authority, the division may open an 19 investigation to review the performance of any investor-owned electric distribution or natural gas 20 distribution company in restoring service during an emergency event. If, after evidentiary hearings 21 or other investigatory proceedings, the division finds that, as a result of the failure of the company 22 to follow its approved emergency response plan, the length of the outages were materially longer 23 than they would have been but for the company's failure, the division shall recommend that the 24 commission enter an order denying the recovery of all, or any part of, the service restoration costs through distribution rates, commensurate with the degree and impact of the service outage. 25 26 (h) Notwithstanding any general or special law or rule or regulation to the contrary, upon 27 request by the commission, division and any emergency management agency each electric 28 distribution or natural gas distribution company conducting business in the state shall provide 29 periodic reports regarding emergency conditions and restoration performance during an emergency 30 event consistent with orders of the commission and/or division. 31 39-2-27. Standards of acceptable performance for emergency preparation and 32 restoration of service. The division shall open a docket and establish standards of acceptable performance for 33 34 emergency preparation and restoration of service for each investor-owned electric and gas

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- 1 <u>distribution company doing business in the state. The division shall levy a penalty not to exceed</u>
- 2 <u>one hundred thousand dollars (\$100,000) for each violation for each day that the violation of the</u>
- 3 division's standards persists; provided, however, that the maximum penalty shall not exceed seven
- 4 million five hundred thousand dollars (\$7,500,000) for any related series of violations. The division
- 5 shall open a full investigation, upon its own initiative. Nothing herein shall prohibit any affected
- 6 city or town from filing a complaint with the division regarding a violation of the division's
- 7 standards of acceptable performance by an investor-owned electric distribution or natural gas
- 8 distribution company; provided, however, that said petition shall be filed with the division no later
- 9 than ninety (90) days after the violation has been remedied. After an initial review of the complaint,
- 10 the division shall make a determination as to whether to open a full investigation.
- 11 **39-2-28. Levied penalties to be credited back to customers.**
- 12 Any penalty levied by the division against an investor-owned electric distribution or natural
- 13 gas distribution company for any violation of the division's standards of acceptable performance
- 14 for emergency preparation and restoration of service for electric and gas distribution companies
- 15 <u>shall be credited back to the company's customers in a manner determined by the commission.</u>
- 16 SECTION 2. This article shall take effect upon passage.

ARTICLE 9

1

2 RELATING TO ECONOMIC DEVELOPMENT 3 SECTION 1. Sections 5-8-2, 5-8-10, 5-8-11, 5-8-12 and 5-8-15 of Chapter 5-8 of the 4 General Laws entitled "Engineers" are hereby amended as follows: 5 5-8-2. Definitions. 6 As used or within the intent of this chapter: 7 (a) "Accredited program" means specific engineering curricula within established 8 institutions of higher learning that have both met the criteria of, and have been designated by, the 9 Engineering Accreditation Commission of the following commissions of the Accreditation Board 10 for Engineering and Technology, Inc. (ABET EAC) ("ABET"): the Engineering Accreditation 11 Commission ("ABET-EAC") and the Engineering Technology Accreditation Commission 12 ("ABET-ETAC"). 13 (b) "Board" means the state board of registration for professional engineers subsequently 14 provided by this chapter. 15 (c) "Department" means the department of business regulation. (d) "Director" means the director of the department of business regulation or his or her 16 17 designee. 18 (e) "Engineer" means a person who, by reason of his or her special knowledge and use of 19 the mathematical, physical, and engineering sciences and the principles and methods of engineering 20 analysis and design, acquired by engineering education and engineering experience, is qualified to 21 practice engineering, as subsequently defined, and as attested by his or her registration as an 22 engineer. 23 (f) "Engineer-in-training" means a person who complies with the requirements for 24 education, experience, and character, and has passed an examination in the fundamental 25 engineering subjects, as provided in §§ 5-8-11 and 5-8-13. 26 (g) "National Council of Examiners for Engineering and Surveying (NCEES)" is a 27 nationally recognized organization that assists state boards and territorial boards to better discharge 28 their duties and responsibilities in regulating the practice of engineering and land surveying. 29 (h)(1) "Practice of engineering" means any service or creative work, the adequate 30 performance of which requires engineering education, training, and experience in the application

1 of special knowledge of the mathematical, physical, and engineering sciences to services or creative 2 work, such as consultation, investigation, evaluation surveys, planning and design of engineering 3 systems, and the supervision of construction for the purpose of assuring compliance with 4 specifications; and embracing those services or work in connection with any public or private 5 utilities, structures, buildings, machines, equipment, processes, work, or projects in which the public welfare or the safeguarding of life, health, or property is concerned. 6

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(2) Any person shall be construed to practice or offer to practice engineering, within the 8 meaning and intent of this chapter, who:

9

(i) Practices any branch of the profession of engineering;

10 (ii) By verbal claim, sign, advertisement, letterhead, card, or in any other way represents 11 himself or herself to be an engineer, or through the use of some other title implies that he or she is 12 an engineer or that he or she is registered under this chapter; or

- 13 (iii) Holds himself or herself out as able to perform, or who does perform any engineering 14 service or work or any other service designated by the practitioner or recognized as engineering.
 - 15 (i) "Professional engineer" means a person who has been registered and licensed by the 16 state board of registration for professional engineers.
 - 17 (j) "Responsible charge" means direct control and personal supervision of engineering 18 work.

19 (k) "Rules and regulations" means that document of the same title, as amended from time 20 to time, subject to the director's approval, that has been adopted by the board and filed with the 21 secretary of state in accordance with §§ 42-35-3(a), 42-35-4(b), and 5-8-8.

22 5-8-10. Roster of registered engineers.

23 A complete roster showing the names and last known addresses of all registered engineers 24 is available on the Department's website or through an Access to Public Records Request. will be 25 published by the board once each year. Copies of this roster may be mailed to each person so registered, placed on file with the secretary of state, county, and city officials and may be distributed 26 27 to the public

28

5-8-11. General requirements for registration or certification.

29 (a) Engineer or engineer in training. To be eligible for registration as a professional 30 engineer or certification as an engineer in training, an applicant must be of good character and 31 reputation and shall submit five (5) references with his or her application for registration, three (3) 32 of which references shall be registered engineers having personal knowledge of his or her 33 engineering experience, or in the case of an application for certification as an engineer-in-training, 34 by three (3) character references.

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- (b)(a) Professional Engineer. The following shall be considered minimum evidence
 satisfactory to the board that the applicant is qualified for registration as a professional engineer or
- 3 for certification as an engineer in training, respectively:
- 4 (1) Eligibility. To be eligible for registration as a professional engineer, an applicant shall
- 5 <u>meet the following requirements:</u>
- 6 (i) Be of good character and reputation;
- 7 (ii) Submit five (5) references with his or her application for registration, three (3) of which
- 8 references shall be from registered professional engineers having personal knowledge of the
- 9 <u>applicant's engineering experience;</u>
- 10 (iii) Satisfy the education criteria set forth in this section;
- 11 (iv) Satisfy the experience criteria set forth in this section; and
- 12 (v) Pass the applicable examinations as required in this section.

13 (1) As a professional engineer: (i) (2) Registration by endorsement comity.

14 (A)(i) A person holding a current certificate of registration to engage in the practice of 15 engineering, on the basis of comparable written <u>NCEES</u> examinations, issued to him or her by 16 either a proper authority of a state, territory, or possession of the United States, the District of 17 Columbia, or of any foreign country, and whose qualifications meets the requirements of this 18 chapter, based on verified evidence may, upon application, be registered without further 19 examination.

(B)(ii) A person holding a certificate of qualification issued by the National Council of
 Examiners for Engineering and Surveying NCEES Record, whose qualifications as evidenced by
 the NCEES Record meet the requirements of this chapter, may, upon application, be registered
 without further examination, provided he or she is qualified.

24 (ii)(3) Graduation from an accredited program, experience and examination.

25 (i) A graduate of or senior enrolled in an ABET-EAC accredited engineering curriculum 26 of four (4) years or more approved by the board as being of satisfactory standing, shall be admitted 27 to an who has passed a NCEES examination in the fundamentals of engineering. Upon passing this 28 examination and obtaining and obtained a specific record of a minimum of four (4) years of 29 experience in engineering work of a grade and character which indicates to the board that the 30 applicant may be competent to practice engineering, the applicant may be admitted, upon 31 application, to an a NCEES examination in the principles and practice of engineering. The graduate 32 having a specific record of twelve (12) years or more of experience in engineering work of a grade 33 and character which indicates to the board that the applicant may be competent to practice 34 engineering, shall be admitted to an examination in the principles and practice of engineering. Upon

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1 passing that examination, the applicant shall be granted a certificate of registration to practice

2 engineering in this state, provided he or she is qualified.

(ii) A graduate of an ABET-ETAC accredited engineering technology curriculum of four
(4) years or more approved by the board as being of satisfactory standing, who has passed a NCEES
examination in the fundamentals of engineering and obtained a specific record of a minimum of
eight (8) years of experience in engineering work of a grade and character which indicates to the
board that the applicant may be competent to practice engineering, may be admitted, upon
application, to a NCEES examination in the principles and practice of engineering.

- 9 (4) Waiver of Requirement for NCEES Examination in Fundamentals of Engineering.
- 10 (i) A graduate of an ABET-EAC accredited engineering curriculum having a specific 11 record of twelve (12) years or more of experience in engineering work of a grade and character 12 which indicates to the board that the applicant may be competent to practice engineering, shall be 13 admitted to a NCEES examination in the principles and practice of engineering. Upon passing that 14 examination, the applicant shall be granted a certificate of registration to practice engineering in 15 this state, provided he or she is qualified. 16 (ii) A graduate of an engineering technology curriculum, whether accredited by ABET-ETAC or unaccredited, applying for initial or comity registration as a professional engineer in 17 18 Rhode Island shall not be eligible for waiver of this requirement.

19 (iii) (5) Graduation from a non-accredited program, experience, and examination.

20 (i) A graduate of or senior enrolled in an engineering curriculum of four (4) years or more 21 other than those approved by the board as being of satisfactory standing shall be admitted to an that 22 is not accredited by ABET-EAC, who has passed a NCEES examination in the fundamentals of 23 engineering. Upon passing this examination and obtaining and obtained a specific record of a 24 minimum of four (4) six (6) years of experience in engineering work of a grade and character which 25 indicates to the board that the applicant may be competent to practice engineering, the applicant may be admitted, upon application, to an a NCEES examination in the principles and practice of 26 27 engineering. Upon passing these examinations, the applicant shall be granted a certificate of 28 registration to practice engineering in this state, provided he or she is qualified.

(ii) A graduate of an engineering technology curriculum of four (4) years or more that is
 not accredited by ABET-ETAC is not eligible for registration as a professional engineer in this
 state unless they obtain an advanced engineering degree from an ABET-EAC accredited program.
 (iv)(6) Teaching. Engineering teaching in a college or university offering an ABET- EAC
 accredited engineering curriculum of four (4) years or more may be considered as engineering
 experience.

1 (v)(7) Engineers previously registered. Each engineer holding a certificate of registration 2 and each engineer in training under the laws of this state as previously in effect shall be deemed 3 registered as an engineer or engineer in training as appropriate under this chapter in accordance 4 with the laws in effect at the time of their initial registration. 5 (2)(b) As an engineer Engineer-in-training: the The following is shall be considered as minimum evidence satisfactory to the board that the applicant is qualified for certification as an 6 7 engineer-in-training: 8 (1) Eligibility. To be eligible for registration as an engineer-in-training, an applicant shall 9 meet the following requirements: 10 (i) Be of good character and reputation; 11 (ii) Submit three (3) character references, one (1) of which must be from a registered 12 professional engineer; 13 (iii) Satisfy the education requirements set forth in this section; and 14 (iv) Satisfy the examination requirements set forth in this section. 15 (i)(2) Graduation and examination. A graduate of an ABET-EAC or an ABET-ETAC 16 accredited engineering curriculum program of four (4) years or more who has passed the board's a 17 NCEES examination in the fundamentals of engineering shall be certified or enrolled as an 18 engineer-in-training, if he or she is qualified. 19 (ii)(3) Graduation from a non-accredited program and examination. A graduate of a non-20 accredited engineering curriculum of four (4) years or more who has passed the board's a NCEES 21 examination in the fundamentals of engineering and has obtained two (2) years of engineering 22 experience of a grade and character approved by the board shall be certified and enrolled as an 23 engineer in training, if he or she is qualified. Graduates of a non-accredited engineering technology 24 curriculum are not eligible for certification as an engineer in training. 25 (iii)(4) Duration of engineer in training certification. The certification or enrollment of an 26 engineer in training shall be valid for a minimum period of twelve (12) years not expire and does 27 not need to be renewed. 5-8-12. Form of application for registration or certification - Registration, 28 29 certification, and enrollment fees. 30 (a) Application for registration as a professional engineer or land surveyor or certification 31 as an engineer-in-training shall: 32 (1) Be on a form prescribed and furnished by the board;

- 33 (2) Establish compliance with the licensing requirements pursuant to § 5-8-11; and
- 34 (3) Contain references as prescribed in § 5-8-11, none of whom may be members of the

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1 board.

2 (b) The application and reexamination fees for professional engineers shall be set by the board in an amount to cover the charges and expenses of examination and scoring reviewing 3 4 applications and shall accompany the application.

5 (c) The fee for engineer-in-training certification or enrollment shall be set by the board in an amount to cover the charges and expenses of examination and scoring reviewing applications 6 7 and shall accompany the application.

8

(d) Should the board deny the issuance of a certificate to any applicant, the fee paid shall be retained as an application fee All application fees are non-refundable, even if an application is 9 10 denied.

5-8-15. Expiration and renewal of certificates of registration Expiration and renewal

11

12 of certificates of registration for professional engineers.

13 (a) Certificates of registration shall expire on the last day of the month of June following 14 their issuance and become invalid after that date unless renewed. It is the duty of the board to notify every person registered under this chapter of the date of the expiration of his or her certificate and 15 16 the amount of the fee required for its renewal. The notice shall be delivered, electronically or 17 otherwise, to the registrant, at his or her last-known e-mail address, at least one month in advance 18 of the date of the expiration of the certificate.

19 (b) Renewal may be effected at any time Certificates of registration must be renewed prior 20 to, or during the month of, June by the payment of a fee set by the board in an amount not less than 21 one hundred fifty dollars (\$150), but not to exceed one hundred eighty dollars (\$180). Renewal of 22 an expired certificate may be effected Expired certificates may be renewed within a period of three 23 (3) years, provided evidence is submitted to the board attesting to the continued competence and 24 good character of the applicant. In the event renewal is not made before the end of the third year, 25 the board may require any reexamination that it deems appropriate. The amount to be paid for that 26 renewal is the annual fee set by the board in an amount not to exceed one hundred eighty dollars 27 (\$180) times the number of years the applicant has been delinquent, plus a penalty of sixty dollars 28 (\$60.00) per delinquent year.

29 SECTION 2. Section 42-11-10 of the General Laws in Chapter 42-11 entitled "Department 30 of Administration" is hereby amended to read as follows:

31 42-11-10. Statewide planning program.

32 (a) Findings. The general assembly finds that the people of this state have a fundamental 33 interest in the orderly development of the state; the state has a positive interest and demonstrated 34 need for establishment of a comprehensive, strategic state planning process and the preparation,

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maintenance, and implementation of plans for the physical, economic, and social development of the state; the continued growth and development of the state presents problems that cannot be met by the cities and towns individually and that require effective planning by the state; and state and local plans and programs must be properly coordinated with the planning requirements and programs of the federal government.

6

(b) Establishment of statewide planning program.

(1) A statewide planning program is hereby established to prepare, adopt, and amend
strategic plans for the physical, economic, and social development of the state and to recommend
these to the governor, the general assembly, and all others concerned.

(2) All strategic planning, as defined in subsection (c) of this section, undertaken by all
departments and agencies of the executive branch unless specifically exempted, shall be conducted
by or under the supervision of the statewide planning program. The statewide planning program
shall consist of a state planning council, and the division of planning, which shall be a division
within the department of administration.

15 (c) Strategic planning. Strategic planning includes the following activities:

16 (1) Establishing or identifying general goals.

17 (2) Refining or detailing these goals and identifying relationships between them.

(3) Formulating, testing, and selecting policies and standards that will achieve desiredobjectives.

20 (4) Preparing long-range or system plans or comprehensive programs that carry out the
 21 policies and set time schedules, performance measures, and targets.

(5) Preparing functional, short-range plans or programs that are consistent with established or desired goals, objectives, and policies, and with long-range or system plans or comprehensive programs where applicable, and that establish measurable, intermediate steps toward their accomplishment of the goals, objectives, policies, and/or long-range system plans.

(6) Monitoring the planning of specific projects and designing of specific programs of short
duration by the operating departments, other agencies of the executive branch, and political
subdivisions of the state to ensure that these are consistent with, and carry out the intent of,
applicable strategic plans.

30 (7) Reviewing the execution of strategic plans, and the results obtained, and making31 revisions necessary to achieve established goals.

32 (d) State guide plan. Components of strategic plans prepared and adopted in accordance
33 with this section may be designated as elements of the state guide plan. The state guide plan shall
34 be comprised of functional elements or plans dealing with land use; physical development and

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environmental concerns; economic development; housing production; energy supply, including the 1 2 development of renewable energy resources in Rhode Island, and energy access, use, and 3 conservation; human services; climate change and resiliency; and other factors necessary to 4 accomplish the objective of this section. The state guide plan shall be a means for centralizing, 5 integrating, and monitoring long-range goals, policies, plans, and implementation activities related thereto. State agencies concerned with specific subject areas, local governments, and the public 6 7 shall participate in the state guide planning process, which shall be closely coordinated with the 8 budgeting process.

9

(e) Membership of state planning council. The state planning council shall consist of the following members: 10

11 (1) The director of the department of administration as chairperson;

12 (2) The director, policy office, in the office of the governor, as vice-chairperson;

13 (3) The governor, or his or her designee;

14 (4) [Deleted by P.L. 2019, ch. 88, art. 4, § 13];

15 (5) The chairperson of the housing resources commission;

16 (6) The highest-ranking administrative officer of the division of planning, as secretary;

17 (7) The president of the Rhode Island League of Cities and Towns or his or her designee;

18 (8) The executive director of the Rhode Island League of Cities and Towns;

19 (9) Three (3) chief elected officials of cities and towns appointed by the governor after 20 consultation with the Rhode Island League of Cities and Towns, one of whom shall be from a 21 community with a population greater than 40,000 persons; one of whom shall be from a community 22 with a population of between 20,000 and 40,000 persons; and one of whom shall be from a 23 community with a population less than 20,000 persons;

24 (10) One representative of a nonprofit community development or housing organization 25 appointed by the governor;

(11) Four (4) public members, appointed by the governor, one of whom shall be an 26 27 employer with fewer than fifty (50) employees; one of whom shall be an employer with greater 28 than fifty (50) employees; one of whom shall represent a professional planning or engineering 29 organization in Rhode Island; and one of whom shall represent a chamber of commerce or 30 economic development organization;

31 (12) Two (2) representatives of private, nonprofit, environmental or environmental justice 32 advocacy organizations, both to be appointed by the governor;

33 (13) The director of planning and development for the city of Providence;

34 (14) The director of the department of transportation;

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1 (15) The director of the department of environmental management;

2 (16) The director of the department of health;

- 3 (17) The chief executive officer of the commerce corporation;
- 4 (18) The commissioner of the Rhode Island office of energy resources;
- 5 (19) The chief executive officer of the Rhode Island public transit authority;
- 6 (20) The executive director of Rhode Island housing;
- 7 (21) The executive director of the coastal resources management council; and
- 8 (22) The director of the Rhode Island emergency management agency.
- 9 (f) Powers and duties of state planning council. The state planning council shall have the 10 following powers and duties:
- (1) To adopt strategic plans as defined in this section and the long-range state guide plan, and to modify and amend any of these, following the procedures for notification and public hearing set forth in § 42-35-3, and to recommend and encourage implementation of these goals to the general assembly, state and federal agencies, and other public and private bodies; approval of strategic plans by the governor; and to ensure that strategic plans and the long-range state guide plan are consistent with the findings, intent, and goals set forth in § 45-22.2-3, the "Rhode Island comprehensive planning and land use regulation act";
- 18 (2) To coordinate the planning and development activities of all state agencies, in
 19 accordance with strategic plans prepared and adopted as provided for by this section;
- 20

21

(3) To review and comment on the proposed annual work program of the statewide planning program;

- (4) To adopt rules and standards and issue orders concerning any matters within its
 jurisdiction as established by this section and amendments to it;
- (5) To establish advisory committees and appoint members thereto representing diverse
 interests and viewpoints as required in the state planning process and in the preparation or
 implementation of strategic plans. At minimum, the state planning council shall appoint permanent
 committees:
- (i) A technical committee, comprised of public members from different geographic areas
 of the state representing diverse interests along with officials of state, local, and federal
 government, who shall review all proposed elements of the state guide plan, or amendment or repeal
 of any element of the plan, and shall advise the state planning council thereon before the council
 acts on any such proposal. This committee shall also advise the state planning council on any other
 matter referred to it by the council; and
- 34

(ii) An executive committee consisting of major participants of a Rhode Island geographic

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1 information system with oversight responsibility for its activities; and

2 (iii) A transportation advisory committee, made up of diverse representation, including, 3 but not limited to, municipal elected and appointed officials; representatives of various 4 transportation sectors, departments, and agencies; and other groups and agencies with an interest 5 in transportation operations, maintenance, construction, and policy, who shall review transportation-related plans and amendments and recommend action to the state planning council. 6 7 (6) To adopt, amend, and maintain, as an element of the state guide plan or as an 8 amendment to an existing element of the state guide plan, standards and guidelines for the location 9 of eligible, renewable energy resources and renewable energy facilities in Rhode Island with due 10 consideration for the location of such resources and facilities in commercial and industrial areas, 11 agricultural areas, areas occupied by public and private institutions, and property of the state and 12 its agencies and corporations, provided these areas are of sufficient size, and in other areas of the 13 state as appropriate.

(7) To act as the single, statewide metropolitan planning organization for transportationplanning, and to promulgate all rules and regulations that are necessary thereto.

(8) To assist the Rhode Island infrastructure bank in establishing review criteria, evaluating
 applications, approving and issuing grants, and assist municipalities pursuant to the provisions of
 chapter 11.4 of title 42, and any rules or regulations promulgated thereunder.

19

(g) Division of statewide planning.

(1) The division of statewide planning shall be the principal staff agency of the state planning council for preparing and/or coordinating strategic plans for the comprehensive management of the state's human, economic, and physical resources. The division of statewide planning shall recommend to the state planning council specific guidelines, standards, and programs to be adopted to implement strategic planning and the state guide plan and shall undertake any other duties established by this section and amendments thereto.

(2) The division of statewide planning shall maintain records (which shall consist of files
of complete copies) of all plans, recommendations, rules, and modifications or amendments thereto
adopted or issued by the state planning council under this section. The records shall be open to the
public.

30 (3) The division of statewide planning shall manage and administer the Rhode Island 31 geographic information system of land-related resources, and shall coordinate these efforts with 32 other state departments and agencies, including the university of Rhode Island, which shall provide 33 technical support and assistance in the development and maintenance of the system and its 34 associated data base.

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1 (4) The division of statewide planning shall coordinate and oversee the provision of 2 technical assistance to political subdivisions of the state in preparing and implementing plans to 3 accomplish the purposes, goals, objectives, policies, and/or standards of applicable elements of the 4 state guide plan and shall make available to cities and towns data and guidelines that may be used 5 in preparing comprehensive plans and elements thereof and in evaluating comprehensive plans and 6 elements thereby.

7

(h) [Deleted by P.L. 2011, ch. 215, § 4, and by P.L. 2011, ch. 313, § 4].

8 (i) The division of planning shall be the principal staff agency of the water resources board
9 established pursuant to chapter 15 of title 46 ("Water Resources Board") and the water resources
10 board corporate established pursuant to chapter 15.1 of title 46 ("Water Supply Facilities").

SECTION 3. Sections 42-11.4-1, 42-11.4-3, 42-11.4-4 and 42-11.4-5 of the General Laws
in Chapter 42-11.4 entitled "The Rhode Island Municipal Infrastructure Grant Program" are hereby
amended to read as follows:

14 **42-11.4-1. Establishment.**

15 The Rhode Island municipal infrastructure grant program is hereby created within the 16 Rhode Island infrastructure bank. department of administration and is subject to grant funding. 17 State funds or appropriations shall not be utilized in connection with the implementation of this 18 section. The Rhode Island infrastructure bank shall have all the powers necessary and convenient 19 to carry out and effectuate the purposes and provisions of this chapter, including, without limiting 20 the generality of the preceding statement, the authority to: The department of administration is 21 authorized to:

(1) Issue public infrastructure grants to municipalities and other public instrumentalities
for design, construction, building, land acquisition, rehabilitation, repair, and other improvements
to publicly owned infrastructure including, but not limited to, sewers, utility extensions, streets,
roads, curb-cuts, parking, water-treatment systems, telecommunications systems, transit
improvements, and pedestrian ways; and

(2) Assist municipalities to advance projects that support job creation and expansion,
housing development and rehabilitation, community development projects in areas or districts that
communities have determined are best suited to efficiently accommodate future growth and
redevelopment, largely in previously developed areas with some level of existing or planned
infrastructure-;

32 (3) Establish a fund within the Rhode Island infrastructure bank to receive and disburse
 33 such funds as may be available for the purpose of the program, subject to the provisions of this
 34 section;

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- 1 (4) Make and enter into binding commitments to provide grants to municipalities and other
- 2 pubic instrumentalities from amounts on deposit in the program fund;
- 3 (5) Engage the services of third-party vendors to provide professional services;
- 4 (6) Establish one or more accounts within the fund; and
- 5 (7) Such other authority as granted to the Rhode Island infrastructure bank under this
- chapter and chapter 12.2 of title 46. 6
- 7

42-11.4-3. Solicitations.

8 (a) There shall be at least one open solicitation period each year to accept and consider new 9 applications. Not The Rhode Island infrastructure bank shall provide not less than twelve (12) eight 10 (8) weeks notice before the annual an open solicitation period, the department of administration 11 shall release the criteria upon which the applications shall be judged including, but not limited to, 12 a minimum project readiness standard, overall spending targets by project type, preferences for projects that align with the state's prevailing economic development plan, and other preferences 13 14 applying to that funding round. Grants may be made outside of the open solicitation period at the 15 discretion of the director of administration subject to the provisions of this section. The Rhode 16 Island infrastructure bank shall review and approve all applications for projects to be financed 17 through the Rhode Island municipal infrastructure grant program. All grant awards shall be made 18 after consultation with the Rhode Island division of statewide planning program and the Rhode 19

Island infrastructure bank.

20 (b) An eligible city or town, acting by and through its municipal officers or by and through 21 any agency designated by the municipal officers to act on their behalf, may apply to the program 22 for a grant in a specific amount to fund a specified project. Two (2) or more municipalities may 23 apply jointly, with one municipality acting as fiscal agent. The grants may be made in addition to 24 other forms of local, state, and federal assistance. Receipt of a grant that is part of a joint application 25 shall not preclude a municipality from receiving additional funds under a separate application.

26

42-11.4-4. Rules and regulations.

The director of administration Rhode Island infrastructure bank may shall establish 27 28 reasonable rules and regulations to govern the application and distribution of grants under the 29 program, to include, but not be limited to, provisions for joint applications by two (2) or more 30 eligible municipalities for a single project serving those municipalities. The rules and regulations 31 shall include the criteria upon which the applications shall be judged including, but not limited to, 32 a minimum project readiness standard, overall spending targets by project type, preferences for 33 projects that align with the state's prevailing economic development plan, and other preferences 34 applying to that funding round.

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1 <u>42-11.4-5. Reports.</u>

2	The director of administration Rhode Island infrastructure bank shall report annually to the
3	governor, speaker of the house, president of the senate, and the chairs of the house committee on
4	finance, senate committee on finance, house committee on oversight, senate committee on
5	government oversight, house committee on municipal government, senate committee on housing
6	and municipal government, and the permanent joint committee on economic development. The
7	report shall include a list and description of all projects that received grant funds under the program;
8	the amount of the grant awarded to the project; other sources of public funds that supported the
9	project; and a detailed analysis of the economic impact of each project including, where applicable,
10	the number of construction and full-time equivalent jobs to be created, number of housing units to
11	be created, the private investment in the project, and the expected tax revenue generated from the
12	project.
13	SECTION 4. Section 42-64.20-10 of the General Laws in Chapter 42-64.20 entitled
14	"Rebuild Rhode Island Tax Credit" is hereby amended to read as follows:
15	<u>42-64.20-10. Sunset.</u>
16	No credits shall be authorized to be reserved pursuant to this chapter after June 30, 2021
17	<u>December 31, 2022</u> .
18	SECTION 5. Section 42-64.21-9 of the General Laws in Chapter 42-64.21 entitled "Rhode
19	Island Tax Increment Financing" is hereby amended to read as follows:
20	<u>42-64.21-9. Sunset.</u>
21	The commerce corporation shall enter into no agreement under this chapter after June 30,
22	2021 December 31, 2022.
23	SECTION 6. Section 42-64.22-15 of the General Laws in Chapter 42-64.22 entitled "Tax
24	Stabilization Incentive" is hereby amended to read as follows:
25	<u>42-64.22-15. Sunset.</u>
26	The commerce corporation shall enter into no agreement under this chapter after June 30,
27	2021 <u>December 31, 2022</u> .
28	SECTION 7. Section 42-64.23-8 of the General Laws in Chapter 42-64.23 entitled "First
29	Wave Closing Fund Act" is hereby amended to read as follows:
30	<u>42-64.23-8. Sunset.</u>
31	No financing shall be authorized to be reserved pursuant to this chapter after June 30, 2021
32	December 31, 2022.
33	SECTION 8. Section 42-64.24-8 of the General Laws in Chapter 42-64.24 entitled "I-195
34	Redevelopment Project Fund Act" is hereby amended as follows:

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1	<u>42-64.24-8. Sunset.</u>
2	No funding, credits, or incentives shall be authorized or authorized to be reserved pursuant
3	to this chapter after June 30, 2021 December 31, 2022.
4	SECTION 9. Section 42-64.25-14 of the General Laws in Chapter 42-64.25 entitled
5	"Rhode Island Small Business Assistance Program" is amended to read as follows:
6	<u>42-64.25-14. Sunset.</u>
7	No grants, funding, or incentives shall be authorized pursuant to this chapter after June 30,
8	2021 <u>December 31, 2022</u> .
9	SECTION 10. Sections 42-64.26-8 and 42-64.26-12 of the General Laws in Chapter 42-
10	64.26 entitled "Stay Invested in RI Wavemaker Fellowship" are hereby amended to read as follows:
11	42-64.26-8. Carry forward and redemption of tax credits.
12	(a) If the amount of the tax credit allowed under this chapter exceeds the taxpayer's total
13	tax liability for the year in which the credit is allowed, the amount of such credit that exceeds the
14	taxpayer's tax liability may be carried forward and applied against the taxes imposed for the
15	succeeding four (4) years, or until the full credit is used, whichever occurs first.
16	(b) The tax credit allowed under this chapter may be used as a credit against personal
17	income taxes imposed under chapter 30 of title 44.
18	(c) The division of taxation shall at the request of a taxpayer redeem such credits in whole
19	or in part for one hundred percent (100%) of the value of the tax credit.
20	(d) Any amounts paid to a taxpayer for the redemption of tax credits allowed award issued
21	pursuant to this chapter after January 1, 2021 pursuant to this section shall be exempt from taxation
22	under title 44 of the General Laws.
23	<u>42-64.26-12. Sunset.</u>
24	No incentives or credits shall be authorized pursuant to this chapter after June 30, 2021
25	<u>December 31, 2022</u> .
26	SECTION 11. Section 42-64.27-6 of the General Laws in Chapter 42-64.27 entitled "Main
27	Street Rhode Island Streetscape Improvement Fund" is hereby amended as follows:
28	<u>42-64.27-6. Sunset.</u>
29	No incentives shall be authorized pursuant to this chapter after June 30, 2021 December
30	<u>31, 2022</u> .
31	SECTION 12. Section 42-64.28-10 of the General Laws in Chapter 42-64.28 entitled
32	"Innovation Initiative" is hereby amended as follows:
33	<u>42-64.28-10. Sunset.</u>
34	No vouchers, grants, or incentives shall be authorized pursuant to this chapter after June

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1	30, 2021 <u>December 31, 2022</u> .
2	SECTION 13. Section 42-64.31-4 of the General Laws in Chapter 42-64.31 entitled "High
3	School, College, and Employer Partnerships" is hereby amended as follows:
4	<u>42-64.31-4. Sunset.</u>
5	No grants shall be authorized pursuant to this chapter after June 30, 2021 December 31,
6	<u>2022</u> .
7	SECTION 14. Section 42-64.32-6 of the General Laws in Chapter 42-64.32 entitled "Air
8	Service Development Fund" is hereby amended as follows:
9	<u>42-64.32-6. Sunset.</u>
10	No grants, credits, or incentives shall be authorized or authorized to be reserved pursuant
11	to this chapter after June 30, 2021 December 31, 2022.
12	SECTION 15. Section 44-31.2-5 of the General Laws in Chapter 44-31.2 entitled "Motion
13	Picture Production Tax Credits" is hereby amended to read as follows:
14	44-31.2-5. Motion picture production company tax credit.
15	(a) A motion picture production company shall be allowed a credit to be computed as
16	provided in this chapter against a tax imposed by chapters 11, 14, 17, and 30 of this title. The
17	amount of the credit shall be thirty percent (30%) of the state-certified production costs incurred
18	directly attributable to activity within the state, provided:
19	(1) That the primary locations are within the state of Rhode Island and the total production
20	budget as defined herein is a minimum of one hundred thousand dollars (\$100,000); or
21	(2) The motion picture production incurs and pays a minimum of ten million dollars
22	
LL	(\$10,000,000) in state-certified production costs within a twelve-month (12) period.
22	(\$10,000,000) in state-certified production costs within a twelve-month (12) period. The credit shall be earned in the taxable year in which production in Rhode Island is
23	The credit shall be earned in the taxable year in which production in Rhode Island is
23 24	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c).
23 24 25	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the
23 24 25 26	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs
23 24 25 26 27	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in
23 24 25 26 27 28	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in connection with the production of a state-certified production. The budget shall not include costs
 23 24 25 26 27 28 29 	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in connection with the production of a state-certified production. The budget shall not include costs associated with the promotion or marketing of the film, video, or television product.
 23 24 25 26 27 28 29 30 	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in connection with the production of a state-certified production. The budget shall not include costs associated with the promotion or marketing of the film, video, or television product. (c) Notwithstanding subsection (a) of this section, the credit shall not exceed seven million
 23 24 25 26 27 28 29 30 31 	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in connection with the production of a state-certified production. The budget shall not include costs associated with the promotion or marketing of the film, video, or television product. (c) Notwithstanding subsection (a) of this section, the credit shall not exceed seven million dollars (\$7,000,000) and shall be allowed against the tax for the taxable period in which the credit
 23 24 25 26 27 28 29 30 31 32 	The credit shall be earned in the taxable year in which production in Rhode Island is completed, as determined by the film office in final certification pursuant to § 44-31.2-6(c). (b) For the purposes of this section: "total production budget" means and includes the motion picture production company's pre-production, production, and post-production costs incurred for the production activities of the motion picture production company in Rhode Island in connection with the production of a state-certified production. The budget shall not include costs associated with the promotion or marketing of the film, video, or television product. (c) Notwithstanding subsection (a) of this section, the credit shall not exceed seven million dollars (\$7,000,000) and shall be allowed against the tax for the taxable period in which the credit is earned and can be carried for ward for not more than three (3) succeeding tax years. Pursuant to

Art9 RELATING TO ECONOMIC DEVELOPMENT (Page -15-)

1 remaining funds available pursuant to section (e) of this section.

(d) Credits allowed to a motion picture production company, which is a subchapter S
corporation, partnership, or a limited-liability company that is taxed as a partnership, shall be
passed through respectively to persons designated as partners, members, or owners on a pro rata
basis or pursuant to an executed agreement among such persons designated as subchapter S
corporation shareholders, partners, or members documenting an alternate distribution method
without regard to their sharing of other tax or economic attributes of such entity.

8 (e) No more than fifteen million dollars (\$15,000,000) in total may be issued for any tax 9 year beginning after December 31, 2007, for motion picture tax credits pursuant to this chapter 10 and/or musical and theatrical production tax credits pursuant to chapter 31.3 of this title. After 11 December 31, 2019, no more than twenty million dollars (\$20,000,000) in total may be issued for 12 any tax year for motion picture tax credits pursuant to this chapter and/or musical and theater 13 production tax credits pursuant to chapter 31.3 of this title. Said credits shall be equally available 14 to motion picture productions and musical and theatrical productions. No specific amount shall be 15 set aside for either type of production.

16 (f) Exclusively for tax year 2022, the total amount of motion picture tax credits issued

17 pursuant to § 44-31.2-5 and/or musical and theatrical production tax credits pursuant to chapter

- 18 <u>31.3 of this title shall not exceed thirty million dollars (\$30,000,000)</u>
- SECTION 16. Section 44-33.6-11 of the General Laws in Chapter 44-33.6 entitled
 "Historic Preservation Tax Credits 2013" is hereby amended to read as follows:

21 <u>44-33.6-11. Sunset.</u>

- 22 No credits shall be authorized to be reserved pursuant to this chapter on or after June 30,
- 23 2021 2022, or upon the exhaustion of the maximum aggregate credits, whichever comes first.
- 24 SECTION 17. Section 44-48.3-14 of the General Laws in Chapter 44-48.3 entitled "Rhode
- 25 Island Qualified Jobs Incentive Act of 2015" is hereby amended as follows:

26 <u>44-48.3-14. Sunset.</u>

- 27 No credits shall be authorized to be reserved pursuant to this chapter after June 30, 2021
- 28 <u>December 31, 2022</u>.
- 29 SECTION 18. This article shall take effect upon passage.

ARTICLE 10

1

2

RELATING TO EDUCATION

3 SECTION 1. Section 16-7-16 of the General Laws in Chapter 16-7 entitled "Foundation 4 Level School Support [See Title 16 Chapter 97 - The Rhode Island Board of Education Act]" is 5 hereby amended to read as follows: 16-7-16. Definitions. 6 7 The following words and phrases used in §§ 16-7-15 to 16-7-34 have the following 8 meanings: 9 (1) "Adjusted equalized weighted assessed valuation" means the equalized weighted 10 assessed valuation of a community as determined by the department of revenue or as apportioned 11 by the commissioner pursuant to the provisions of § 16-7-21; 12 (2)(i) "Average daily membership" means the average number of pupils in a community 13 during a school year as determined pursuant to the provisions of § 16-7-22, less any students who 14 are served in a program operated by the state and funded through the permanent foundation 15 education aid formula pursuant to chapter 16-7.2; (ii) For FY 2022, "average daily membership" means the greater of the average number of 16 17 pupils in a community during a school year as determined pursuant to the provisions of § 16-7-22 18 in March 2020 or March 2021, less any students who are served in a program operated by the state 19 and funded through the permanent foundation education aid formula pursuant to chapter 16-7.2. 20 State aid to charter public schools, Davies, and the Met Center shall be determined based on the 21 districts of residence in whichever year had the greater total average daily membership. 22 (A) For the purposes of determining the number of students in poverty, the department 23 shall divide the average daily membership of students reported as living in poverty in each district 24 of residence by the total average daily membership of students enrolled in the district of residence 25 in both March 2020 and March 2021. The greater percentage shall be applied to the average daily membership used in the calculation of foundation education aid. For charter public schools, Davies, 26 27 and the Met Center, the average daily membership of students reported as living in poverty shall be consistent with March 2020 or March 2021, whichever year had the greater total average daily 28 29 membership. 30 (B) If the average daily membership in March 2020 is greater for any given community than in March 2021, the number of pupils shall be reduced by the number of students attending new
 or expanding charter schools in FY 2022.

- 3 (3) "Basic education program" means the cost of education of resident pupils in grades
 4 twelve (12) and below in average daily membership for the reference year as determined by the
 5 mandated minimum program level;
- 6

7

(4) "Certified personnel" means all persons who are required to hold certificates issued by or under the authority of the board of regents for elementary and secondary education;

8 (5) "Community" means any city, town, or regional school district established pursuant to 9 law and/or the department of children, youth, and families; provided, however, that the department 10 of children, youth, and families shall not have those administrative responsibilities and obligations 11 as set forth in chapter 2 of this title; provided, however, that the member towns of the Chariho 12 regional high school district, created by P.L. 1958, chapter 55 as amended, shall constitute separate 13 and individual communities for the purpose of determining and distributing the foundation level 14 school support including state aid for noncapital excess expenses for the special education of children with disabilities provided for in § 16-24-6 for all grades financed in whole or in part by 15 16 the towns irrespective of any regionalization and any school operated by the state department of 17 elementary and secondary education;

(6) "Department of children, youth, and families" means that department created pursuant
to chapter 72 of title 42. For purposes of this section, §§ 16-7-20, 16-24-2, and 42-72-5(b)(22),
"children" means those children who are placed, assigned, or otherwise accommodated for
residence by the department of children, youth, and families in a state operated or supported
community residence licensed by a state agency and the residence operates an educational program
approved by the department of elementary and secondary education;

(7) "Equalized weighted assessed valuation" means the equalized weighted assessed
valuation for a community as determined by the division of property valuation pursuant to the
provisions of § 16-7-21;

(8) "Full time equivalency students" means the time spent in a particular activity dividedby the amount of time in a normal school day;

29 (9) "Incentive entitlement" means the sum payable to a local school district under the30 formula used;

(10) "Mandated minimum program level" means the amount that shall be spent by a
community for every pupil in average daily membership as determined pursuant to the provisions
of § 16-7-18;

34

(11) "Reference year" means the next year prior to the school year immediately preceding

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1 that in which the aid is to be paid. For the purposes of calculating the permanent foundation 2 education formula aid as described in § 16-7.2-3, the reference date shall be one year prior to the 3 year in which aid is paid; and

- 4 (12) "Regularly employed" and "service" as applied to certified personnel have the same 5 meaning as defined in chapter 16 of this title.
- 6

SECTION 2. Sections 16-7.2-5 and 16-7.2-8 of the General Laws in Chapter 16-7.2 entitled 7 "The Education Equity and Property Tax Relief Act" are hereby amended to read as follows:

16-7.2-5. Charter public schools, the William M. Davies, Jr. Career and Technical

- 8
- 9

High School, and the Metropolitan Regional Career and Technical Center.

10 (a) Charter public schools, as defined in chapter 77 of this title, the William M. Davies, Jr. 11 Career and Technical High School (Davies), and the Metropolitan Regional Career and Technical 12 Center (the Met Center) shall be funded pursuant to § 16-7.2-3. If the October 1 actual enrollment 13 data for any charter public school shows a ten percent (10%) or greater change from the prior year 14 enrollment which is used as the reference year average daily membership, the last six (6) monthly 15 payments to the charter public school will be adjusted to reflect actual enrollment. The state share 16 of the permanent foundation education aid shall be paid by the state directly to the charter public 17 schools, Davies, and the Met Center pursuant to § 16-7.2-9 and shall be calculated using the state-18 share ratio of the district of residence of the student as set forth in § 16-7.2-4. The department of 19 elementary and secondary education shall provide the general assembly with the calculation of the 20 state share of permanent foundation education aid for charter public schools delineated by school 21 district.

22 (b) The local share of education funding shall be paid to the charter public school, Davies, 23 and the Met Center by the district of residence of the student and shall be the local, per-pupil cost 24 calculated by dividing the local appropriation to education from property taxes, net of debt service, 25 and capital projects, as defined in the uniform chart of accounts by the average daily membership 26 for each city and town, pursuant to § 16-7-22, for the reference year.

27 (c) Beginning in FY 2017, there shall be a reduction to the local per pupil funding paid by 28 the district of residence to charter public schools, Davies, and the Met Center. This reduction shall 29 be equal to the greater (i) Of seven percent (7%) of the local, per-pupil funding of the district of 30 residence pursuant to subsection (b) or (ii) The per-pupil value of the district's costs for non-public 31 textbooks, transportation for non-public students, retiree health benefits, out-of-district special-32 education tuition and transportation, services for students age eighteen (18) to twenty-one (21) 33 years old, pre-school screening and intervention, and career and technical education, tuition and 34 transportation costs, debt service and rental costs minus the average expenses incurred by charter

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1 schools for those same categories of expenses as reported in the uniform chart of accounts for the 2 prior preceding fiscal year pursuant to § 16-7-16(11) and verified by the department of elementary 3 and secondary education. In the case where audited financials result in a change in the calculation 4 after the first tuition payment is made, the remaining payments shall be based on the most recent 5 audited data. For those districts whose greater reduction occurs under the calculation of (ii), there shall be an additional reduction to payments to mayoral academies with teachers who do not 6 7 participate in the state teacher's retirement system under chapter 8 of title 36 equal to the per-pupil 8 value of teacher retirement costs attributable to unfunded liability as calculated by the state's 9 actuary for the prior preceding fiscal year.

(d) Local district payments to charter public schools, Davies, and the Met Center for each
district's students enrolled in these schools shall be made on a quarterly basis in July, October,
January, and April; however, the first local-district payment shall be made by August 15, instead
of July. Failure of the community to make the local-district payment for its student(s) enrolled in a
charter public school, Davies, and/or the Met Center may result in the withholding of state
education aid pursuant to § 16-7-31.

16 (e) Beginning in FY 2017, school districts with charter public school, Davies, and the Met 17 Center enrollment, that, combined, comprise five percent (5%) or more of the average daily 18 membership as defined in § 16-7-22, shall receive additional aid for a period of three (3) years. Aid 19 in FY 2017 shall be equal to the number of charter public school, open-enrollment schools, Davies, 20 or the Met Center students as of the reference year as defined in § 16-7-16 times a per-pupil amount 21 of one hundred seventy-five dollars (\$175). Aid in FY 2018 shall be equal to the number of charter 22 public school, open-enrollment schools, Davies, or the Met Center students as of the reference year 23 as defined in § 16-7-16 times a per-pupil amount of one hundred dollars (\$100). Aid in FY 2019 24 shall be equal to the number of charter public school, open-enrollment schools, Davies, or the Met 25 Center students as of the reference year as defined in § 16-7-16 times a per-pupil amount of fifty 26 dollars (\$50.00). The additional aid shall be used to offset the adjusted fixed costs retained by the 27 districts of residence.

(f) School districts with charter public school, Davies, and the Met Center enrollment, that,
combined, comprise five percent (5%) or more of the average daily membership as defined in § 167-22, shall receive additional aid intended to help offset the impact of new and expanding charter
schools. For FY 2022, aid shall be equal to the number of new students being served as determined
by the difference between the reference year as defined in § 16-7-16 and FY 2019 times a per-pupil
amount of five hundred dollars (\$500). For FY 2023 and thereafter, aid shall be equal to the number
of new students being served as determined by the difference between the reference year as defined

1 in § 16-7-16 and the prior reference year times a per-pupil amount of five hundred dollars (\$500).

2 The additional aid shall be used to offset the adjusted fixed costs retained by the districts of

3 residence.

4

16-7.2-8. Accountability.

5 (a) Pursuant to §§ 16-7.1-3 and 16-7.1-5, the department of elementary and secondary education (the "department") shall use the uniform chart of accounts to maintain fiscal 6 7 accountability for education expenditures that comply with applicable laws and regulations, 8 including but not limited to the basic education program. This data shall be used to develop criteria, 9 and priorities, and benchmarks specific to each local education agency (LEA) to improve for cost 10 controls, efficiencies, and program effectiveness. The department of elementary and secondary 11 education shall present this LEA-specific information in the form of an annual report to the LEA 12 to which it applies, and provide a summary of all LEA reports to the governor and the general 13 assembly annually, beginning on August 1, 2022. 14 (b) The department of elementary and secondary education shall establish and/or

15 implement program standards to be used in the oversight of the use of foundation aid calculated 16 pursuant to § 16-7.2-3. Such oversight will be carried out in accordance with the progressive 17 support and intervention protocols established in chapter 7.1 of this title.

18 SECTION 3. Chapter 16-22 of the General Laws entitled "Curriculum [See Title 16 19 Chapter 97 - The Rhode Island Board of Education Act]" is hereby amended by adding thereto the 20 following section:

21

16-22-34. The basic education program.

22 (a) For the purposes of this chapter, the "basic education program" means a set of 23 regulations promulgated by the council on elementary and secondary education pursuant to its 24 delegated statutory authority to determine standards for the Rhode Island public education system 25 and the maintenance of local appropriation to support its implementation under Rhode Island 26 general laws. (b) The basic education program (BEP) shall include, but shall not be limited to, the 27

- 28 following basic elements:
- 29 (1) A standard for students who are English language learners; and
- 30 (2) Any other requirements set forth elsewhere in Rhode Island general laws or
- 31 departmental regulations.
- 32 (c) By August 1, 2022, and annually thereafter, the department of elementary and
- 33 secondary education (the "department") shall review BEP compliance of each local education
- agency (LEA) within the state. The department shall: 34

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1 (1) Assess programmatic compliance with the BEP to ensure high-quality education is 2 available to all public school students, regardless of where they reside or which school they attend; 3 (2) Determine the incremental cost to meet the BEP utilizing uniform chart of account 4 (UCOA) data from the LEA and all LEAs statewide; 5 (3) Determine the sufficiency of both the state and the local education aid to the LEA to meet the BEP; and, 6 7 (4) If a deficiency exists in the local education aid to the LEA to meet the BEP, the 8 Department shall consult with the Department of Revenue to issue a joint report to the General 9 Assembly on the feasibility of the municipality to raise sufficient funds to meet the BEP standard 10 set in law. 11 SECTION 4. Sections 16-98-2, 16-98-4 and 16-98-6 of the General Laws in Chapter 16-12 98 entitled "Access to Advanced Placement Courses for All Students Act [See Title 16 Chapter 97 13 - The Rhode Island Board of Education Act]" are hereby amended to read as follows: 14 16-98-2. Definitions. 15 The following words and phrases when used in this chapter shall have the following 16 meanings given to them unless the context clearly indicates otherwise: 17 (1) "Advanced placement" means a <u>college-level class taught at the</u> high school level 18 preparatory course for a college advanced placement that provides students the opportunity to earn 19 college credit upon passage of an exam that: 20 (i) Incorporates all topics specified by the college board College Board on its standards 21 syllabus for a given subject area; and 22 (ii) Is authorized by the college board College Board. 23 (2) "Board of regents" means the board of regents for elementary and secondary education. (3) "College board Board " means the non-profit examination board in the United States 24 25 comprised of over four thousand five hundred (4,500) institutions of higher learning and known for managing standardized tests such as the advanced placement or "AP" tests and refers to the 26 nonprofit organization that develops and administers standardized tests and curricula used by 27 28 kindergarten through grade twelve (K-12) and post-secondary education institutions to promote 29 college readiness. 30 (4) "Department" means the Rhode Island department of elementary and secondary 31 education. 32 (5) "Eligible teacher" means a professional employee that is certified in the subject area of the advanced placement course that he or she will be teaching. 33 (6) "Examination" or "exam" means the test provided by the College Board to measure a 34

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- 1 student's mastery of the advanced placement subject matter.
- 2 (6)(7) "Four core academic areas" means advanced placement courses in English, 3 mathematics, science and social science.
- 4 (7)(8) "Poverty level" means the percentage of students in a school district eligible for free
- 5 and reduced lunch students whose family income is at or below one hundred eighty-five percent
- (185%) of federal poverty guidelines. 6
- 7 (8)(9) "Program" means furtherance of the advanced placement classes in the public 8 schools and includes teacher training program and increased student participation.
- 9 (9)(10) "School district" means any public school district, school unit, area-vocational-10 technical school, or charter school or mayoral academy that composes a school district; the term 11 also encompasses a single high school or multiple high schools within a school district where 12 applicable.
- 13 (10)(11) "Teacher training entities" means institutions of higher education, intermediate 14 units or organizations approved/certified by the college board College Board to train teachers to 15 teach advanced placement courses.
- 16 (11)(12) "Virtual learning instruction" means providing academic courses to students via 17 the Internet and/or the use of technology in providing instruction to students.
- 18

16-98-4. Powers and duties of department.

19 (a) Guidelines. The department shall promulgate rules, regulations and procedures 20 necessary for the implementation of this chapter including, but not limited to, the following:

21

(1) In consultation with the college board College Board, certify those teacher-training 22 entities that are qualified to provide training of teachers to teach advanced placement courses in the

23 four (4) core academic areas;

- 24 (2) In certifying teacher-training entities for this program, the department shall ensure that 25 the training times and locations will be geographically accessible for teachers from eligible school 26 entities to attend;
- 27 (3) The department, in consultation with the college board College Board, shall ensure that 28 training provided by those teacher-training entities must provide teachers of advanced placement 29 courses with the necessary content knowledge and instructional skills to prepare students for 30 success in advanced placement courses and examinations; and
- 31 (4) Starting at the end of the first year of the program, and every year thereafter, the 32 department shall issue a report to the general assembly on the advanced placement teacher-training 33 program that shall include, but not be limited to:
- 34

(i) The number of teachers receiving training in advanced placement instructions in school

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- 1 entities, school districts and high schools in each of the four (4) core academic areas.
- 2 (ii) The number of students taking advanced placement courses at school entities in each
 3 of the four (4) core academic areas.
- 4 (iii) The number of students scoring a three (3) or more on an advanced placement 5 examination at school entities in each of the four (4) core academic areas.
- 6 (iv) The remaining unmet need for trained teachers in school entities that do not offer7 advanced placement courses.
- 8 (v) The number of students taking advanced placement courses who do not take the
 9 advanced placement examination.
- 10 (vi) The number of students below the poverty level who take advanced placement courses.
- 11 (vii) The number of students below the poverty level who take advanced placement courses
- 12 and do not take the advanced placement examination; and.
- 13 (5) In consultation with local education authorities, ensure that the opportunity to
- 14 <u>participate in the advanced placement program and gain college credit is available to the greatest</u>
- 15 <u>amount of students as practicable.</u>
- 16 (b) Nothing in this chapter shall prohibit the board of education, through the department,
- 17 from expanding the program to include other nationally accepted courses of study that provide
- 18 <u>students an opportunity to gain college credits from classes taken in high school.</u>
- 19 **<u>16-98-6. Program funding.</u>**
- 20 (a) Funding <u>shall be</u> for this program is subject to appropriation by the general assembly to
- 21 the department for that purpose the purpose of providing advanced placement examinations to
- 22 students at or below the poverty level at no cost to the student.
- (b) In the event that insufficient moneys are appropriated in any fiscal year to provide funding for all eligible school entities applying to the program, the department shall prioritize funding of eligible school entities based on the poverty level of the school districts that high school students who will be taking the advanced placement courses reside in. Notwithstanding any general law, rule or regulation to the contrary, the department shall include as part of its annual budget the amount necessary to pay the exam costs for all students below the poverty level who take advanced courses.
- 30 SECTION 5. This article shall take effect upon passage.
- 31

ARTICLE 11

2 RELATING TO LEASE AGREEMENTS FOR LEASED OFFICE AND OPERATING SPACE

3 SECTION 1. This article consists of a Joint Resolution that is submitted pursuant to Rhode
4 Island General Laws § 37-6-2 authorizing various lease agreements for office space and operating
5 space.

6 SECTION 2. Department of Revenue, 238 East Main Road, Middletown.

7 WHEREAS, The Department of Revenue currently occupies approximately 4,200 square
8 feet at 238 East Main Road in the Town of Middletown; and

9 WHEREAS, The Rhode Island Department of Revenue currently has a current lease 10 agreement, in full force and effect, with Kenneth J. Alves for approximately 4,200 square feet of 11 office space located at 238 East Main Road, Middletown; and

WHEREAS, The existing lease expires on October 31, 2021 and the Department of Revenue wishes to exercise its option to renew this lease for an additional five (5)-year term; and WHEREAS, The State of Rhode Island, acting by and through the Rhode Island Department of Revenue attests to the fact that there are no clauses in the lease agreement with Kenneth J. Alves that would interfere with the Rhode Island Department of Revenue lease agreement or use of the facility; and

18 WHEREAS, The leased premises provide a critical regional location for the offices of the 19 Department of Revenue from which the Department can serve the needs of Middletown and 20 surrounding Aquidneck Island communities and otherwise fulfill the mission of the Department of 21 Revenue; and

WHEREAS, The annual base rent in the agreement in the current fiscal year, ending June
30, 2021 is \$57,978; and

WHEREAS, The anticipated annual base rent of the agreement in each of the initial five
(5) years of the term will not exceed \$63,522; and

WHEREAS, The payment of the annual base rent will be made from funds available to the Department of Revenue for the payments of rental and lease costs based on annual appropriations made by the General Assembly; and

WHEREAS, The State Properties Committee now respectfully requests the approval of the
 Rhode Island House of Representatives and the Rhode Island Senate for the lease agreement

1

- between the Department of Revenue and Kenneth J. Alves for leased space located at 238 East
 Main Road, Middletown; now therefore be it
- RESOLVED, That this General Assembly of the State of Rhode Island hereby approves
 the lease agreement, for a term not to exceed five (5) years and an aggregate base rent not to exceed
 \$317,606; and it be further
- RESOLVED, That this Joint Resolution shall take effect upon passage by the General
 Assembly; and it be further
- 8 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly 9 certified copies of this resolution to the Governor, the Director of the Department of Revenue, the 10 Director of Administration, the State Budget Officer, and the Chair of the State Properties 11 Committee.
- 12 SECTION 3. Department of Corrections, 49 Pavilion Avenue, Providence.

WHEREAS, The Rhode Island Department of Corrections has a current lease agreement,
in full force and effect, with WRR Associates, LLC for approximately 5,086 square feet of office
space located at 49 Pavilion Avenue, Providence; and

- WHEREAS, The State of Rhode Island, acting by and through the Rhode Island Department of Corrections attests to the fact that there are no clauses in the lease agreement with the WRR Associates, LLC that would interfere with the Rhode Island Department of Corrections lease agreement or use of the facility; and
- WHEREAS, The existing lease expires on July 31, 2021 and the Rhode Island Department of Corrections wishes to renew its lease for a term of one and one-half (1.5) years; and
- 22 WHEREAS, The annual base rent in the current agreement in the current fiscal year, ending
- 23 July 31, 2021 is \$108,690; and
- WHEREAS, The annual base rent of the agreement in each of the next one and one-half
 (1.5) years of the term will not exceed \$108,690; and
- WHEREAS, The payment of the annual base rent will be made from funds available to the Department of Corrections for the payments of rental and lease costs based on annual appropriations made by the General Assembly; and
- WHEREAS, The leased premises will provide a critical location for the offices of the
 Department of Corrections from which the Department can serve the needs of Providence and
 surrounding communities and otherwise fulfill the mission of the Department of Corrections; and
 WHEREAS, The State Properties Committee now respectfully requests the approval of the
 Rhode Island House of Representatives and the Rhode Island Senate for the lease agreement
- 34 between the Department of Corrections and a landlord to be determined, for the office space located

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1 at 49 Pavilion Avenue in the City of Providence, Rhode Island; now therefore be it

2 RESOLVED, That this General Assembly of the State of Rhode Island hereby approves
3 the lease agreement, for a term not to exceed one (1) year and six (6) months and an aggregate base
4 rent not to exceed \$163,035; and it be further

5 RESOLVED, That this Joint Resolution shall take effect upon passage by the General
6 Assembly; and it be further

RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly
certified copies of this resolution to the Governor, the Director of the Department of Corrections,
the Director of Administration, the State Budget Officer, and the Chair of the State Properties
Committee.

11 SECTION 4. Commission for Human Rights, 180 Westminster Street, Providence.

WHEREAS, The Commission for Human Rights currently holds a lease agreement with
Dorwest Associates, LLC for approximately 9,912 square feet of office space located at 180
Westminster Street in the City of Providence; and

WHEREAS, The State of Rhode Island, acting by and through the Commission for Human
Rights, attests to the fact that there are no clauses in the lease agreement with Dorwest Associates,

17 LLC that would interfere with the Commission for Human Rights lease agreement or use of the18 facility; and

WHEREAS, The current lease expires on August 31, 2021, and the Commission for
 Human Rights wishes to renew the lease agreement with Dorwest Associates, LLC for an additional
 five (5) year lease term, commencing on September 1, 2021 and expiring on August 31, 2026; and
 WHEREAS, The leased premises provide a central location from which the Commission
 for Human Rights can serve the needs of state residents and otherwise fulfill the mission of the
 Commission; and

25 WHEREAS, The annual rent in the agreement in the current fiscal year, ending June 30,

26 2021 is \$181,410; and

WHEREAS, The annual base rent of the agreement in each of the five years of the term
will not exceed \$185,850; and

WHEREAS, The payment of the annual base rent will be made from funds available to the
Rhode Island Commission for Human Rights for the payments of rental and lease costs based on
annual appropriations made by the General Assembly; and

- WHEREAS, The State Properties Committee now respectfully requests the approval of the
 House of Representatives and the Senate for the lease agreement between the Commission for
- Human Rights and Dorwest Associates, LLC, for the facility located at 180 Westminster Street in

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RELATING TO LEASE AGREEMENTS FOR LEASED OFFICE AND OPERATING SPACE (Page -3-)

1 the City of Providence; now therefore be it

5 Assembly; and it be further 6 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit 7 certified copies of this resolution to the Governor, the Executive Director of the Commission 8 Human Rights, the Director of Administration, the State Budget Officer, and the Chair of the Properties Committee. 9 SECTION 5. Ethics Commission, 38-40 Fountain Street, Providence. 11 WHEREAS, The Rhode Island Ethics Commission currently holds a lease agreement 12 Gardner Building LLC for approximately 4,535 square feet of office space at 38-40 Fountain S 13 in the City of Providence; and 14 WHEREAS, The State of Rhode Island, acting by and through the Ethics Commission 15 attests to the fact that there are no clauses in the lease agreement with Gardner Building, LLC 16 would interfere with the Ethics Commission lease agreement or use of the facility; and 17 WHEREAS, The existing lease expires on November 30, 2021 and the Rhode Island E 18 commission wishes to exercise its option and renew this lease for a term of ten (10) years; an 19 WHEREAS, The leased premises will provide a critical regional location for officia 20 WHEREAS, The annual additional rent for parking in the current fiscal year, ending 20 S79.181; and 20	2	RESOLVED, That this General Assembly approves the lease agreement, for a term not to
5 Assembly; and it be further 6 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit 7 certified copies of this resolution to the Governor, the Executive Director of the Commission 8 Human Rights, the Director of Administration, the State Budget Officer, and the Chair of the Properties Committee. 9 SECTION 5. Ethics Commission, 38-40 Fountain Street, Providence. 11 WHEREAS, The Rhode Island Ethics Commission currently holds a lease agreement 12 Gardner Building LLC for approximately 4,535 square feet of office space at 38-40 Fountain S 13 in the City of Providence; and 14 WHEREAS, The State of Rhode Island, acting by and through the Ethics Commission 15 attests to the fact that there are no clauses in the lease agreement with Gardner Building, LLC 16 would interfere with the Ethics Commission lease agreement or use of the facility; and 17 WHEREAS, The existing lease expires on November 30, 2021 and the Rhode Island E 18 commission wishes to exercise its option and renew this lease for a term of ten (10) years; an 19 WHEREAS, The leased premises will provide a critical regional location for officia 20 WHEREAS, The annual additional rent for parking in the current fiscal year, ending 20 S79.181; and 20	3	exceed five (5) years and an aggregate base rent not to exceed \$929,250; and it be further
6 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit 7 certified copies of this resolution to the Governor, the Executive Director of the Commission 8 Human Rights, the Director of Administration, the State Budget Officer, and the Chair of the 3 9 Properties Committee. 10 SECTION 5. Ethics Commission, 38-40 Fountain Street, Providence. 11 WHEREAS, The Rhode Island Ethics Commission currently holds a lease agreement 12 Gardner Building LLC for approximately 4,535 square feet of office space at 38-40 Fountain S 13 in the City of Providence; and 14 WHEREAS, The State of Rhode Island, acting by and through the Ethics Commission 15 attests to the fact that there are no clauses in the lease agreement or use of the facility; and 16 would interfere with the Ethics Commission lease agreement or use of the facility; and 17 WHEREAS, The existing lease expires on November 30, 2021 and the Rhode Island E 18 Commission wishes to exercise its option and renew this lease for a term of ten (10) years; an 19 WHEREAS, The annual rent in the agreement in the current fiscal year, ending June 20 State, S. The annual additional rent for parking in the current fiscal year, ending June 20 2021 is \$24,894; and	4	RESOLVED, That this Joint Resolution shall take effect upon passage by the General
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8 Human Rights, the Director of Administration, the State Budget Officer, and the Chair of the instance 9 Properties Committee. 10 SECTION 5. Ethics Commission, 38-40 Fountain Street, Providence. 11 WHEREAS, The Rhode Island Ethics Commission currently holds a lease agreement 12 Gardner Building LLC for approximately 4,535 square feet of office space at 38-40 Fountain Stince (and the City of Providence; and the WHEREAS, The State of Rhode Island, acting by and through the Ethics Commission 13 in the City of Providence; and the Ethics Commission lease agreement or use of the facility; and through interfere with the Ethics Commission lease agreement or use of the facility; and WHEREAS, The existing lease expires on November 30, 2021 and the Rhode Island E 16 commission wishes to exercise its option and renew this lease for a term of ten (10) years; an WHEREAS, The leased premises will provide a critical regional location for officia 20 the Rhode Island Ethics Commission from which it can serve the needs of the Rhode Island E 21 community and otherwise fulfill the mission of the office; and 22 WHEREAS, The annual rent in the agreement in the current fiscal year, ending 23 0, 2021 is \$79,181; and 24 WHEREAS, The annual additional rent for parking in the current fiscal year, ending 25 years of the lease term will not exceed \$83,898 and the anticipated annual base rent o	6	RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly
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33 WHEREAS, The payment of the annual base rent and parking rent will be made from f	31	rent of the agreement in years six (6) through ten (10) of the lease term will not exceed \$24,894;
	32	and
	33	WHEREAS, The payment of the annual base rent and parking rent will be made from funds
34 available to the Rhode Island Ethics Commission for the payments of rental and lease costs b	34	available to the Rhode Island Ethics Commission for the payments of rental and lease costs based

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1 on annual appropriations made by the General Assembly; and

WHEREAS, The State Properties Committee now respectfully requests the approval of the Rhode Island House of Representatives and the Rhode Island Senate for the lease agreement between the Rhode Island Ethics Commission and Garner Building, LLC, for office space located at 40 Fountain Street, in the City of Providence, Rhode Island; now therefore be it

6 RESOLVED, That this General Assembly of the State of Rhode Island hereby approves 7 the lease agreement, for a lease term not to exceed ten years and an aggregate base rent not to 8 exceed \$861,650 and an aggregate additional rent for parking not to exceed \$248,940; and it be 9 further

10 RESOLVED, That this Joint Resolution shall take effect upon passage by the General
11 Assembly; and it be further

12 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly 13 certified copies of this resolution to the Governor, the Secretary of the Rhode Island Ethics 14 Commission, the Director of Administration, the State Budget Officer, and the Chair of the State 15 Properties Committee.

16 SECTION 6. Department of Human Services, Office of Rehabilitative Services, 40
17 Fountain Street, Providence.

WHEREAS, The Rhode Island Department of Human Services (Office of Rehabilitative
Services) currently has a current lease agreement, in full force and effect, with the Gardner
Building, LLC for approximately 27,680 square feet of office space located at 40 Fountain Street,
Providence; and

WHEREAS, The State of Rhode Island, acting by and through the Department of Human Services (Office of Rehabilitative Services), attests to the fact that there are no clauses in the lease agreement with Gardner Building, LLC that would interfere with the Department of Human Services (Office of the Rhode Island Department of Human Services (Office of Rehabilitative Services) lease agreement or use of the facility; and

WHEREAS, The existing lease expires on November 30, 2021 and the Department of
Human Services (Office of Rehabilitative Services) wishes to exercise its option and renew this
lease for a term of ten (10) years; and

30 WHEREAS, The leased premises will provide a critical regional location for officials of

31 the Department of Human Services (Office of Rehabilitative Services) from which it can serve the

32 needs of the Rhode Island community and otherwise fulfill the mission of the office; and

WHEREAS, The annual rent in the agreement in the current fiscal year, ending June 30,
2021 is \$483,293; and

Art11

RELATING TO LEASE AGREEMENTS FOR LEASED OFFICE AND OPERATING SPACE

WHEREAS, The annual additional rent for parking in the current fiscal year, ending June
 30, 2021 is \$198,000; and

- WHEREAS, The anticipated annual base rent of the agreement in each of the initial five (5) years of the term will not exceed \$512,808 and the anticipated annual base rent in years six (6) through ten (10) of the term will not exceed \$539,760; and
- WHEREAS, The anticipated annual additional rent for parking of the agreement in each of
 the initial five (5) years of the lease term will not exceed \$198,000 and the anticipated annual base
 rent of the agreement in years six (6) through ten (10) of the lease term will not exceed \$198,000;
 and
- WHEREAS, The payment of the annual base rent and parking rent will be made from funds
 available to the Department of Human Services (Office of Rehabilitative Services) for the payments
 of rental and lease costs based on annual appropriations made by the General Assembly; and

WHEREAS, The State Properties Committee now respectfully requests the approval of the Rhode Island House of Representatives and the Rhode Island Senate for the lease agreement between the Department of Human Services (Office of Rehabilitative Services) and Garner Building, LLC, for office space located at 40 Fountain Street, in the City of Providence, Rhode Island; now therefore be it

18 RESOLVED, That this General Assembly of the State of Rhode Island hereby approves 19 the lease agreement, for a lease term not to exceed ten (10) years and an aggregate base rent not to 20 exceed \$5,262,840 and an aggregate additional rent for parking not to exceed \$1,980,000; and it be 21 further

RESOLVED, That this Joint Resolution shall take effect upon passage by the General
 Assembly; and it be further

RESOLVED, That the Secretary of State be and he hereby is authorized and directed to transmit duly certified copies of this resolution to the Governor, the Secretary of the Department of Human Services, the Director of Administration, the State Budget Officer, and the Chair of the State Properties Committee

- 28 SECTION 7. Department of Human Services, Office of Disability Determination Services,
 40 Fountain Street, Providence.
- 30 WHEREAS, The Rhode Island Department of Human Services (Office of Disability 31 Determination Services) currently has a current lease agreement, in full force and effect, with the 32 Gardner Building, LLC for approximately 16,024 square feet of office space located at 40 Fountain 33 Street, Providence which will expire on November 30, 2021; and
- 34 WHEREAS, The State of Rhode Island, acting by and through the Department of Human

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Services (Office of Disability Determination Services), attests to the fact that there are no clauses
 in the lease agreement with Gardner Building, LLC that would interfere with the Department of
 Human Services (Office of Disability Determination Services) lease agreement or use of the
 facility; and

5 WHEREAS, The existing lease expires on November 30, 2021 and the Department of 6 Human Services (Office of Disability Determination Services) wishes to exercise its option and 7 renew this lease for a term of ten (10) years.

8 WHEREAS, The leased premises will provide a critical regional location for officials of 9 the Department of Human Services (Office of Disability Determination Services) from which it can 10 serve the needs of the Rhode Island community and otherwise fulfill the mission of the office; and 11 WHEREAS, The annual rent in the agreement in the current fiscal year, ending June 30,

12 2021 is \$279,779; and

WHEREAS, The annual additional rent for parking in the current fiscal year, ending June
30, 2021 is \$108,000; and

WHEREAS, The anticipated annual base rent of the agreement in each of the initial five (5) years of the term will not exceed \$296,444 and the anticipated annual base rent of the agreement in years six (6) through ten (10) will not exceed \$312,468; and

WHEREAS, The anticipated annual additional rent for parking of the agreement in each of the initial five (5) years of the lease term will not exceed \$108,000 and the anticipated annual base rent of the agreement in years six (6) through ten (10) of the lease term will not exceed \$108,000; and

WHEREAS, The payment of the annual base rent and parking rent will be made from funds available to the Department of Human Services (Office of Disability Determination Services) for the payments of rental and lease costs based on annual appropriations made by the General Assembly; and

WHEREAS, The State Properties Committee now respectfully requests the approval of the Rhode Island House of Representatives and the Rhode Island Senate for the lease agreement between the Department of Human Services (Office of Disability Determination Services) and Gardner Building, LLC, for office space located at 40 Fountain Street, in the City of Providence, Rhode Island; now therefore be it

RESOLVED, That this General Assembly of the State of Rhode Island hereby approves the lease agreement, for a lease term not to exceed ten (10) years and an aggregate base rent not to exceed \$3,044,560 and an aggregate additional rent for parking not to exceed \$1,080,000; and it be further RESOLVED, That this Joint Resolution shall take effect upon passage by the General
 Assembly; and it be further
 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly

- certified copies of this resolution to the Governor, the Secretary of the Department of Human
 Services, the Director of Administration, the State Budget Officer, and the Chair of the State
 Properties Committee.
- 7 SECTION 8. Department of Human Services, 249 Roosevelt Avenue, Pawtucket.

8 WHEREAS, The Department of Human Services holds a current lease agreement, in full 9 force and effect, with PUI O, Inc. for 24,400 square feet of space located at 249 Roosevelt Avenue 10 in the City of Pawtucket; and

- WHEREAS, The current lease expires on July 31, 2021 and the Department of Human
 Services wishes to renew the lease agreement with PUI O, Inc. for a period of ten (10) years; and
- WHEREAS, The State of Rhode Island, acting by and through the Department of Human Services, attests to the fact that there are no clauses in the lease agreement with PUI O, Inc. that would interfere with the Department of Human Services lease agreement or use of the facility; and WHEREAS, The leased premises provide a regional location from which the Department of Human Services can serve the needs of the City of Pawtucket and its surrounding communities
- 18 and otherwise further fulfill the mission of the Department; and
- WHEREAS, The annual rent (includes systems furniture throughout leased premises and
 access to 190 parking spaces) in the agreement in the current fiscal year, ending June 30, 2021 is
 \$453,598; and
- WHEREAS, The annual base rent (includes systems furniture throughout leased premises and access to 190 parking spaces) shall not exceed \$453,598 for the initial three (3) years of the lease term, \$441,169 for the next three (3) years and six (6) months of the lease term and \$456,610 for the final three (3) years and six (6) months of the lease term; and
- WHEREAS, The payment of the annual base rent will be made from funds available to the Department of Human Services for the payments of rental and lease costs based on annual appropriations made by the General Assembly; and
- WHEREAS, The State Properties Committee now respectfully requests the approval of the House of Representatives and the Senate for the lease agreement between the Department of Human Services and PUI O, Inc., for the facility located at 249 Roosevelt Avenue in the City of Pawtucket; now therefore be it
- 33 RESOLVED, That this General Assembly of the State of Rhode Island hereby approves
- 34 the lease agreement, for a lease term not to exceed ten years and an aggregate base rent not to

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1 exceed \$4,503,021; and it be further

2 RESOLVED, That this Joint Resolution shall take effect upon passage by the General 3 Assembly; and it be further 4 RESOLVED, That the Secretary of State is hereby authorized and directed to transmit duly 5 certified copies of this resolution to the Governor, the Director of the Department of Human Services, the Director of Administration, the State Budget Officer, and the Chair of the State 6 7 Properties Committee. 8 SECTION 9. Department of Human Services, 77 Dorrance Street, Providence. 9 WHEREAS, The Department of Human Services holds a current lease agreement, in full force and effect, with 77 Dorrance, LLC for 25,812 square feet of space located at 77 Dorrance 10 11 Street in the City of Providence; and 12 WHEREAS, The current lease expires on August 31, 2021 and the Department of Human 13 Services wishes to renew the lease agreement with 77 Dorrance, LLC for a period of five (5) years; 14 and WHEREAS, The State of Rhode Island, acting by and through the Rhode Island 15 16 Department of Human Services, attests to the fact that there are no clauses in the lease agreement 17 with 77 Dorrance, LLC that would interfere with the Department of Human Services lease 18 agreement or use of the facility; and 19 WHEREAS, The leased premises provide a central location from which the Department of 20 Human Services can serve the needs of the Rhode Island community and otherwise further and 21 fulfill the mission of the Department; and 22 WHEREAS, The annual rent in the agreement in the current fiscal year, ending June 30, 23 2021 is \$395,791; and 24 WHEREAS, The anticipated annual base rent (includes janitorial services) in each of the 25 five (5) years of the new lease term is not to exceed \$412,992; and 26 WHEREAS, The payment of the annual base rent will be made from funds available to the Department of Human Services for the payments of rental and lease costs based on annual 27 28 appropriations made by the General Assembly; and 29 WHEREAS, The State Properties Committee now respectfully requests the approval of the 30 House of Representatives and the Senate for the lease agreement between the Department of 31 Human Services and 77 Dorrance, LLC, for the facility located at 77 Dorrance Street in the City of 32 Providence; now therefore be it 33 RESOLVED, That this General Assembly of the State of Rhode Island hereby approves 34 the lease agreement, for a lease term not to exceed five (5) years and an aggregate base rent not to

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1 exceed \$2,064,960; and it be further

RESOLVED, that the Secretary of State is hereby authorized and directed to transmit duly
certified copies of this resolution to the Governor, the Director of the Department of Human
Services, the Director of Administration, the State Budget Officer, and the Chair of the State
Properties Committee.
SECTION 10. This article shall take effect upon passage.

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ARTICLE 12

2	RELATING TO MEDICAL ASSISTANCE
3	SECTION 1. Sections 40-6-27 and 40-6-27.2 of the General Laws in Chapter 40-6 entitled
4	"Public Assistance Act" is hereby amended to read as follows:
5	40-6-27. Supplemental Security Income.
6	(a)(1) The director of the department is hereby authorized to enter into agreements on
7	behalf of the state with the secretary of the Department of Health and Human Services or other
8	appropriate federal officials, under the Supplementary Security Income (SSI) program established
9	by title XVI of the Social Security Act, 42 U.S.C. § 1381 et seq., concerning the administration and
10	determination of eligibility for SSI benefits for residents of this state, except as otherwise provided
11	in this section. The state's monthly share of supplementary assistance to the Supplementary Security
12	Income program shall be as follows:
13	(i) Individual living alone: \$39.92
14	(ii) Individual living with others: \$51.92
15	(iii) Couple living alone: \$79.38
16	(iv) Couple living with others: \$97.30
17	(v) Individual living in state licensed assisted living residence: \$332.00
18	(vi) Individual eligible to receive Medicaid funded long term services and supports and
19	living in a Medicaid certified state licensed assisted living residence or adult supportive care
20	residence, as defined in § 23-17.24-1, participating in the program authorized under § 40-8.13-12
21	or an alternative, successor, or substitute program or delivery option designated for such purposes
22	by the secretary of the executive office of health and human services:
23	(A) With countable income above one hundred and twenty (120) percent of poverty: up to
24	\$465.00;
25	(B) With countable income at or below one hundred and twenty (120) percent of poverty:
26	up to the total amount established in (v) and \$465: \$797
27	(vii) Individual living in state-licensed supportive residential-care settings that, depending
28	on the population served, meet the standards set by the department of human services in conjunction
29	with the department(s) of children, youth and families, elderly affairs and/or behavioral healthcare,
30	developmental disabilities and hospitals: \$300.00.

1 Provided, however, that the department of human services shall by regulation reduce, 2 effective January 1, 2009, the state's monthly share of supplementary assistance to the 3 Supplementary Security Income (SSI) program for each of the above-listed payment levels, by the 4 same value as the annual federal cost of living adjustment to be published by the federal Social 5 Security Administration in October 2008 and becoming effective on January 1, 2009, as determined under the provisions of title XVI of the federal Social Security Act [42 U.S.C. § 1381 et seq.]; and 6 7 provided further, that it is the intent of the general assembly that the January 1, 2009, reduction in 8 the state's monthly share shall not cause a reduction in the combined federal and state payment level for each category of recipients in effect in the month of December 2008; provided further, 9 10 that the department of human services is authorized and directed to provide for payments to 11 recipients in accordance with the above directives.

(2) As of July 1, 2010, state supplement payments shall not be federally administered and
shall be paid directly by the department of human services to the recipient.

(3) Individuals living in institutions shall receive a twenty dollar (\$20.00) per month
personal needs allowance from the state that shall be in addition to the personal needs allowance
allowed by the Social Security Act, 42 U.S.C. § 301 et seq.

17 (4) Individuals living in state-licensed supportive residential-care settings and assisted-18 living residences who are receiving SSI supplemental payments under this section who are 19 participating in the program under § 40-8.13-12 or an alternative, successor, or substitute program 20 or delivery option, or otherwise shall be allowed to retain a minimum personal needs allowance of 21 fifty-five dollars (\$55.00) per month from their SSI monthly benefit prior to payment of any 22 monthly fees in addition to any amounts established in an administrative rule promulgated by the 23 secretary of the executive office of health and human services for persons eligible to receive 24 Medicaid-funded long-term services and supports in the settings identified in subsections (a)(1)(v) 25 and (a)(1)(vi).

(5) Except as authorized for the program authorized under § 40 8.13 12 or an alternative,
successor, or substitute program, or delivery option designated by the secretary to ensure that
supportive residential care or an assisted living residence is a safe and appropriate service setting,
the The department is authorized and directed to make a determination of the medical need and
whether a setting provides the appropriate services for those persons who:

(i) Have applied for or are receiving SSI, and who apply for admission to supportive
 residential care setting and assisted living residences on or after October 1, 1998; or

33 (ii) Who are residing in supportive residential care settings and assisted living residences,
34 and who apply for or begin to receive SSI on or after October 1, 1998.

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1 (6) The process for determining medical need required by subsection (a)(5) of this section 2 shall be developed by the executive office of health and human services in collaboration with the 3 departments of that office and shall be implemented in a manner that furthers the goals of 4 establishing a statewide coordinated long-term care entry system as required pursuant to the 5 Medicaid section 1115 waiver demonstration.

6 (7) To assure access to high quality coordinated services, the executive office of health and 7 human services is further authorized and directed to establish certification or contract standards 8 that must be met by those state-licensed supportive residential-care settings, including adult 9 supportive-care homes and assisted-living residences admitting or serving any persons eligible for 10 state-funded supplementary assistance under this section-or the program established under § 40-8.13-12. Such certification or contract standards shall define:

(i) The scope and frequency of resident assessments, the development and implementation
 of individualized service plans, staffing levels and qualifications, resident monitoring, service
 coordination, safety risk management and disclosure, and any other related areas;

(ii) The procedures for determining whether the certifications or contract standards havebeen met; and

17 (iii) The criteria and process for granting a one time, short-term good cause exemption 18 from the certification or contract standards to a licensed supportive residential care setting or 19 assisted living residence that provides documented evidence indicating that meeting or failing to 20 meet said standards poses an undue hardship on any person eligible under this section who is a 21 prospective or current resident.

(8) The certification or contract standards required by this section or § 40-8.13-12 or an
alternative, successor, or substitute program, or delivery option designated by the secretary shall
be developed in collaboration by the departments, under the direction of the executive office of
health and human services, so as to ensure that they comply with applicable licensure regulations
either in effect or in development.

(b) The department is authorized and directed to provide additional assistance toindividuals eligible for SSI benefits for:

(1) Moving costs or other expenses as a result of an emergency of a catastrophic nature
which is defined as a fire or natural disaster; and

31 (2) Lost or stolen SSI benefit checks or proceeds of them; and

(3) Assistance payments to SSI eligible individuals in need because of the application of
 federal SSI regulations regarding estranged spouses; and the department shall provide such
 assistance, in a form and amount, which the department shall by regulation determine.

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1

40-6-27.2. Supplementary cash assistance payment for certain Supplemental Security

2 **Income recipients.** 3 There is hereby established a \$206 monthly payment for disabled and elderly individuals 4 who, on or after July 1, 2012, receive the state supplementary assistance payment for an individual 5 in a state-licensed assisted-living residence under § 40-6-27 and further reside in an assisted-living facility that is not eligible to receive funding under Title XIX of the Social Security Act, 42 U.S.C. 6 § 1381 et seq., or reside in any assisted-living facility financed by the Rhode Island housing and 7 8 mortgage finance corporation prior to January 1, 2006, and receive a payment under § 40-6-27. The 9 monthly payment shall not be made on behalf of persons participating in the program authorized 10 under § 40-8.13-12 or an alternative, successor, or substitute program, or delivery option designated 11 for such purposes by the secretary of the executive office of health and human services. 12 SECTION 2. Section 40-8-4 and 40-8-26 of the General Laws in Chapter 40-8 entitled 13 "Medical Assistance" is hereby amended to read as follows: 14 40-8-4. Direct vendor payment plan. (a) The department shall furnish medical care benefits to eligible beneficiaries through a 15 16 direct vendor payment plan. The plan shall include, but need not be limited to, any or all of the 17 following benefits, which benefits shall be contracted for by the director: 18 (1) Inpatient hospital services, other than services in a hospital, institution, or facility for 19 tuberculosis or mental diseases; 20 (2) Nursing services for the period of time as the director shall authorize; 21 (3) Visiting nurse service; 22 (4) Drugs for consumption either by inpatients or by other persons for whom they are 23 prescribed by a licensed physician; 24 (5) Dental services; and 25 (6) Hospice care up to a maximum of two hundred and ten (210) days as a lifetime benefit. 26 (b) For purposes of this chapter, the payment of federal Medicare premiums or other health insurance premiums by the department on behalf of eligible beneficiaries in accordance with the 27 28 provisions of Title XIX of the federal Social Security Act, 42 U.S.C. § 1396 et seq., shall be deemed 29 to be a direct vendor payment. 30 (c) With respect to medical care benefits furnished to eligible individuals under this chapter 31 or Title XIX of the federal Social Security Act, the department is authorized and directed to impose: 32 (1) Nominal co-payments or similar charges upon eligible individuals for non-emergency services provided in a hospital emergency room; and 33 34 (2) Co-payments for prescription drugs in the amount of one dollar (\$1.00) for generic drug

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1 prescriptions and three dollars (\$3.00) for brand-name drug prescriptions in accordance with the

2 provisions of 42 U.S.C. § 1396 et seq.

3 (d) The department is authorized and directed to promulgate rules and regulations to
4 impose co-payments or charges and to provide that, with respect to subsection (c)(2), those
5 regulations shall be effective upon filing.

6 (e)(c) No state agency shall pay a vendor for medical benefits provided to a recipient of
7 assistance under this chapter until and unless the vendor has submitted a claim for payment to a
8 commercial insurance plan, Medicare, and/or a Medicaid managed care plan, if applicable for that
9 recipient, in that order. This includes payments for skilled nursing and therapy services specifically
10 outlined in Chapters 7, 8, and 15 of the Medicare Benefit Policy Manual.

11

40-8-26. Community health centers.

(a) For the purposes of this section, the term community health centers refers to federallyqualified health centers and rural health centers.

14 (b) To support the ability of community health centers to provide high-quality medical care 15 to patients, the executive office of health and human services ("executive office") shall-may adopt and implement an alternative payment methodology (APM) for determining a Medicaid per-visit 16 17 reimbursement for community health centers that is compliant with the prospective payment system 18 (PPS) provided for in the Medicare, Medicaid, and SCHIP Benefits Improvement and Protection 19 Act of 20001. The following principles are to ensure that the <u>APM PPS</u> prospective payment rate 20 determination methodology is part of the executive office overall value purchasing approach. For 21 community health centers that do not agree to the Principles of Reimbursement that reflects the 22 APM PPS, EOHHS shall reimburse such community health centers at the federal PPS rate, as 23 required per 1902(bb)(3) of the Social Security Act. For community health centers that are 24 reimbursed at the federal PPS rate, RIGL Sections 40-8-26(d) through (f) apply. 25 (c) The <u>APM PPS</u> rate determination methodology will (i) Fairly recognize the reasonable

costs of providing services. Recognized reasonable costs will be those appropriate for the organization, management, and direct provision of services and (ii) Provide assurances to the executive office that services are provided in an effective and efficient manner, consistent with industry standards. Except for demonstrated cause and at the discretion of the executive office, the maximum reimbursement rate for a service (e.g., medical, dental) provided by an individual community health center shall not exceed one hundred twenty-five percent (125%) of the median rate for all community health centers within Rhode Island.

33 (d) Community health centers will cooperate fully and timely with reporting requirements
 34 established by the executive office.

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1 (e) Reimbursement rates established through this methodology shall be incorporated into 2 the PPS reconciliation for services provided to Medicaid-eligible persons who are enrolled in a 3 health plan on the date of service. Monthly payments by the executive office related to PPS for 4 persons enrolled in a health plan shall be made directly to the community health centers.

- 5 (f) Reimbursement rates established through this methodology shall be incorporated into the actuarially certified capitation rates paid to a health plan. The health plan shall be responsible 6 7 for paying the full amount of the reimbursement rate to the community health center for each 8 service eligible for reimbursement under the Medicare, Medicaid, and SCHIP Benefits 9 Improvement and Protection Act of 20001. If the health plan has an alternative payment 10 arrangement with the community health center the health plan may establish a PPS reconciliation 11 process for eligible services and make monthly payments related to PPS for persons enrolled in the 12 health plan on the date of service. The executive office will review, at least annually, the Medicaid 13 reimbursement rates and reconciliation methodology used by the health plans for community health 14 centers to ensure payments to each are made in compliance with the Medicare, Medicaid, and 15 SCHIP Benefits Improvement and Protection Act of 20004.
- 16 SECTION 3. Sections 40-8.3-2, 40-8.3-3 and 40-8.3-10 of the General Laws in Chapter 17 40-8.3 entitled "Uncompensated Care" are hereby amended to read as follows:
- 18

40-8.3-2. Definitions.

19 As used in this chapter:

20 (1) "Base year" means, for the purpose of calculating a disproportionate share payment for 21 any fiscal year ending after September 30, 2018 2020, the period from October 1, 2016 2018, 22 through September 30, 2017 2019, and for any fiscal year ending after September 30, 2019 2021, the period from October 1, 2016 2019, through September 30, 2017 2020. 23

24 (2) "Medicaid inpatient utilization rate for a hospital" means a fraction (expressed as a 25 percentage), the numerator of which is the hospital's number of inpatient days during the base year 26 attributable to patients who were eligible for medical assistance during the base year and the 27 denominator of which is the total number of the hospital's inpatient days in the base year.

28 (3) "Participating hospital" means any nongovernment and nonpsychiatric hospital that: 29 (i) Was licensed as a hospital in accordance with chapter 17 of title 23 during the base year 30 and shall mean the actual facilities and buildings in existence in Rhode Island, licensed pursuant to 31 § 23-17-1 et seq. on June 30, 2010, and thereafter any premises included on that license, regardless 32 of changes in licensure status pursuant to chapter 17.14 of title 23 (hospital conversions) and § 23-33 17-6(b) (change in effective control), that provides short-term, acute inpatient and/or outpatient 34 care to persons who require definitive diagnosis and treatment for injury, illness, disabilities, or

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1 pregnancy. Notwithstanding the preceding language, the negotiated Medicaid managed-care 2 payment rates for a court-approved purchaser that acquires a hospital through receivership, special 3 mastership, or other similar state insolvency proceedings (which court-approved purchaser is issued 4 a hospital license after January 1, 2013), shall be based upon the newly negotiated rates between 5 the court-approved purchaser and the health plan, and the rates shall be effective as of the date that the court-approved purchaser and the health plan execute the initial agreement containing the newly 6 7 negotiated rate. The rate-setting methodology for inpatient hospital payments and outpatient 8 hospital payments set forth in §§ 40-8-13.4(b)(1)(ii)(C) and 40-8-13.4(b)(2), respectively, shall 9 thereafter apply to negotiated increases for each annual twelve-month (12) period as of July 1 10 following the completion of the first full year of the court-approved purchaser's initial Medicaid 11 managed-care contract;

12

13

(ii) Achieved a medical assistance inpatient utilization rate of at least one percent (1%) during the base year; and

(iii) Continues to be licensed as a hospital in accordance with chapter 17 of title 23 duringthe payment year.

(4) "Uncompensated-care costs" means, as to any hospital, the sum of: (i) The cost incurred
by such hospital during the base year for inpatient or outpatient services attributable to charity care
(free care and bad debts) for which the patient has no health insurance or other third-party coverage
less payments, if any, received directly from such patients; and (ii) The cost incurred by such
hospital during the base year for inpatient or out-patient services attributable to Medicaid
beneficiaries less any Medicaid reimbursement received therefor; multiplied by the
uncompensated-care index.

23 (5) "Uncompensated-care index" means the annual percentage increase for hospitals 24 established pursuant to § 27-19-14 for each year after the base year, up to and including the payment 25 year; provided, however, that the uncompensated-care index for the payment year ending 26 September 30, 2007, shall be deemed to be five and thirty-eight hundredths percent (5.38%), and that the uncompensated-care index for the payment year ending September 30, 2008, shall be 27 28 deemed to be five and forty-seven hundredths percent (5.47%), and that the uncompensated-care 29 index for the payment year ending September 30, 2009, shall be deemed to be five and thirty-eight 30 hundredths percent (5.38%), and that the uncompensated-care index for the payment years ending 31 September 30, 2010, September 30, 2011, September 30, 2012, September 30, 2013, September 32 30, 2014, September 30, 2015, September 30, 2016, September 30, 2017, September 30, 2018, 33 September 30, 2019, and September 30, 2020, September 30, 2021, and September 30, 2022 shall 34 be deemed to be five and thirty hundredths percent (5.30%).

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1 **40-8.3-3. Implementation.**

2	(a) For federal fiscal year 2018, commencing on October 1, 2017, and ending September
3	30, 2018, the executive office of health and human services shall submit to the Secretary of the
4	United States Department of Health and Human Services a state plan amendment to the Rhode
5	Island Medicaid DSH Plan to provide:
6	(1) That the DSH Plan to all participating hospitals, not to exceed an aggregate limit of
7	\$138.6 million, shall be allocated by the executive office of health and human services to the Pool
8	D component of the DSH Plan; and
9	(2) That the Pool D allotment shall be distributed among the participating hospitals in direct
10	proportion to the individual participating hospital's uncompensated care costs for the base year,
11	inflated by the uncompensated care index to the total uncompensated care costs for the base year
12	inflated by uncompensated care index for all participating hospitals. The disproportionate share
13	payments shall be made on or before July 10, 2018, and are expressly conditioned upon approval
14	on or before July 5, 2018, by the Secretary of the United States. Department of Health and Human
15	Services, or his or her authorized representative, of all Medicaid state plan amendments necessary
16	to secure for the state the benefit of federal financial participation in federal fiscal year 2018 for
17	the disproportionate share payments.
18	(b) For federal fiscal year 2019, commencing on October 1, 2018, and ending September
19	30, 2019, the executive office of health and human services shall submit to the Secretary of the
20	United States Department of Health and Human Services a state plan amendment to the Rhode
21	Island Medicaid DSH Plan to provide:
22	(1) That the DSH Plan to all participating hospitals, not to exceed an aggregate limit of
23	\$142.4 million, shall be allocated by the executive office of health and human services to the Pool
24	D component of the DSH Plan; and
25	(2) That the Pool D allotment shall be distributed among the participating hospitals in direct
26	proportion to the individual participating hospital's uncompensated care costs for the base year,
27	inflated by the uncompensated care index to the total uncompensated care costs for the base year
28	inflated by uncompensated care index for all participating hospitals. The disproportionate share
29	payments shall be made on or before July 10, 2019, and are expressly conditioned upon approval
30	on or before July 5, 2019, by the Secretary of the United States Department of Health and Human
31	Services, or his or her authorized representative, of all Medicaid state plan amendments necessary
32	to secure for the state the benefit of federal financial participation in federal fiscal year 2019 for
33	the disproportionate share payments.
34	(e) (a) For federal fiscal year 2020, commencing on October 1, 2019, and ending September

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30, 2020, the executive office of health and human services shall submit to the Secretary of the
 United States Department of Health and Human Services a state plan amendment to the Rhode
 Island Medicaid DSH Plan to provide:

4 (1) That the DSH Plan to all participating hospitals, not to exceed an aggregate limit of
\$142.4 million, shall be allocated by the executive office of health and human services to the Pool
D component of the DSH Plan; and

7 (2) That the Pool D allotment shall be distributed among the participating hospitals in direct 8 proportion to the individual participating hospital's uncompensated-care costs for the base year, 9 inflated by the uncompensated-care index to the total uncompensated-care costs for the base year 10 inflated by uncompensated-care index for all participating hospitals. The disproportionate share 11 payments shall be made on or before July 13, 2020, and are expressly conditioned upon approval 12 on or before July 6, 2020, by the Secretary of the United States Department of Health and Human 13 Services, or his or her authorized representative, of all Medicaid state plan amendments necessary 14 to secure for the state the benefit of federal financial participation in federal fiscal year 2020 for 15 the disproportionate share payments.

(b) For federal fiscal year 2021, commencing on October 1, 2020, and ending September
 30, 2021, the executive office of health and human services shall submit to the Secretary of the
 U.S. Department of Health and Human Services a state plan amendment to the Rhode Island

- 19 Medicaid DSH Plan to provide:
- 20 (1) That the DSH Plan to all participating hospitals, not to exceed an aggregate limit of

21 <u>\$142.5 million, shall be allocated by the executive office of health and human services to the Pool</u>

22 D component of the DSH Plan; and

23 (2) That the Pool D allotment shall be distributed among the participating hospitals in direct 24 proportion to the individual participating hospital's uncompensated care costs for the base year, 25 inflated by the uncompensated care index to the total uncompensated care costs for the base year 26 inflated by uncompensated care index for all participating hospitals. The disproportionate share 27 payments shall be made on or before July 12, 2021, and are expressly conditioned upon approval 28 on or before July 5, 2021, by the Secretary of the U.S. Department of Health and Human Services, 29 or his or her authorized representative, of all Medicaid state plan amendments necessary to secure 30 for the state the benefit of federal financial participation in federal fiscal year 2021 for the 31 disproportionate share payments. 32 (c) For federal fiscal year 2022, commencing on October 1, 2021, and ending September

- 33 <u>30, 2022, the executive office of health and human services shall submit to the Secretary of the</u>
- 34 U.S. Department of Health and Human Services a state plan amendment to the Rhode Island

Art12 RELATING TO MEDICAL ASSISTANCE (Page -9-) 1 <u>Medicaid DSH Plan to provide:</u>

2 (1) That the DSH Plan to all participating hospitals, not to exceed an aggregate limit of 3 \$143.8 million, shall be allocated by the executive office of health and human services to the Pool 4 D component of the DSH Plan; and 5 (2) That the Pool D allotment shall be distributed among the participating hospitals in direct proportion to the individual participating hospital's uncompensated care costs for the base year, 6 7 inflated by the uncompensated care index to the total uncompensated care costs for the base year 8 inflated by uncompensated care index for all participating hospitals. The disproportionate share 9 payments shall be made on or before July 12, 2022, and are expressly conditioned upon approval 10 on or before July 5, 2022, by the Secretary of the U.S. Department of Health and Human Services, 11 or his or her authorized representative, of all Medicaid state plan amendments necessary to secure 12 for the state the benefit of federal financial participation in federal fiscal year 2022 for the 13 disproportionate share payments. 14 (d) No provision is made pursuant to this chapter for disproportionate-share hospital 15 payments to participating hospitals for uncompensated-care costs related to graduate medical 16 education programs. 17 (e) The executive office of health and human services is directed, on at least a monthly 18 basis, to collect patient-level uninsured information, including, but not limited to, demographics, 19 services rendered, and reason for uninsured status from all hospitals licensed in Rhode Island. 20 40-8.3-10. Hospital adjustment payments. 21 Effective July 1, 2012 2021, and for each subsequent year, the executive office of health 22 and human services is hereby authorized and directed to amend its regulations for reimbursement 23 to hospitals for inpatient and outpatient services as follows: 24 (a) Each hospital in the state of Rhode Island, as defined in § 23-17-38.1, shall receive a 25 quarterly outpatient adjustment payment each state fiscal year of an amount determined as follows: 26 (1) Determine the percent of the state's total Medicaid outpatient and emergency department services (exclusive of physician services) provided by each hospital during each 27 28 hospital's prior fiscal year; 29 (2) Determine the sum of all Medicaid payments to hospitals made for outpatient and 30 emergency department services (exclusive of physician services) provided during each hospital's 31 prior fiscal year; 32 (3) Multiply the sum of all Medicaid payments as determined in subsection (a)(2) by a 33 percentage defined as the total identified upper payment limit for all hospitals divided by the sum

34 of all Medicaid payments as determined in subsection (a)(2); and then multiply that result by each

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1 hospital's percentage of the state's total Medicaid outpatient and emergency department services as 2 determined in subsection (a)(1) to obtain the total outpatient adjustment for each hospital to be paid 3 each year; 4 (4) Pay each hospital on or before July 20, October 20, January 20, and April 20 one quarter 5 (1/4) of its total outpatient adjustment as determined in subsection (a)(3). (b) [Deleted by P.L. 2019, ch. 88, art. 13, § 6.] 6 (c) Each hospital in the state of Rhode Island, as defined in subdivision 3-17-38.19(b)(1), 7 8 shall receive a quarterly inpatient adjustment payment each state fiscal year of an amount 9 determined as follows: 10 (1) Determine the percent of the state's total Medicaid inpatient services (exclusive of 11 physician services) provided by each hospital during each hospital's prior fiscal year; 12 (2) Determine the sum of all Medicaid payments to hospitals made for inpatient services 13 (exclusive of physician services) provided during each hospital's prior fiscal year; 14 (3) Multiply the sum of all Medicaid payments as determined in subdivision (2) by a 15 percentage defined as the total identified upper payment limit for all hospitals divided by the sum 16 of all Medicaid payments as determined in subdivision (2); and then multiply that result by each 17 hospital's percentage of the state's total Medicaid inpatient services as determined in subdivision 18 (1) to obtain the total inpatient adjustment for each hospital to be paid each year; 19 (4) Pay each hospital on or before July 20, October 20, January 20, and April 20 one 20 quarter (1/4) of its total inpatient adjustment as determined in subdivision (3) above. 21 (c)(d) The amounts determined in subsection subsections (a) and (c) are in addition to 22 Medicaid inpatient and outpatient payments and emergency services payments (exclusive of 23 physician services) paid to hospitals in accordance with current state regulation and the Rhode 24 Island Plan for Medicaid Assistance pursuant to Title XIX of the Social Security Act and are not 25 subject to recoupment or settlement. 26 SECTION 4. Section 15 of Article 5 of Chapter 141 of the Public Laws of 2015 is hereby 27 repealed. 28 A pool is hereby established of up to \$4.0 million to support Medicaid Graduate Education 29 funding for Academic Medical Centers who provide care to the state's critically ill and indigent 30 populations. The office of Health and Human Services shall utilize this pool to provide up to \$5 31 million per year in additional Medicaid payments to support Graduate Medical Education programs 32 to hospitals meeting all of the following criteria: 33 (a) Hospital must have a minimum of 25,000 inpatient discharges per year for all patients 34 regardless of coverage.

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- 1 (b) Hospital must be designated as Level I Trauma Center.
- 2 (c) Hospital must provide graduate medical education training for at least 250 interns and 3 residents per year.
- 4 The Secretary of the Executive Office of Health and Human Services shall determine the 5 appropriate Medicaid payment mechanism to implement this program and amend any state plan documents required to implement the payments. 6
- 7
- 8 SECTION 5. Section 40-8.4-12 of the General Laws in Chapter 40-8.4 entitled "Health 9 Care for Families" is hereby amended to read as follows:

Payments for Graduate Medical Education programs shall be made annually.

10

40-8.4-12. RIte Share health insurance premium assistance program.

11 (a) Basic RIte Share health insurance premium assistance program. Under the terms of 12 Section 1906 of Title XIX of the U.S. Social Security Act, 42 U.S.C. § 1396e, states are permitted 13 to pay a Medicaid-eligible person's share of the costs for enrolling in employer-sponsored health 14 insurance (ESI) coverage if it is cost-effective to do so. Pursuant to the general assembly's direction in the Rhode Island health reform act of 2000, the Medicaid agency requested and obtained federal 15 16 approval under § 1916, 42 U.S.C. § 13960, to establish the RIte Share premium assistance program 17 to subsidize the costs of enrolling Medicaid-eligible persons and families in employer-sponsored 18 health insurance plans that have been approved as meeting certain cost and coverage requirements. 19 The Medicaid agency also obtained, at the general assembly's direction, federal authority to require 20 any such persons with access to ESI coverage to enroll as a condition of retaining eligibility 21 providing that doing so meets the criteria established in Title XIX for obtaining federal matching 22 funds.

23

(b) Definitions. For the purposes of this section, the following definitions apply:

24 (1) "Cost-effective" means that the portion of the ESI that the state would subsidize, as 25 well as wrap-around costs, would on average cost less to the state than enrolling that same 26 person/family in a managed-care delivery system.

27

(2) "Cost sharing" means any co-payments, deductibles, or co-insurance associated with ESI. 28

29 (3) "Employee premium" means the monthly premium share a person or family is required 30 to pay to the employer to obtain and maintain ESI coverage.

31 (4) "Employer-sponsored insurance" or "ESI" means health insurance or a group health 32 plan offered to employees by an employer. This includes plans purchased by small employers 33 through the state health insurance marketplace, healthsource, RI (HSRI).

34

(5) "Policy holder" means the person in the household with access to ESI, typically the

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1 employee.

2 (6) "RIte Share-approved employer-sponsored insurance (ESI)" means an employer3 sponsored health insurance plan that meets the coverage and cost-effectiveness criteria for RIte
4 Share.

(7) "RIte Share buy-in" means the monthly amount an Medicaid-ineligible policy holder
must pay toward RIte Share-approved ESI that covers the Medicaid-eligible children, young adults,
or spouses with access to the ESI. The buy-in only applies in instances when household income is
above one hundred fifty percent (150%) of the FPL.

9 (8) "RIte Share premium assistance program" means the Rhode Island Medicaid premium 10 assistance program in which the State pays the eligible Medicaid member's share of the cost of 11 enrolling in a RIte Share-approved ESI plan. This allows the state to share the cost of the health 12 insurance coverage with the employer.

(9) "RIte Share unit" means the entity within the executive office of health and human
services (EOHHS) responsible for assessing the cost-effectiveness of ESI, contacting employers
about ESI as appropriate, initiating the RIte Share enrollment and disenrollment process, handling
member communications, and managing the overall operations of the RIte Share program.

(10) "Third-party liability (TPL)" means other health insurance coverage. This insurance
is in addition to Medicaid and is usually provided through an employer. Since Medicaid is always
the payer of last resort, the TPL is always the primary coverage.

(11) "Wrap-around services or coverage" means any healthcare services not included in
the ESI plan that would have been covered had the Medicaid member been enrolled in a RIte Care
or Rhody Health Partners plan. Coverage of deductibles and co-insurance is included in the wrap.
Co-payments to providers are not covered as part of the wrap-around coverage.

(c) RIte Share populations. Medicaid beneficiaries subject to RIte Share include: children,
families, parent and caretakers eligible for Medicaid or the children's health insurance program
(CHIP) under this chapter or chapter 12.3 of title 42; and adults between the ages of nineteen (19)
and sixty-four (64) who are eligible under chapter 8.12 of this title, not receiving or eligible to
receive Medicare, and are enrolled in managed care delivery systems. The following conditions
apply:

30 (1) The income of Medicaid beneficiaries shall affect whether and in what manner they
31 must participate in RIte Share as follows:

(i) Income at or below one hundred fifty percent (150%) of FPL -- Persons and families
determined to have household income at or below one hundred fifty percent (150%) of the federal
poverty level (FPL) guidelines based on the modified adjusted gross income (MAGI) standard or

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other standard approved by the secretary are required to participate in RIte Share if a Medicaid eligible adult or parent/caretaker has access to cost-effective ESI. Enrolling in ESI through RIte
 Share shall be a condition of maintaining Medicaid health coverage for any eligible adult with
 access to such coverage.

5 (ii) Income above one hundred fifty percent (150%) of FPL and policy holder is not Medicaid-eligible -- Premium assistance is available when the household includes Medicaid-6 7 eligible members, but the ESI policy holder (typically a parent/caretaker, or spouse) is not eligible 8 for Medicaid. Premium assistance for parents/caretakers and other household members who are not 9 Medicaid-eligible may be provided in circumstances when enrollment of the Medicaid-eligible 10 family members in the approved ESI plan is contingent upon enrollment of the ineligible policy 11 holder and the executive office of health and human services (executive office) determines, based 12 on a methodology adopted for such purposes, that it is cost-effective to provide premium assistance 13 for family or spousal coverage.

(d) RIte Share enrollment as a condition of eligibility. For Medicaid beneficiaries over the
age of nineteen (19), enrollment in RIte Share shall be a condition of eligibility except as exempted
below and by regulations promulgated by the executive office.

(1) Medicaid-eligible children and young adults up to age nineteen (19) shall not be
required to enroll in a parent/caretaker relative's ESI as a condition of maintaining Medicaid
eligibility if the person with access to RIte Share-approved ESI does not enroll as required. These
Medicaid-eligible children and young adults shall remain eligible for Medicaid and shall be
enrolled in a RIte Care plan.

(2) There shall be a limited six-month (6) exemption from the mandatory enrollment
 requirement for persons participating in the RI works program pursuant to chapter 5.2 of this title.

24 (e) Approval of health insurance plans for premium assistance. The executive office of 25 health and human services shall adopt regulations providing for the approval of employer-based 26 health insurance plans for premium assistance and shall approve employer-based health insurance 27 plans based on these regulations. In order for an employer-based health insurance plan to gain 28 approval, the executive office must determine that the benefits offered by the employer-based 29 health insurance plan are substantially similar in amount, scope, and duration to the benefits 30 provided to Medicaid-eligible persons enrolled in a Medicaid managed care plan, when the plan is 31 evaluated in conjunction with available supplemental benefits provided by the office. The office 32 shall obtain and make available to persons otherwise eligible for Medicaid identified in this section 33 as supplemental benefits those benefits not reasonably available under employer-based health 34 insurance plans that are required for Medicaid beneficiaries by state law or federal law or

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regulation. Once it has been determined by the Medicaid agency that the ESI offered by a particular employer is RIte Share-approved, all Medicaid members with access to that employer's plan are required to participate in RIte Share. Failure to meet the mandatory enrollment requirement shall result in the termination of the Medicaid eligibility of the policy holder and other Medicaid members nineteen (19) or older in the household who could be covered under the ESI until the policy holder complies with the RIte Share enrollment procedures established by the executive office.

8 (f) Premium assistance. The executive office shall provide premium assistance by paying 9 all or a portion of the employee's cost for covering the eligible person and/or his or her family under 10 such a RIte Share-approved ESI plan subject to the buy-in provisions in this section.

11 (g) Buy-in. Persons who can afford it shall share in the cost. -- The executive office is 12 authorized and directed to apply for and obtain any necessary state plan and/or waiver amendments 13 from the Secretary of the United States Department of Health and Human Services (DHHS) to 14 require that persons enrolled in a RIte Share-approved employer-based health plan who have 15 income equal to or greater than one hundred fifty percent (150%) of the FPL to buy-in to pay a 16 share of the costs based on the ability to pay, provided that the buy-in cost shall not exceed five 17 percent (5%) of the person's annual income. The executive office shall implement the buy-in by 18 regulation, and shall consider co-payments, premium shares, or other reasonable means to do so.

(h) Maximization of federal contribution. The executive office of health and human
services is authorized and directed to apply for and obtain federal approvals and waivers necessary
to maximize the federal contribution for provision of medical assistance coverage under this
section, including the authorization to amend the Title XXI state plan and to obtain any waivers
necessary to reduce barriers to provide premium assistance to recipients as provided for in Title
XXI of the Social Security Act, 42 U.S.C. § 1397aa et seq.

(i) Implementation by regulation. The executive office of health and human services is
authorized and directed to adopt regulations to ensure the establishment and implementation of the
premium assistance program in accordance with the intent and purpose of this section, the
requirements of Title XIX, Title XXI, and any approved federal waivers.

(j) Outreach and reporting. The executive office of health and human services shall develop a plan to identify Medicaid-eligible individuals who have access to employer-sponsored insurance and increase the use of RIte Share benefits. Beginning October 1, 2019, the executive office shall submit the plan to be included as part of the reporting requirements under § 35-17-1. Starting January 1, 2020, the executive office of health and human services shall include the number of Medicaid recipients with access to employer-sponsored insurance, the number of plans that did not

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1 meet the cost-effectiveness criteria for RIte Share, and enrollment in the premium assistance 2 program as part of the reporting requirements under § 35-17-1.

3 (k) Employer Sponsored Insurance. The Executive Office of Health and Human Services 4 shall dedicate staff and resources to reporting monthly as part of the requirements under § 35-17-1 5 which employer sponsored insurance plans meet the cost effectiveness criteria for RIte Share. Information in the report shall be used for screening for Medicaid enrollment to encourage Rite 6 7 Share participation. By October 1, 2021, the report shall include any employers with 300 or more 8 employees. By January 1, 2022, the report shall include employers with 100 or more employees. 9 The January report shall also be provided to the chairperson of the house finance committee; the 10 chairperson of the senate finance committee; the house fiscal advisor; the senate fiscal advisor; and

11 the state budget officer ...

12 SECTION 6. Section 40-8.9-9 of the General Laws in Chapter 40-8.9 entitled "Medical 13 Assistance – Long-Term Care Service and Finance Reform" is hereby amended to read as follows: 14

40-8.9-9. Long-term-care rebalancing system reform goal.

15 (a) Notwithstanding any other provision of state law, the executive office of health and 16 human services is authorized and directed to apply for, and obtain, any necessary waiver(s), waiver 17 amendment(s), and/or state-plan amendments from the Secretary of the United States Department 18 of Health and Human Services, and to promulgate rules necessary to adopt an affirmative plan of 19 program design and implementation that addresses the goal of allocating a minimum of fifty percent 20 (50%) of Medicaid long-term-care funding for persons aged sixty-five (65) and over and adults 21 with disabilities, in addition to services for persons with developmental disabilities, to home- and 22 community-based care; provided, further, the executive office shall report annually as part of its 23 budget submission, the percentage distribution between institutional care and home- and 24 community-based care by population and shall report current and projected waiting lists for long-25 term-care and home- and community-based care services. The executive office is further authorized 26 and directed to prioritize investments in home- and community-based care and to maintain the 27 integrity and financial viability of all current long-term-care services while pursuing this goal.

28 (b) The reformed long-term-care system rebalancing goal is person-centered and 29 encourages individual self-determination, family involvement, interagency collaboration, and 30 individual choice through the provision of highly specialized and individually tailored home-based 31 services. Additionally, individuals with severe behavioral, physical, or developmental disabilities 32 must have the opportunity to live safe and healthful lives through access to a wide range of 33 supportive services in an array of community-based settings, regardless of the complexity of their 34 medical condition, the severity of their disability, or the challenges of their behavior. Delivery of

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services and supports in less-costly and less-restrictive community settings will enable children,
 adolescents, and adults to be able to curtail, delay, or avoid lengthy stays in long-term-care
 institutions, such as behavioral health residential-treatment facilities, long-term-care hospitals,
 intermediate-care facilities, and/or skilled nursing facilities.

5 (c) Pursuant to federal authority procured under § 42-7.2-16, the executive office of health and human services is directed and authorized to adopt a tiered set of criteria to be used to determine 6 eligibility for services. The criteria shall be developed in collaboration with the state's health and 7 8 human services departments and, to the extent feasible, any consumer group, advisory board, or 9 other entity designated for these purposes, and shall encompass eligibility determinations for long-10 term-care services in nursing facilities, hospitals, and intermediate-care facilities for persons with 11 intellectual disabilities, as well as home- and community-based alternatives, and shall provide a 12 common standard of income eligibility for both institutional and home- and community-based care. 13 The executive office is authorized to adopt clinical and/or functional criteria for admission to a 14 nursing facility, hospital, or intermediate-care facility for persons with intellectual disabilities that 15 are more stringent than those employed for access to home- and community-based services. The 16 executive office is also authorized to promulgate rules that define the frequency of re-assessments 17 for services provided for under this section. Levels of care may be applied in accordance with the 18 following:

(1) The executive office shall continue to apply the level-of-care criteria in effect on June
 30, 2015, for any recipient determined eligible for and receiving Medicaid-funded long-term
 services in supports in a nursing facility, hospital, or intermediate-care facility for persons with
 intellectual disabilities on or before that date, unless:

(i) The recipient transitions to home- and community-based services because he or she
would no longer meet the level-of-care criteria in effect on June 30, 2015; or

25 (ii) The recipient chooses home- and community-based services over the nursing facility, 26 hospital, or intermediate-care facility for persons with intellectual disabilities. For the purposes of 27 this section, a failed community placement, as defined in regulations promulgated by the executive 28 office, shall be considered a condition of clinical eligibility for the highest level of care. The 29 executive office shall confer with the long-term-care ombudsperson with respect to the 30 determination of a failed placement under the ombudsperson's jurisdiction. Should any Medicaid 31 recipient eligible for a nursing facility, hospital, or intermediate-care facility for persons with 32 intellectual disabilities as of June 30, 2015, receive a determination of a failed community 33 placement, the recipient shall have access to the highest level of care; furthermore, a recipient who 34 has experienced a failed community placement shall be transitioned back into his or her former

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nursing home, hospital, or intermediate-care facility for persons with intellectual disabilities
whenever possible. Additionally, residents shall only be moved from a nursing home, hospital, or
intermediate-care facility for persons with intellectual disabilities in a manner consistent with
applicable state and federal laws.

5 (2) Any Medicaid recipient eligible for the highest level of care who voluntarily leaves a 6 nursing home, hospital, or intermediate-care facility for persons with intellectual disabilities shall 7 not be subject to any wait list for home- and community-based services.

8 (3) No nursing home, hospital, or intermediate-care facility for persons with intellectual
9 disabilities shall be denied payment for services rendered to a Medicaid recipient on the grounds

10 that the recipient does not meet level-of-care criteria unless and until the executive office has:

(i) Performed an individual assessment of the recipient at issue and provided written notice
to the nursing home, hospital, or intermediate-care facility for persons with intellectual disabilities
that the recipient does not meet level-of-care criteria; and

(ii) The recipient has either appealed that level-of-care determination and been
unsuccessful, or any appeal period available to the recipient regarding that level-of-care
determination has expired.

17 (d) The executive office is further authorized to consolidate all home- and community-18 based services currently provided pursuant to 42 U.S.C. § 1396n into a single system of home- and 19 community-based services that include options for consumer direction and shared living. The 20 resulting single home- and community-based services system shall replace and supersede all 42 21 U.S.C. § 1396n programs when fully implemented. Notwithstanding the foregoing, the resulting 22 single program home- and community-based services system shall include the continued funding 23 of assisted-living services at any assisted-living facility financed by the Rhode Island housing and 24 mortgage finance corporation prior to January 1, 2006, and shall be in accordance with chapter 66.8 of title 42 as long as assisted-living services are a covered Medicaid benefit. 25

(e) The executive office is authorized to promulgate rules that permit certain optional
services including, but not limited to, homemaker services, home modifications, respite, and
physical therapy evaluations to be offered to persons at risk for Medicaid-funded long-term care
subject to availability of state-appropriated funding for these purposes.

30 (f) To promote the expansion of home- and community-based service capacity, the 31 executive office is authorized to pursue payment methodology reforms that increase access to 32 homemaker, personal care (home health aide), assisted living, adult supportive-care homes, and 33 adult day services, as follows:

34

(1) Development of revised or new Medicaid certification standards that increase access to

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1 service specialization and scheduling accommodations by using payment strategies designed to 2 achieve specific quality and health outcomes.

3 (2) Development of Medicaid certification standards for state-authorized providers of adult 4 day services, excluding providers of services authorized under § 40.1-24-1(3), assisted living, and 5 adult supportive care (as defined under chapter 17.24 of title 23) that establish for each, an acuitybased, tiered service and payment methodology tied to: licensure authority; level of beneficiary 6 7 needs; the scope of services and supports provided; and specific quality and outcome measures.

8

The standards for adult day services for persons eligible for Medicaid-funded long-term 9 services may differ from those who do not meet the clinical/functional criteria set forth in § 40-10 8.10-3.

11 (3) As the state's Medicaid program seeks to assist more beneficiaries requiring long-term 12 services and supports in home- and community-based settings, the demand for home-care workers 13 has increased, and wages for these workers has not kept pace with neighboring states, leading to 14 high turnover and vacancy rates in the state's home-care industry, the executive office shall institute 15 a one-time increase in the base-payment rates for FY 2019, as described below, for home-care 16 service providers to promote increased access to and an adequate supply of highly trained home-17 healthcare professionals, in amount to be determined by the appropriations process, for the purpose 18 of raising wages for personal care attendants and home health aides to be implemented by such 19 providers.

20 (4)(i) A prospective base adjustment, effective not later than July 1, 2018, of ten percent 21 (10%) of the current base rate for home-care providers, home nursing care providers, and hospice 22 providers contracted with the executive office of health and human services and its subordinate agencies to deliver Medicaid fee-for-service personal care attendant services. 23

24 (5)(ii) A prospective base adjustment, effective not later than July 1, 2018, of twenty 25 percent (20%) of the current base rate for home-care providers, home nursing care providers, and 26 hospice providers contracted with the executive office of health and human services and its 27 subordinate agencies to deliver Medicaid fee-for-service skilled nursing and therapeutic services 28 and hospice care.

29 (6)(iii) Effective upon passage of this section, hospice provider reimbursement, exclusively 30 for room and board expenses for individuals residing in a skilled nursing facility, shall revert to the 31 rate methodology in effect on June 30, 2018, and these room and board expenses shall be exempted 32 from any and all annual rate increases to hospice providers as provided for in this section.

33 (7)(iv) On the first of July in each year, beginning on July 1, 2019, the executive office of 34 health and human services will initiate an annual inflation increase to the base rate for home-care

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providers, home nursing care providers, and hospice providers contracted with the executive office and its subordinate agencies to deliver Medicaid fee-for-service personal care attendant services, skilled nursing and therapeutic services and hospice care. The base rate increase shall be a percentage amount equal to the New England Consumer Price Index card as determined by the United States Department of Labor for medical care and for compliance with all federal and state laws, regulations, and rules, and all national accreditation program requirements.

7 (g) As the state's Medicaid program seeks to assist more beneficiaries requiring long-term 8 services and supports in home- and community-based settings, the demand for home-care workers 9 has increased, and wages for these workers has not kept pace with neighboring states, leading to 10 high turnover and vacancy rates in the state's home-care industry, to promote increased access to 11 and an adequate supply of direct care workers the executive office shall institute a payment 12 methodology change, in Medicaid fee-for-service and managed care, for FY 2022, which shall be 13 passed through directly to the direct care workers' wages that are employed by home nursing care 14 and home care providers licensed by Rhode Island Department of Health, as described below: 15 (1) Effective July 1, 2021, increase the existing shift differential modifier by \$0.19 per

16 <u>fifteen (15) minutes for Personal Care and Combined Personal Care/Homemaker.</u>

17 (i) Employers must pass on one-hundred percent (100%) of the shift differential modifier increase per fifteen (15) minute unit of service to the CNAs that rendered such services. This 18 19 compensation shall be provided in addition to the rate of compensation that the employee was 20 receiving as of June 30, 2021. For an employee hired after June 30, 2021, the agency shall use not 21 less than the lowest compensation paid to an employee of similar functions and duties as of June 22 30, 2021 as the base compensation to which the increase is applied. (ii) Employers must provide to EOHHS an annual compliance statement showing wages 23 24 as of June 30, 2021, amounts received from the increases outlined herein, and compliance with this section by July 1, 2022. EOHHS may adopt any additional necessary regulations and processes to 25

- 26 <u>oversee this section.</u>
- 27 (2) Effective January 1, 2022, establish a new behavioral healthcare enhancement of \$0.39

28 per fifteen (15) minutes for Personal Care, Combined Personal Care/Homemaker, and Homemaker

29 only for providers who have at least thirty percent (30%) of their direct care workers (which

- 30 includes Certified Nursing Assistants (CNA) and Homemakers) certified in behavioral healthcare
- 31 <u>training.</u>
- (i) Employers must pass on one-hundred percent (100%) of the behavioral healthcare
 enhancement per fifteen (15) minute unit of service rendered by only those CNAs and Homemakers

34 who have completed the thirty (30) hour behavioral health certificate training program offered by

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EOHHS, to those CNAs and Homemakers. This compensation shall be provided in addition to the
rate of compensation that the employee was receiving as of December 31, 2021. For an employee
hired after December 31, 2021, the agency shall use not less than the lowest compensation paid to
an employee of similar functions and duties as of December 31, 2021 as the base compensation to
which the increase is applied.

7 (ii) By January 1, 2023, employers must provide to EOHHS an annual compliance
8 statement showing wages as of December 31, 2021, amounts received from the increases outlined
9 herein, and compliance with this section, including which behavioral healthcare training programs
10 were utilized. EOHHS may adopt any additional necessary regulations and processes to oversee
11 this section.

12 (g)(h) The executive office shall implement a long-term-care-options counseling program 13 to provide individuals, or their representatives, or both, with long-term-care consultations that shall 14 include, at a minimum, information about: long-term-care options, sources, and methods of both 15 public and private payment for long-term-care services and an assessment of an individual's 16 functional capabilities and opportunities for maximizing independence. Each individual admitted 17 to, or seeking admission to, a long-term-care facility, regardless of the payment source, shall be 18 informed by the facility of the availability of the long-term-care-options counseling program and 19 shall be provided with long-term-care-options consultation if they so request. Each individual who 20 applies for Medicaid long-term-care services shall be provided with a long-term-care consultation. 21 (h)(i) The executive office is also authorized, subject to availability of appropriation of 22 funding, and federal, Medicaid-matching funds, to pay for certain services and supports necessary

to transition or divert beneficiaries from institutional or restrictive settings and optimize their health and safety when receiving care in a home or the community. The secretary is authorized to obtain any state plan or waiver authorities required to maximize the federal funds available to support expanded access to home- and community-transition and stabilization services; provided, however, payments shall not exceed an annual or per-person amount.

(i)(j) To ensure persons with long-term-care needs who remain living at home have adequate resources to deal with housing maintenance and unanticipated housing-related costs, the secretary is authorized to develop higher resource eligibility limits for persons or obtain any state plan or waiver authorities necessary to change the financial eligibility criteria for long-term services and supports to enable beneficiaries receiving home and community waiver services to have the resources to continue living in their own homes or rental units or other home-based settings.

34

(j)(k) The executive office shall implement, no later than January 1, 2016, the following

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1 home- and community-based service and payment reforms:

2 (1) Community based, supportive living program established in § 40.8.13-12 or an 3 alternative, successor, or substitute program, or delivery option designated for these purposes by 4 the secretary of the executive office of health and human services; 5 (2) (1) Adult day services level of need criteria and acuity-based, tiered-payment methodology; and 6 7 (3) (2) Payment reforms that encourage home- and community-based providers to provide 8 the specialized services and accommodations beneficiaries need to avoid or delay institutional care. 9 (k)(1) The secretary is authorized to seek any Medicaid section 1115 waiver or state-plan 10 amendments and take any administrative actions necessary to ensure timely adoption of any new 11 or amended rules, regulations, policies, or procedures and any system enhancements or changes, 12 for which appropriations have been authorized, that are necessary to facilitate implementation of 13 the requirements of this section by the dates established. The secretary shall reserve the discretion 14 to exercise the authority established under §§ 42-7.2-5(6)(v) and 42-7.2-6.1, in consultation with 15 the governor, to meet the legislative directives established herein. 16 SECTION 7. Section 40-8.13-12 of the General Laws in Chapter 40-8.13 entitled "Long-17 Term Managed Care Arrangements" is hereby repealed in its entirety. 18 40-8.13-12. Community-based supportive living program. 19 (a) To expand the number of community based service options, the executive office of 20 health and human services shall establish a program for beneficiaries opting to participate in 21 managed care long term care arrangements under this chapter who choose to receive Medicaidfunded assisted living, adult supportive-care home, or shared living long-term-care services and 22 23 supports. As part of the program, the executive office shall implement Medicaid certification or, as 24 appropriate, managed care contract standards for state-authorized providers of these services that 25 establish an acuity based, tiered service and payment system that ties reimbursements to: a 26 beneficiary's clinical/functional level of need; the scope of services and supports provided; and 27 specific quality and outcome measures. These standards shall set the base level of Medicaid stateplan and waiver services that each type of provider must deliver, the range of acuity-based service 28 29 enhancements that must be made available to beneficiaries with more intensive care needs, and the 30 minimum state licensure and/or certification requirements a provider must meet to participate in 31 the pilot at each service/payment level. The standards shall also establish any additional

32 requirements, terms, or conditions a provider must meet to ensure beneficiaries have access to high-

- 33 quality, cost effective care.
- 34

(b) Room and board. The executive office shall raise the cap on the amount Medicaid-

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1 certified assisted living and adult supportive home-care providers are permitted to charge 2 participating beneficiaries for room and board. In the first year of the program, the monthly charges 3 for a beneficiary living in a single room who has income at or below three hundred percent (300%) 4 of the Supplemental Security Income (SSI) level shall not exceed the total of both the maximum 5 monthly federal SSI payment and the monthly state supplement authorized for persons requiring long term services under § 40-6-27(a)(1)(vi), less the specified personal needs allowance. For a 6 7 reficiary living in a double room, the room and board cap shall be set at eighty five percent he 8 (85%) of the monthly charge allowed for a beneficiary living in a single room.

9 (c) Program cost effectiveness. The total cost to the state for providing the state supplement 10 and Medicaid funded services and supports to beneficiaries participating in the program in the 11 initial year of implementation shall not exceed the cost for providing Medicaid-funded services to 12 the same number of beneficiaries with similar acuity needs in an institutional setting in the initial 13 year of the operations. The program shall be terminated if the executive office determines that the 14 program has not met this target. The state shall expand access to the program to qualified 15 beneficiaries who opt out of a long term services and support (LTSS) arrangement, in accordance 16 with § 40-8.13-2, or are required to enroll in an alternative, successor, or substitute program, or 17 delivery option designated for these purposes by the secretary of the executive office of health and 18 human services if the enrollment in an LTSS plan is no longer an option.

SECTION 8. Section 42-7.2-5 of the General Laws in Chapter 42-7.2 entitled "Office of
Health and Human Services" is hereby amended to read as follows:

21

42-7.2-5. Duties of the secretary.

The secretary shall be subject to the direction and supervision of the governor for the oversight, coordination, and cohesive direction of state-administered health and human services and in ensuring the laws are faithfully executed, not withstanding any law to the contrary. In this capacity, the secretary of the executive office of health and human services (EOHHS) shall be authorized to:

(1) Coordinate the administration and financing of healthcare benefits, human services, and 27 28 programs including those authorized by the state's Medicaid section 1115 demonstration waiver 29 and, as applicable, the Medicaid State Plan under Title XIX of the U.S. Social Security Act. 30 However, nothing in this section shall be construed as transferring to the secretary the powers, 31 duties, or functions conferred upon the departments by Rhode Island public and general laws for 32 the administration of federal/state programs financed in whole or in part with Medicaid funds or 33 the administrative responsibility for the preparation and submission of any state plans, state plan 34 amendments, or authorized federal waiver applications, once approved by the secretary.

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(2) Serve as the governor's chief advisor and liaison to federal policymakers on Medicaid
 reform issues as well as the principal point of contact in the state on any such related matters.

3 (3)(i) Review and ensure the coordination of the state's Medicaid section 1115 4 demonstration waiver requests and renewals as well as any initiatives and proposals requiring 5 amendments to the Medicaid state plan or formal amendment changes, as described in the special terms and conditions of the state's Medicaid section 1115 demonstration waiver with the potential 6 7 to affect the scope, amount or duration of publicly funded healthcare services, provider payments 8 or reimbursements, or access to or the availability of benefits and services as provided by Rhode 9 Island general and public laws. The secretary shall consider whether any such changes are legally 10 and fiscally sound and consistent with the state's policy and budget priorities. The secretary shall 11 also assess whether a proposed change is capable of obtaining the necessary approvals from federal 12 officials and achieving the expected positive consumer outcomes. Department directors shall, 13 within the timelines specified, provide any information and resources the secretary deems necessary 14 in order to perform the reviews authorized in this section.

(ii) Direct the development and implementation of any Medicaid policies, procedures, or systems that may be required to assure successful operation of the state's health and human services integrated eligibility system and coordination with HealthSource RI, the state's health insurance marketplace.

(iii) Beginning in 2015, conduct on a biennial basis a comprehensive review of the Medicaid eligibility criteria for one or more of the populations covered under the state plan or a waiver to ensure consistency with federal and state laws and policies, coordinate and align systems, and identify areas for improving quality assurance, fair and equitable access to services, and opportunities for additional financial participation.

(iv) Implement service organization and delivery reforms that facilitate service integration,
increase value, and improve quality and health outcomes.

(4) Beginning in 2020, prepare and submit to the governor, the chairpersons of the house
and senate finance committees, the caseload estimating conference, and to the joint legislative
committee for health-care oversight, by no later than <u>March-September</u> 15 of each year, a
comprehensive overview of all Medicaid expenditures outcomes, administrative costs, and
utilization rates. The overview shall include, but not be limited to, the following information:

31 (i) Expenditures under Titles XIX and XXI of the Social Security Act, as amended;

(ii) Expenditures, outcomes and utilization rates by population and sub-population served
(e.g. families with children, persons with disabilities, children in foster care, children receiving
adoption assistance, adults ages nineteen (19) to sixty-four (64), and elders);

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(iii) Expenditures, outcomes and utilization rates by each state department or other
 municipal or public entity receiving federal reimbursement under Titles XIX and XXI of the Social
 Security Act, as amended;

4 (iv) Expenditures, outcomes and utilization rates by type of service and/or service provider;
5 and

6 (v) Expenditures by mandatory population receiving mandatory services and, reported
7 separately, optional services, as well as optional populations receiving mandatory services and,
8 reported separately, optional services for each state agency receiving Title XIX and XXI funds.

9 The directors of the departments, as well as local governments and school departments, 10 shall assist and cooperate with the secretary in fulfilling this responsibility by providing whatever 11 resources, information and support shall be necessary.

(5) Resolve administrative, jurisdictional, operational, program, or policy conflicts among
 departments and their executive staffs and make necessary recommendations to the governor.

(6) Ensure continued progress toward improving the quality, the economy, the
accountability and the efficiency of state-administered health and human services. In this capacity,
the secretary shall:

(i) Direct implementation of reforms in the human resources practices of the executive
office and the departments that streamline and upgrade services, achieve greater economies of scale
and establish the coordinated system of the staff education, cross-training, and career development
services necessary to recruit and retain a highly-skilled, responsive, and engaged health and human
services workforce;

(ii) Encourage EOHHS-wide consumer-centered approaches to service design and delivery
 that expand their capacity to respond efficiently and responsibly to the diverse and changing needs
 of the people and communities they serve;

(iii) Develop all opportunities to maximize resources by leveraging the state's purchasing power, centralizing fiscal service functions related to budget, finance, and procurement, centralizing communication, policy analysis and planning, and information systems and data management, pursuing alternative funding sources through grants, awards and partnerships and securing all available federal financial participation for programs and services provided EOHHSwide;

31 (iv) Improve the coordination and efficiency of health and human services legal functions
32 by centralizing adjudicative and legal services and overseeing their timely and judicious
33 administration;

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(v) Facilitate the rebalancing of the long term system by creating an assessment and

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1 coordination organization or unit for the expressed purpose of developing and implementing 2 procedures EOHHS-wide that ensure that the appropriate publicly funded health services are 3 provided at the right time and in the most appropriate and least restrictive setting;

4 (vi) Strengthen health and human services program integrity, quality control and 5 collections, and recovery activities by consolidating functions within the office in a single unit that ensures all affected parties pay their fair share of the cost of services and are aware of alternative 6 7 financing;

8 (vii) Assure protective services are available to vulnerable elders and adults with 9 developmental and other disabilities by reorganizing existing services, establishing new services 10 where gaps exist and centralizing administrative responsibility for oversight of all related initiatives 11 and programs.

12 (7) Prepare and integrate comprehensive budgets for the health and human services 13 departments and any other functions and duties assigned to the office. The budgets shall be 14 submitted to the state budget office by the secretary, for consideration by the governor, on behalf 15 of the state's health and human services agencies in accordance with the provisions set forth in § 16 35-3-4.

17 (8) Utilize objective data to evaluate health and human services policy goals, resource use 18 and outcome evaluation and to perform short and long-term policy planning and development.

19 (9) Establishment of an integrated approach to interdepartmental information and data 20 management that complements and furthers the goals of the unified health infrastructure project 21 initiative and that will facilitate the transition to a consumer-centered integrated system of state 22 administered health and human services.

23 (10) At the direction of the governor or the general assembly, conduct independent reviews 24 of state-administered health and human services programs, policies and related agency actions and 25 activities and assist the department directors in identifying strategies to address any issues or areas 26 of concern that may emerge thereof. The department directors shall provide any information and 27 assistance deemed necessary by the secretary when undertaking such independent reviews.

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(11) Provide regular and timely reports to the governor and make recommendations with 29 respect to the state's health and human services agenda.

30 (12) Employ such personnel and contract for such consulting services as may be required 31 to perform the powers and duties lawfully conferred upon the secretary.

32 (13) Assume responsibility for complying with the provisions of any general or public law 33 or regulation related to the disclosure, confidentiality and privacy of any information or records, in 34 the possession or under the control of the executive office or the departments assigned to the

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executive office, that may be developed or acquired or transferred at the direction of the governor
 or the secretary for purposes directly connected with the secretary's duties set forth herein.

3 (14) Hold the director of each health and human services department accountable for their
4 administrative, fiscal and program actions in the conduct of the respective powers and duties of
5 their agencies.

6 SECTION 9. Rhode Island Medicaid Reform Act of 2008 Resolution.

7

8 Island Medicaid Reform Act of 2008"; and

9 WHEREAS, a legislative enactment is required pursuant to Rhode Island General Laws
42-12.4-1, et seq.; and

WHEREAS, the General Assembly enacted Chapter 12.4 of Title 42 entitled "The Rhode

11 WHEREAS, Rhode Island General Law Section 42-7.2-5(3)(a) provides that the Secretary 12 of Health and Human Services ("Secretary"), of the Executive Office of Health and Human 13 Services ("Executive Office"), is responsible for the review and coordination of any Medicaid 14 section 1115 demonstration waiver requests and renewals as well as any initiatives and proposals 15 requiring amendments to the Medicaid state plan or changes as described in the demonstration, 16 "with potential to affect the scope, amount, or duration of publicly-funded health care services, 17 provider payments or reimbursements, or access to or the availability of benefits and services 18 provided by Rhode Island general and public laws"; and

WHEREAS, in pursuit of a more cost-effective consumer choice system of care that is
fiscally sound and sustainable, the Secretary requests legislative approval of the following
proposals to amend the demonstration:

(a) Update dental benefits for children. The Executive Office proposes to allow coverage
for dental caries arresting treatments using Silver Diamine Fluoride when necessary.
Implementation of this initiative requires amendments to the Medicaid State Plan.

(*b) Perinatal Doula Services.* The Executive Office proposes to establish medical assistance coverage and reimbursement rates for perinatal doula services, a practice to provide nonclinical emotional, physical and informational support before, during and after birth for expectant mothers, in order to reduce maternal health disparities, reduce the likelihood of costly interventions during births, such as cesarean birth and epidural pain relief, while increasing the likelihood of a shorter labor, a spontaneous vaginal birth, and a positive childbirth experience.

(c) Community Health Workers. To improve health outcomes, increase access to care, and
 reduce healthcare costs, the Executive Office proposes to provide medical assistance coverage and
 reimbursement to community health workers.

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(d) HCBS Maintenance of Need Allowance Increase. The Executive Office proposes to

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1 increase the Home and Community Based Services (HCBS) Maintenance of Need Allowance from 2 100% of the Federal Poverty Limit (FPL) plus twenty dollars to 300% of the Federal Social Security 3 Income (SSI) standard to enable the Executive Office to provide sufficient support for individuals 4 who are able to, and wish to, receive services in their homes.

5 (e) Change to Rates for Nursing Facility Services. To more effectively compensate the nursing facilities for the costs of providing care to members who require behavioral healthcare or 6 7 ventilators, the Executive Office proposes to revise the fee-for-service Medicaid payment rate for 8 nursing facility residents in the following ways:

- 9 (i) Re-weighting towards behavioral health care, such that the average Resource Utilization 10 Group (RUG) weight is not increased as follows:
- 11 1. Increase the RUG weights related to behavioral healthcare; and
- 12 2. Decrease all other RUG weights
- 13 (ii) Increase the RUG weight related to ventilators; and

14 (iii) Implement a behavioral health per-diem add-on for particularly complex patients, who 15 have been hospitalized for six months or more, are clinically appropriate for discharge to a nursing 16 facility, and where the nursing facility is Medicaid certified to provide or facilitate enhanced levels

17 of behavioral healthcare.

18 (f) Increase Shared Living Rates. In order to better incentivize the utilization of home- and 19 community-based care for individuals that wish to receive their care in the community, the 20 Executive Office proposes a ten percent (10%) increase to shared living caregiver stipend rates that 21 are paid to providers through Medicaid fee-for-service and managed care.

22 (g) Increase rates for home nursing care and home care providers licensed by Rhode Island 23 Department of Health. To ensure better access to home- and community-based services, the 24 Executive Office proposes, for both fee-for-service and managed care, to increase the existing shift 25 differential modifier by \$0.19 per fifteen (15) minutes for Personal Care and Combined Personal 26 Care/Homemaker effective July 1, 2021, and to establish a new behavioral healthcare enhancement of \$0.39 per fifteen (15) minutes for Personal Care, Combined Personal Care/Homemaker, and 27 28 Homemaker only for providers who have at least thirty percent (30%) of their direct care workers 29 (which includes Certified Nursing Assistants (CNA) and Homemakers) certified in behavioral 30 healthcare training effective January 1, 2022.

31 (h) Expansion of First Connections Program. In collaboration with the Rhode Island 32 Department of Health (RIDOH), the Executive Office proposes to seek federal matching funds for 33 the expansion of the First Connections Program, a risk assessment and response home visiting 34 program designed to ensure that families are connected to appropriate services such as food

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1 assistance, mental health, child care, long term family home visiting, Early Intervention (EI) and 2 other programs, to prenatal women. The Executive Office would establish medical assistance 3 coverage and reimbursement rates for such First Connection services provided to prenatal women. 4 (i) Parents as Teachers Program. In collaboration with RIDOH, the Executive Office 5 proposes to seek federal matching funds for the coverage of the Parents as Teachers Program, to ensure that parents of young children are connected with the medical and social supports necessary 6 7 to support their families.

8 (j) Increase Assisted Living rates. To ensure better access to home- and community-based 9 services, the Executive Office proposes to increase the rates for Assisted Living providers in both 10 fee-for-service and managed care.

11 (k) Elimination of Category F State Supplemental Payments. To ensure better access to 12 home- and community-based services, the Executive Office proposes to eliminate the State 13 Supplemental Payment for Category F individuals.

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(l) Establish an intensive, expanded Mental Health Psychiatric Rehabilitative Residential 15 ("MHPRR"). In collaboration with BHDDH, the Executive Office proposes to establish a MHPRR 16 to provide discharge planning, medical and/or psychiatric treatment, and identification and 17 amelioration of barriers to transition to less restrictive settings.

18 (m) Hospice and Home Care Annual Rate Increase Language. The Executive Office 19 proposes amending the language in the Medicaid State Plan detailing the annual inflationary 20 adjustments to hospice rates to utilize the New England Consumer Price Index card as determined 21 by the United States Department of Labor for medical care data that is released in March, containing 22 the February data. Additionally, the Executive Office proposes to add language to the Medicaid 23 State Plan regarding the annual inflationary adjustments to home care rates to clarify that the 24 Executive Office will utilize the New England Consumer Price Index card as determined by the 25 United States Department of Labor for medical care data that is released in March, containing the 26 February data.

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(n) Non-Emergency Transportation Services. The Executive Office of Health and Human 28 Services shall, as part of its payments through the transportation broker model, reimburse for basic 29 life-support services at a rate no less than \$147.67 and for advanced life-support services at no less 30 than \$177.20.

31 (o) Expansion of Home and Community Co-Pay Programs. The Executive Office, in 32 conjunction with the Office of Healthy Aging, proposes to implement the authorities approved 33 under the section 1115 demonstration waiver to increase the maximum income limit for all co-pay 34 program eligibility from two hundred percent (200%) to two hundred fifty percent (250%) of the

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federal poverty level. This includes implementing programs for adults, age 19 through 64,
 diagnosed with Alzheimer's or a related dementia. Implementation of these waiver authorities
 requires adoption of new or amended rules, regulations and procedures..

- 4 (p) Federal Financing Opportunities. The Executive Office proposes to review Medicaid 5 requirements and opportunities under the U.S. Patient Protection and Affordable Care Act of 2010 6 (PPACA) and various other recently enacted federal laws and pursue any changes in the Rhode 7 Island Medicaid program that promote service quality, access and cost-effectiveness that may 8 warrant a Medicaid state plan amendment or amendment under the terms and conditions of Rhode 9 Island's section 1115 waiver, its successor, or any extension thereof. Any such actions by the 10 Executive Office shall not have an adverse impact on beneficiaries or cause there to be an increase 11 in expenditures beyond the amount appropriated for state fiscal year 2022.
- 12 Now, therefore, be it

13 RESOLVED, the General Assembly hereby approves the proposals stated in (a) through

- 14 (p) above; and be it further;
- 15 RESOLVED, the Secretary of the Executive Office is authorized to pursue and implement

16 any 1115 demonstration waiver amendments, Medicaid state plan amendments, and/or changes to

17 the applicable department's rules, regulations and procedures approved herein and as authorized

18 by Chapter 42-12.4; and be it further;

19 RESOLVED, that this Joint Resolution shall take effect upon passage.

20 SECTION 10. This article shall take effect as of July 1, 2021.

art.013/5/013/4/013/3/013/2/013/1

ARTICLE 13

RELATING TO HUMAN SERVICES

3 SECTION 1. Section 12-19-14 of the General Laws in Chapter 12-19 entitled "Sentence 4 and Execution" is hereby amended to read as follows: 5 12-19-14. Violation of terms of probation - Notice to court - Revocation or 6 continuation of suspension. 7 (a) Whenever any person who has been placed on probation by virtue of the suspension of 8 execution of his or her sentence pursuant to § 12-19-13 violates the terms and conditions of his or 9 her probation as fixed by the court by being formally charged with committing a new criminal 10 offense, the police or department of corrections division of rehabilitative services shall cause the 11 defendant to appear before the court. The department of corrections division of rehabilitative 12 services shall determine when a technical violation of the terms and conditions of probation as fixed 13 by the court that does not constitute a new criminal offense has occurred and shall cause the 14 defendant to appear before the court. For technical violations, the The division of rehabilitative 15 services shall promptly render a written report relative to the conduct of the defendant, including, as applicable, a description of the clear and articulable public safety risk posed by a defendant 16 17 accused of a technical violation, and, as available, the information contained in any report under § 18 12-13-24.1. The division of rehabilitative services may recommend that the time served up to that 19 point is a sufficient response to a violation that is not a new, alleged crime. The court may order 20 the defendant held without bail for a period not exceeding ten (10) days excluding Saturdays, 21 Sundays, and holidays if the new criminal charge(s) constitutes a violent crime as defined in the 22 Rhode Island General Laws, a domestic violence crime, or a crime involving driving under the 23 influence or if the court determines in its discretion that public safety concerns and/or concerns 24 regarding the defendant's likelihood to appear before the court warrant holding the defendant 25 without bail.

(b) The court shall conduct a hearing within thirty (30) days of arrest, unless waived by the
defendant, to determine whether the defendant has violated the terms and conditions of his or her
probation, at which hearing the defendant shall have the opportunity to be present and to respond.
Upon a determination by a fair preponderance of the evidence that the defendant has violated the
terms and conditions of his or her probation, the court, in open court and in the presence of the

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- 1 defendant, may as to the court may seem just and proper: 2 (1) Revoke the suspension and order the defendant committed on the sentence previously 3 imposed, or on a lesser sentence; 4 (2) Impose a sentence if one has not been previously imposed; 5 (3) Stay all or a portion of the sentence imposed after removal of the suspension; (4) Continue the suspension of a sentence previously imposed; or 6 7 (5) Convert a sentence of probation without incarceration to a suspended sentence. 8 SECTION 2. Chapter 13-8 of the General Laws entitled "Parole" is hereby amended by 9 adding thereto the following section: 10 13-8-14.2. Special parole consideration for persons convicted as juveniles. 11 (a)When a person who is serving a sentence imposed as the result of an offense or offenses 12 committed when he or she was less than eighteen years of age becomes eligible for parole pursuant 13 to applicable provisions of law, the parole board shall ensure that he or she is provided a meaningful 14 opportunity to obtain release and shall adopt rules and guidelines to do so, consistent with existing 15 law. 16 (b) During a parole hearing involving a person described in subsection (a) of this section, 17 in addition to other factors required by law or under the parole guidelines set forth by the parole 18 board, the parole board shall also take into consideration the diminished culpability of juveniles as 19 compared to that of adults and any subsequent growth and increased maturity of the prisoner during 20 incarceration. The board shall also consider the following: 21 (1) A review of educational and court documents; 22 (2) Participation in available rehabilitative and educational programs while in prison; 23 (3) Age at the time of the offense; 24 (4) Immaturity at the time of the offense; (5) Home and community environment at the time of the offense; 25 26 (6) Efforts made toward rehabilitation; 27 (7) Evidence of remorse; and 28 (8) Any other factors or circumstances the Board considers relevant 29 (c) The parole board shall have access to all relevant records and information in the 30 possession of any state official or agency relating to the board's consideration of the factors detailed 31 in the foregoing sections. 32 SECTION 3. Sections 13-8-11, 13-8-13, 13-8-18 and 13-8-18.1 of the General Laws in Chapter 13-8 entitled "Parole" are hereby amended to read as follows: 33
- 34 13-8-11. Good conduct, industrial, and meritorious service time included in

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1 computation Good conduct, industrial, and meritorious service time. 2 (a) In computing the one-third (1/3) of any term of sentence for the purpose of §§ 13-8-9 – 3 13-8-14, the time a prisoner shall have earned pursuant to §§ 42-56-24 and 42-56-26 shall be 4 considered by the parole board to reduce inmate overcrowding when directed by the criminal justice 5 oversight committee, pursuant to the provisions of § 42-26-13.3(e), or when directed by the governor, pursuant to the provisions of § 42-26-13.3(f). 6 7 (b) As used in this section, the following words shall, unless the context clearly requires 8 otherwise, have the following meanings: 9 (i) "Compliance," the absence of a finding by a Parole Officer or the Parole Board of a 10 violation of the terms or conditions of a permit or conditions of parole supervision set by the Rhode 11 Island Parole Board. 12 (ii) "Compliance credits," credits that an eligible offender earns through compliance with 13 Parole Board-ordered conditions of parole supervision; provided, however, that such credits shall 14 operate to reduce the length of parole supervision. 15 (iii) "Eligible parolee," any offender who is currently serving a term of post-incarceration 16 parole supervision except any such person serving a sentence of a violation of §§ 11-5-1 (where the specified felony is murder or sexual assault), 11-23-1, 11-26-1.4, 11-37-2, 11-37-8.1 or 11-37-17 18 8.3. 19 (c) On the first day of each calendar month after July 1, 2021, an eligible parolee shall earn 20 5 days of compliance credits if the eligible parolee served on parole without any documented 21 behavior that could constitute a violation of the terms and conditions of parole for the prior calendar 22 month. Any compliance credits so granted and not rescinded pursuant to guidelines set forth by the 23 parole board shall reduce the period of time that a parolee is subject to the jurisdiction of the parole <u>board under § 13-8-9.</u> 24 25 (d) The parole board shall issue guidelines governing the awarding of compliance credits, 26 any disqualifiers to the earning of compliance credits, and the rescission or suspension of 27 compliance credits as applicable. 28 (e) The award or rescission of credits pursuant to this section shall not be the subject of 29 judicial review. 30 (f) This section shall apply to all individuals sentenced to imprisonment and subsequently 31 granted parole including those sentences granted prior to passage of this legislation and shall not 32 alter the ability of the Parole Board to revoke parole. The calculation of compliance credits shall 33 be prospective from the date of passage, while eligibility to earn compliance credits shall be 34 prospective and retrospective.

1 (g) The department of corrections shall keep a record of the eligible parolee's sentence,

2 including the person's end of supervision date based on earned credits for compliance with the

3 terms and conditions of parole.

4

13-8-13. Life prisoners and prisoners with lengthy sentences.

5 (a) In the case of a prisoner sentenced to imprisonment for life, a parole permit may be 6 issued at any time after the prisoner has served not less than ten (10) years imprisonment; provided 7 that:

8 (1) In the case of a prisoner serving a sentence or sentences of a length making him or her 9 ineligible for a permit in less than ten (10) years, pursuant to §§ 13-8-9 and 13-8-10, the permit 10 may be issued at any time after the prisoner has served not less than ten (10) years imprisonment.

(2) In the case of a prisoner sentenced to imprisonment for life for a first- or second-degree
murder committed after July 10, 1989, the permit may be issued only after the prisoner has served
not less than fifteen (15) years imprisonment.

(3) In the case of a prisoner sentenced to imprisonment for life for a first- or second-degree
murder committed after June 30, 1995, the permit may be issued only after the prisoner has served
not less than twenty (20) years imprisonment; and

(4) In the case of a prisoner sentenced to imprisonment for life for a first- or second-degree
murder committed after July 1, 2015, the permit may be issued only after the prisoner has served
not less than twenty-five (25) years imprisonment.

(5) In the case of a prisoner sentenced to imprisonment for life for a crime, other than firstor second-degree murder, committed after July 1, 2015, the permit may be issued only after the
prisoner has served not less than twenty (20) years imprisonment.

(b) The permit shall be issued only by a unanimous vote of all the attending members of
the board; provided that not less than four (4) members are present, and whenever, after the issue
of the permit, the prisoner shall be pardoned, then the control of the board over the prisoner shall
cease and terminate.

27 (c)(1) In the case of a prisoner sentenced to imprisonment for life who is convicted of 28 escape or attempted escape from the lawful custody of the warden of the adult correctional 29 institutions, the permit may be issued only after the prisoner has served not less than twenty-five 30 (25) years imprisonment; provided, however, that as to a prisoner who has been sentenced to 31 imprisonment for life for a conviction of first- or second-degree murder, committed after July 1, 32 2015, and who is convicted thereafter of escape or attempted escape from the lawful custody of the 33 warden of the adult correctional institutions, the permit may be issued only after the prisoner has 34 served not less than thirty-five (35) years imprisonment; and

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(2) For each subsequent conviction of escape or attempted escape, an additional five (5)
 years shall be added to the time required to be served.

3 (d) In the case of a prisoner sentenced consecutively to more than one life term for crimes 4 occurring after May 7, 1981, the permit may be issued only after the prisoner has served not less 5 than ten (10) years consecutively on each life sentence; provided, in the case of a prisoner sentenced consecutively to more than one life term for crimes occurring after June 30, 1995, the permit may 6 7 be issued only after the prisoner has served not less than fifteen (15) years consecutively on each 8 life sentence. In the case of a prisoner sentenced consecutively to more than one life term for crimes 9 occurring after July 1, 2015, the permit may be issued only after the prisoner has served not less 10 than twenty (20) years consecutively on each life sentence. In the case of a prisoner sentenced 11 consecutively to more than one life term for crimes, including first- or second-degree murder, 12 occurring after July 1, 2015, the permit may be issued only after the prisoner has served not less 13 than twenty-five (25) years consecutively on each life sentence.

(e) Any person sentenced for any offense committed prior to his or her twenty-second
 birthday, other than a person serving life without parole, shall be eligible for parole review and a
 parole permit may be issued after the person has served no fewer than twenty (20) years
 imprisonment unless the person is entitled to earlier parole eligibility pursuant to any other
 provisions of law. This subsection shall be given prospective and retroactive effect for all offenses
 occurring on or after January 1, 1991.

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<u>13-8-18. Revocation of parole – Hearing.</u>

21 The parole board may, by a majority vote of all of its members, revoke, in accordance with 22 the provisions of § 13-8-18.1, any permit issued by it to any prisoner under the provisions of this 23 chapter or revoke any permit issued by another state or jurisdiction where the prisoner is being 24 supervised by the Rhode Island parole board whenever it shall appear to the board that the prisoner 25 has violated any of the terms or conditions of his or her permit or conditions of parole set by an 26 out-of-state jurisdiction, or has during the period of his or her parole violated any state laws. 27 Whenever it shall come to the knowledge of the board that any prisoner at liberty under a permit 28 issued by this state or another state or jurisdiction has been guilty of a violation of parole related to a new criminal charge, the chairperson shall issue his or her warrant to any officer authorized to 29 30 serve criminal process to arrest the prisoner and commit him or her to the adult correctional 31 institutions, to be detained until the board shall have an opportunity to determine whether the permit 32 of the prisoner is to be revoked in accordance with the provisions of § 13-8-18.1, or in the case of 33 prisoners granted parole by another state or jurisdiction, and supervised by the Rhode Island parole 34 board, until that state or jurisdiction takes custody of the prisoner. Whenever it shall come to the

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1 knowledge of the board that any prisoner at liberty under a permit issued by this state or another 2 state or jurisdiction has been guilty of a technical violation of parole, absent a new criminal charge, 3 the chairperson may, at his or her discretion, issue his or her warrant to any officer authorized to 4 serve criminal process to arrest the prisoner and commit him or her to the adult correctional 5 institutions, to be detained until the board shall have an opportunity to determine whether the permit of the prisoner is to be revoked in accordance with the provisions of § 13-8-18.1, or in the case of 6 7 prisoners granted parole by another state or jurisdiction, and supervised by the Rhode Island parole 8 board, until that state or jurisdiction takes custody of the prisoner. If the board shall determine that 9 the permit shall not be revoked, then the board shall immediately order the prisoner to be set at 10 liberty under the terms and conditions of his or her original permit.

11

<u>13-8-18.1. Preliminary parole violation hearing.</u>

(a) As soon as is practicable after a detention for an alleged violation of parole, the parole
board shall afford the alleged parole violator a preliminary parole revocation hearing before a
hearing officer designated by the board. Such hearing officer shall not have had any prior
supervisory involvement over the alleged violator.

(b) The alleged violator shall, within five (5) days of the detention, in Rhode Island be
given written notice of the time, place and purpose of the preliminary hearing. The notice shall state
the specific conditions of parole that are alleged to have been violated and in what manner. The
notice shall also inform the alleged violator of the following rights in connection with the
preliminary hearing:

21 (1) The right to appear and speak in his/her own behalf;

22 (2) The right to call witnesses and present evidence;

(3) The right to confront and cross-examine the witnesses against him/her, unless the
hearing officer finds on the record that a witness may be subjected to risk of harm if his or her
identity is revealed; and

26 (4) The right to retain counsel and, if unable to afford counsel, the right under certain27 circumstances to the appointment of counsel for the preliminary hearing.

The determination of whether or not the alleged violator is entitled to appointed counsel, if such a request is made, shall be made on the record and in accordance with all relevant statutory and constitutional provisions.

31 (c) The notice form must explain in clear and unambiguous language the procedures 32 established by the parole board concerning an alleged violator's exercise of the rights denominated 33 in subsection (b), including the mechanism for compelling the attendance of witnesses, the 34 mechanism for obtaining documentary evidence, and the mechanism for requesting the

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1 appointment of counsel.

(d) The preliminary hearing shall take place no later than ten (10) days after service of
notice set forth in subsection (b). A preliminary hearing may be postponed beyond the ten (10) day
time limit for good cause at the request of either party, but may not be postponed at the request of
the state for more than five (5) additional days. The parole revocation charges shall be dismissed
with prejudice if a preliminary hearing is not conducted within the time period established by this
paragraph, not including any delay directly attributed to a postponement requested by the alleged
violator.

9 (e) If the alleged violator has requested the appointment of counsel at least five (5) days 10 prior to the preliminary hearing, the preliminary hearing may not proceed without counsel present 11 unless the hearing officer finds on the record, in accordance with all relevant statutory and 12 constitutional provisions, that the alleged violator is not entitled to appointed counsel. If the alleged 13 violator is found to have been entitled to counsel and no such counsel has been appointed, the parole 14 violation charges must be dismissed with prejudice. If the request for counsel was made four (4) or 15 fewer days in advance of the preliminary hearing, the time limit within which the preliminary 16 hearing must be held may be extended up to five (5) additional days.

(f) The standard of proof at the preliminary hearing shall be probable cause to believe that the alleged violator has violated one or more conditions of his or her parole and that the violation or violations were not de minimus in nature. Proof of conviction of a crime committed subsequent to release on parole shall constitute probable cause for the purposes of the preliminary hearing.

(g) At the preliminary hearing, the hearing officer shall review the violation charges with the alleged violator, direct the presentation of the evidence concerning the alleged violation, receive the statements of the witnesses and documentary evidence, and allow cross-examination of those witnesses in attendance. All proceedings shall be recorded and preserved.

25 (h) At the conclusion of the preliminary hearing, the hearing officer shall inform the alleged 26 violator of his or her decision as to whether there is probable cause to believe that the alleged 27 violator has violated one or more conditions of his or her parole and, if so, whether the violation or 28 violations were de minimus in nature. Those determinations shall be based solely on the evidence 29 adduced at the preliminary hearing. The hearing officer shall state in writing the reasons for his or 30 her determinations and the evidence relied upon for those determinations. A copy of the written 31 findings shall be sent to the alleged violator, and his or her counsel if applicable, within fourteen 32 (14) days of the preliminary hearing.

(i) If the hearing officer finds that there is no probable cause to believe that the allegedviolator has violated one or more conditions of his or her parole or that the violation or violations,

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if any, were de minimus in nature, the parole chairperson shall rescind the detention warrant and
 direct that the alleged violator, unless in custody for other reasons, be released and restored to
 parole supervision.

(j) If the hearing officer finds that there is probable cause to believe that the alleged violator
has violated one or more conditions of his or her parole and that the violation or violations were
not de minimus in nature, the alleged violator shall be held for a final parole revocation hearing. A
final parole revocation hearing must be held as soon as is practicable, but in no event more than
ninety (90) days after the conclusion of the preliminary hearing.

9 (k) An alleged violator may waive his or her right to a preliminary hearing. Such a waiver 10 must be in written form. In the event of such a written waiver, a final parole revocation hearing 11 must be held as soon as is practicable, but in no event more than ninety (90) days after the right to 12 a preliminary hearing is waived. Notwithstanding the above, a final parole revocation hearing may 13 be continued by the alleged violator beyond the ninety (90) day time period. For parole violations 14 not involving a new criminal offense, an alleged violator may waive his or her right to a final parole 15 revocation hearing, where there is no dispute as to the alleged violation and the parolee charged 16 with such violation(s) freely admits to the violation and accepts the appropriate sanction imposed 17 by the parole board. 18 SECTION 4. Sections 13-8.1-1, 13-8.1-2, 13-8.1-3 and 13-8.1-4 of the General Laws in

19 Chapter 13-8.1 entitled "Medical Parole" are hereby amended to read as follows:

20 **<u>13-8.1-1. Short title.</u>**

21 This chapter shall be known as the "Medical and Geriatric Parole Act".

22 **<u>13-8.1-2. Purpose.</u>**

(a) Medical parole is made available for humanitarian reasons and to alleviate exorbitant medical expenses associated with inmates whose chronic and incurable illness render their incarceration non-punitive and non-rehabilitative. Notwithstanding other statutory or administrative provisions to the contrary, all prisoners except those serving life without parole shall at any time after they begin serving their sentences be eligible for medical parole consideration, regardless of the crime committed or the sentence imposed.

(b) Geriatric parole is made available for humanitarian reasons and to alleviate exorbitant expenses associated with the cost of aging, for inmates whose advanced age reduces the risk that they pose to the public safety. Notwithstanding other statutory or administrative provisions to the contrary, all prisoners except those serving life without parole shall be eligible for geriatric parole consideration upon meeting the criteria set forth below, regardless of the crime committed or the sentence imposed.

13-8.1-3. Definitions.

1

2 (a) "Permanently physically incapacitated" means suffering from a physical condition caused by injury, disease, illness, or cognitive insult such as dementia or persistent vegetative state, 3 4 which, to a reasonable degree of medical certainty, permanently and irreversibly physically 5 incapacitates the individual to the extent that the individual needs help with most of the activities that are necessary for independence such as feeding, toileting, dressing, and bathing and 6 7 transferring, or no significant physical activity is possible, and the individual is confined to bed or 8 a wheelchair or suffering from an incurable, progressive condition that substantially diminishes the 9 individual's capacity to function in a correctional setting.

(b) "Cognitively incapacitated" means suffering from a cognitive condition such as
dementia which greatly impairs activities that are necessary for independence such as feeding,
toileting, dressing, and bathing and renders their incarceration non-punitive and non-rehabilitative.
(b) (c) "Terminally ill" means suffering from a condition caused by injury (except selfinflicted injury), disease, or illness which, to a reasonable degree of medical certainty, is a lifelimiting diagnosis that will lead to profound functional, cognitive and/or physical decline, and
likely will result in death within eighteen (18) months.

(c) (d) "Severely ill" means suffering from a significant and permanent or chronic physical
and/or mental condition that: (1) Requires extensive medical and/or psychiatric treatment with little
to no possibility of recovery; and (2) Precludes significant Significantly impairs rehabilitation from
further incarceration.

(e) "Aging prisoner" means an individual who is sixty-five (65) years of age or older and
 suffers from functional impairment, infirmity, or illness.

23 **<u>13-8.1-4. Procedure.</u>**

24 (a) The parole board is authorized to grant medical parole release of a prisoner, except a 25 prisoner serving life without parole, at any time, who is determined to be terminally ill, severely 26 ill, or permanently physically or cognitively incapacitated within the meaning of §§ 13-8.1-3(a) -27 (d). Inmates who are severely ill will only be considered for such release when their treatment 28 causes the state to incur exorbitant expenses as a result of continued and frequent medical treatment 29 during their incarceration, as determined by the office of financial resources of the department of 30 corrections. 31 (b) The parole board is authorized to grant geriatric parole release of a prisoner, except a

32 prisoner serving life without parole, who is an aging prisoner within the meaning of § 13-8.1-3(e)

33 <u>or under medical parole as outlined by § 13-8.1-2.</u>

(b) (c) In order to apply for this relief, the prisoner or his or her family member or friend,

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with an attending physician's written approval, or an attending physician, on behalf of the prisoner,
shall file an application with the director of the department of corrections. Within seventy-two (72)
hours after the filing of any application, the director shall refer the application to the health service
unit of the department of corrections for a medical report and a medical <u>or geriatric</u> discharge plan
to be completed within ten (10) days. Upon receipt of the <u>medical</u> discharge plan, the director of
the department of corrections shall immediately transfer the <u>medical</u> discharge plan, together with
the application, to the parole board for its consideration and decision.

8 (c) (d) The report shall contain, at a minimum, the following information:

9 (1) Diagnosis of the prisoner's medical conditions, including related medical history;

10 (2) Detailed description of the conditions and treatments;

(3) Prognosis, including life expectancy, likelihood of recovery, likelihood of
 improvement, mobility and trajectory and rate of debilitation;

(4) Degree of incapacity or disability, including an assessment of whether the prisoner is
ambulatory, capable of engaging in any substantial physical activity, ability to independently
provide for their daily life activities, and the extent of that activity;

16 (5) An opinion from the medical director as to whether the person is terminally ill, and if 17 so, the stage of the illness, or whether the person is permanently physically <u>or cognitively</u> 18 incapacitated, or severely ill, <u>or an aging prisoner</u>. If the medical director's opinion is that the person 19 is not terminally ill, permanently, physically <u>or cognitively</u> incapacitated, or severely ill, <u>or an aging</u> 20 <u>prisoner</u> as defined in § 13-8.1-3, the petition for medical <u>or geriatric</u> parole shall not be forwarded 21 to the parole board.

(6) In the case of a severely ill inmate, the report shall also contain a determination from
 the office of financial resources that the inmate's illness causes the state to incur exorbitant expenses
 as a result of continued and frequent medical treatment during incarceration.

- (d)(e) When the director of corrections refers a prisoner to the parole board for medical or
 geriatric parole, the director shall provide to the parole board a medical or geriatric discharge plan
 that is acceptable to the parole board.
 - (e) (f) The department of corrections and the parole board shall jointly develop standards

29 for the medical <u>or geriatric</u> discharge plan that are appropriately adapted to the criminal justice

30 setting. The discharge plan should ensure at the minimum that:

28

- 31 (1) An appropriate placement for the prisoner has been secured, including, but not limited
 32 to: a hospital, nursing facility, hospice, or family home;
- 33 (2) A referral has been made for the prisoner to secure a source for payment of the prisoner's
 34 medical expenses;

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(3) A parole officer has been assigned to periodically obtain updates on the prisoner's
 medical condition to report back to the board.

3 (f)(g) If the parole board finds from the credible medical evidence that the prisoner is 4 terminally ill, permanently physically or cognitively incapacitated, or severely ill, or an aging 5 prisoner, the board shall grant release to the prisoner but only after the board also considers whether, in light of the prisoner's medical condition, there is a reasonable probability that the prisoner, if 6 7 released, will live and remain at liberty without violating the law, and that the release is compatible 8 with the welfare of society and will not so depreciate the seriousness of the crime as to undermine 9 respect for the law. Notwithstanding any other provision of law, medical or geriatric release may 10 be granted an any time during the term of a prisoner's sentence.

11 (g)(h) There shall be a presumption that the opinion of the physician and/or medical 12 director will be accepted. However, the applicant, the physician, the director, or the parole board 13 may request an independent medical evaluation within seven (7) days after the physician's and/or 14 medical director's report is presented. The evaluation shall be completed and a report, containing 15 the information required by subsection (b)(c) of this section, filed with the director and the parole 16 board, and a copy sent to the applicant within fourteen (14) days from the date of the request.

17 (h)(i) Within seven (7) days of receiving the application, the medical or geriatric report and 18 the discharge plan, the parole board shall determine whether the application, on its face, 19 demonstrates that relief may be warranted. If the face of the application clearly demonstrates that 20 relief is unwarranted, the board may deny the application without a hearing or further proceedings, 21 and within seven (7) days shall notify the prisoner in writing of its decision to deny the application, 22 setting forth its factual findings and a brief statement of the reasons for denying release without a 23 hearing. Denial of release does not preclude the prisoner from reapplying for medical or geriatric 24 parole after the expiration of sixty (60) days. A reapplication under this section must demonstrate 25 a material change in circumstances.

(i)(j)(1) Upon receipt of the application from the director of the department of corrections
the parole board shall, except as provided in subsection (h)(i) of this section, set the case for a
hearing within thirty (30) days;

(2) Notice of the hearing shall be sent to the prosecutor and the victim(s), if any, of the
offense(s) for which the prisoner is incarcerated, and the prosecutor and the victim(s) shall have
the right to be heard at the hearing, or in writing, or both;

32 (3) At the hearing, the prisoner shall be entitled to be represented by an attorney or by the
33 public defender if qualified or other representative.

34

(i)(k) Within seven (7) days of the hearing, the parole board shall issue a written decision

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1 granting or denying medical or geriatric parole and explaining the reasons for the decision. If the 2 board determines that medical or geriatric parole is warranted, it shall impose conditions of release, 3 that shall include the following: 4 (1) Periodic medical examinations; 5 (2) Periodic reporting to a parole officer, and the reporting interval; (3) Any other terms or conditions that the board deems necessary; and 6 7 (4) In the case of a prisoner who is medically paroled due to being severely ill, the parole 8 board shall require electronic monitoring as a condition of the medical parole, unless the health 9 care plan mandates placement in a medical facility that cannot accommodate the electronic 10 monitoring. 11 (k)(1) If after release the release's condition or circumstances change so that he or she 12 would not then be eligible for medical or geriatric parole, the parole board may order him or her 13 returned to custody to await a hearing to determine whether his or her release should be revoked. 14 A release may also be revoked for violation of conditions otherwise applicable to parole. 15 (+)(m) An annual report shall be prepared by the director of corrections for the parole board 16 and the general assembly. The report shall include: 17 (1) The number of inmates who have applied for medical or geriatric parole; 18 (2) The number of inmates who have been granted medical or geriatric parole; 19 (3) The nature of the illness, cognitive condition, functional impairment, and/or infirmity 20 of the applicants, and the nature of the placement pursuant to the medical discharge plan; 21 (4) The categories of reasons for denial for those who have been denied; 22 (5) The number of releasees on medical or geriatric parole who have been returned to the 23 custody of the department of corrections and the reasons for return. 24 (6) The number of inmates who meet the statutory definition of "aging prisoner" and would be potentially-eligible for geriatric parole. 25 26 (n) An annual educational seminar will be offered by the department of corrections 27 healthcare services unit to the parole board and community stakeholders on aging and infirmity in 28 prison and special considerations that should be applied to aging prisoners and prisoners with 29 severe or terminal illnesses during parole consideration. 30 SECTION 5. Section 14-1-6 of the General Laws in Chapter 14-1 entitled "Proceedings in 31 Family Court" is hereby amended to read as follows: 32 14-1-6. Retention of jurisdiction. 33 (a) When the court shall have obtained jurisdiction over any child prior to the child having 34 attained the age of eighteen (18) years by the filing of a petition alleging that the child is wayward

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or delinquent pursuant to § 14-1-5, the child shall, except as specifically provided in this chapter,
 continue under the jurisdiction of the court until he or she becomes nineteen (19) years of age,
 unless discharged prior to turning nineteen (19).

.

4 (b) When the court shall have obtained jurisdiction over any child prior to the child's 5 eighteenth (18th) birthday by the filing of a miscellaneous petition or a petition alleging that the child is dependent, neglected, or abused pursuant to §§ 14-1-5 and 40-11-7 or 42-72-14, the child 6 7 shall, except as specifically provided in this chapter, continue under the jurisdiction of the court 8 until he or she becomes eighteen (18) years of age; provided, that at least six (6) months prior to a 9 child turning eighteen (18) years of age, the court shall require the department of children, youth 10 and families to provide a description of the transition services including the child's housing, health 11 insurance, education and/or employment plan, available mentors and continuing support services, 12 including workforce supports and employment services afforded the child in placement, or a 13 detailed explanation as to the reason those services were not offered. As part of the transition 14 planning, the child shall be informed by the department of the opportunity to voluntarily agree to 15 extended care and placement by the department and legal supervision by the court until age twenty-16 one (21). The details of a child's transition plan shall be developed in consultation with the child, 17 wherever possible, and approved by the court prior to the dismissal of an abuse, neglect, 18 dependency, or miscellaneous petition before the child's twenty-first birthday.

(c) A child, who is in foster care on their eighteenth birthday due to the filing of a
miscellaneous petition or a petition alleging that the child is dependent, neglected, or abused
pursuant to §§ 14-1-5, 40-11-7 or 42-72-14, may voluntarily elect to continue responsibility for
care and placement from DCYF and to remain under the legal supervision of the court as a young
adult until age twenty-one (21), provided:

24 (1) The young adult was in the legal custody of the department at age eighteen (18); and

25 (2) The young adult is participating in at least one of the following:

26 (i) Completing the requirements to receive a high school diploma or GED;

(ii) Completing a secondary education or a program leading to an equivalent credential;
enrolled in an institution that provides postsecondary or vocational education;

29 (iii) Participating in a job-training program or an activity designed to promote or remove

30 barriers to employment;

31 (iv) Be employed for at least eighty (80) hours per month; or

(v) Incapable of doing any of the foregoing due to a medical condition that is regularlyupdated and documented in the case plan.

34

(d) A former foster child who was adopted or placed in guardianship with an adoption

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1 assistance agreement or a guardianship assistance agreement that was executed on or after his or
2 her sixteenth birthday and prior to his or her eighteenth birthday may voluntarily agree to extended
3 care and placement by the department and legal supervision by the court until age twenty-one (21)
4 if the young adult satisfies the requirements in subsection (c)(2). Provided, however, the department
5 retains the right to review the request and first attempt to address the issues through the adoption
6 assistance agreement by providing post adoptive or post guardianship support services to the young
7 adult and his or her adoptive or guardianship family.

8 (e) Upon the request of the young adult, who voluntarily agreed to the extension of care 9 and placement by the department and legal supervision by the court, pursuant to subsections (c) 10 and (d) of this section, the court's legal supervision and the department's responsibility for care and 11 placement may be terminated. Provided, however, the young adult may request reinstatement of 12 responsibility and resumption of the court's legal supervision at any time prior to his or her twenty-13 first birthday if the young adult meets the requirements set forth in subsection (c)(2). If the 14 department wishes to terminate the court's legal supervision and its responsibility for care and 15 placement, it may file a motion for good cause. The court may exercise its discretion to terminate 16 legal supervision over the young adult at any time.

(f) With the consent of the person previously under the court's supervision, the court may
reopen, extend or retain its jurisdiction beyond that persons' twenty-first birthday until his or her
twenty-second birthday or until September 30, 2021, whichever date occurs first, under the
following circumstances:

- 21 (1) The person aged out of DCYF care or left foster care during the COVID-19 public
- 22 <u>health emergency, defined as beginning on January 27, 2020, and is entitled to extended benefits</u>
- 23 pursuant to the terms of the Consolidated Appropriations Act of 2021, Pub. L. 116-260; and
- 24 (i) The court has or had obtained jurisdiction over the person prior to his or her eighteenth
- 25 <u>birthday by the filing of a miscellaneous petition or a petition alleging that the child is dependent</u>,
- 26 abused or neglected pursuant to § 14-1-5, 40-11-7 or § 42-72-14 or after the person's eighteenth
- 27 <u>birthday pursuant to a Voluntary Extension of Care petition; and</u>
- 28 (ii) Court supervision is necessary for the department of children, youth and families to
- 29 access IV-E funding to support such benefits, in whole or in part; and
- 30 (iii) Court supervision is required to continue transition planning and to ensure the safety,
- 31 permanency, and well-being of older youth who remain in or who age out of foster care and re-
- 32 <u>enter foster care.</u>
- (f)(g) The court may retain jurisdiction of any child who is seriously emotionally disturbed
- 34 or developmentally delayed pursuant to § 42-72-5(b)(24)(v) until that child turns age twenty-one

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(21) when the court shall have obtained jurisdiction over any child prior to the child's eighteenth
 birthday by the filing of a miscellaneous petition or a petition alleging that the child is dependent,
 neglected, and/or abused pursuant to §§ 14-1-5, and 40-11-7, or 42-72-14.

4 (g)(h) The department of children, youth and families shall work collaboratively with the 5 department of behavioral healthcare, developmental disabilities and hospitals, and other agencies, in accordance with § 14-1-59, to provide the family court with a transition plan for those individuals 6 7 who come under the court's jurisdiction pursuant to a petition alleging that the child is dependent, 8 neglected, and/or abused and who are seriously emotionally disturbed or developmentally delayed 9 pursuant to § 42-72-5(b)(24)(v). This plan shall be a joint plan presented to the court by the 10 department of children, youth and families and the department of behavioral healthcare, 11 developmental disabilities and hospitals. The plan shall include the behavioral healthcare, 12 developmental disabilities and hospitals' community or residential service level, health insurance 13 option, education plan, available mentors, continuing support services, workforce supports and 14 employment services, and the plan shall be provided to the court at least twelve (12) months prior 15 to discharge. At least three (3) months prior to discharge, the plan shall identify the specific 16 placement for the child, if a residential placement is needed. The court shall monitor the transition 17 plan. In the instance where the department of behavioral healthcare, developmental disabilities and 18 hospitals has not made timely referrals to appropriate placements and services, the department of 19 children, youth and families may initiate referrals.

20 (h)(i) The parent and/or guardian and/or guardian ad litem of a child who is seriously 21 emotionally disturbed or developmentally delayed pursuant to § 42-72-5(b)(24)(v), and who is 22 before the court pursuant to §§ 14-1-5(1)(iii) through 14-1-5(1)(v), 40-11-7 or 42-72-14, shall be 23 entitled to a transition hearing, as needed, when the child reaches the age of twenty (20) if no 24 appropriate transition plan has been submitted to the court by the department of children, youth and 25 families and the department of behavioral healthcare, developmental disabilities and hospitals. The 26 family court shall require that the department of behavioral healthcare, developmental disabilities 27 and hospitals shall immediately identify a liaison to work with the department of children, youth 28 and families until the child reaches the age of twenty-one (21) and an immediate transition plan be 29 submitted if the following facts are found:

30 (1) No suitable transition plan has been presented to the court addressing the levels of
31 service appropriate to meet the needs of the child as identified by the department of behavioral
32 healthcare, developmental disabilities and hospitals; or

33 (2) No suitable housing options, health insurance, educational plan, available mentors,
 34 continuing support services, workforce supports, and employment services have been identified for

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1 the child.

(i)(j) In any case where the court shall not have acquired jurisdiction over any person prior to the person's eighteenth (18th) birthday by the filing of a petition alleging that the person had committed an offense, but a petition alleging that the person had committed an offense that would be punishable as a felony if committed by an adult has been filed before that person attains the age of nineteen (19) years of age, that person shall, except as specifically provided in this chapter, be subject to the jurisdiction of the court until he or she becomes nineteen (19) years of age, unless discharged prior to turning nineteen (19).

9 $\frac{(i)(k)}{k}$ In any case where the court shall not have acquired jurisdiction over any person prior to the person attaining the age of nineteen (19) years by the filing of a petition alleging that the 10 11 person had committed an offense prior to the person attaining the age of eighteen (18) years that 12 would be punishable as a felony if committed by an adult, that person shall be referred to the court 13 that had jurisdiction over the offense if it had been committed by an adult. The court shall have 14 jurisdiction to try that person for the offense committed prior to the person attaining the age of 15 eighteen (18) years and, upon conviction, may impose a sentence not exceeding the maximum 16 penalty provided for the conviction of that offense.

17 (k)(1) In any case where the court has certified and adjudicated a child in accordance with 18 the provisions of §§ 14-1-7.2 and 14-1-7.3, the jurisdiction of the court shall encompass the power 19 and authority to sentence the child to a period in excess of the age of nineteen (19) years. However, 20 in no case shall the sentence be in excess of the maximum penalty provided by statute for the 21 conviction of the offense.

(1)(m) Nothing in this section shall be construed to affect the jurisdiction of other courts
 over offenses committed by any person after he or she reaches the age of eighteen (18) years.

SECTION 6. Sections 40-5.2-8, 40-5.2-108, 40-5.2-11, 40-5.2-20 and 40-5.2-33 of the General Laws in Chapter 40-5.2 entitled "The Rhode Island Works Program" are hereby amended to read as follows:

27 **40-5.2-8. Definitions.**

As used in this chapter, the following terms having the meanings set forth herein, unless the context in which such terms are used clearly indicates to the contrary:

(1) "Applicant" means a person who has filed a written application for assistance for
 herself/himself and her/his dependent child(ren). An applicant may be a parent or non-parent
 caretaker relative.

33 (2) "Assistance" means cash and any other benefits provided pursuant to this chapter.

34

(3) "Assistance unit" means the assistance-filing unit consisting of the group of persons,

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including the dependent child(ren), living together in a single household who must be included in
 the application for assistance and in the assistance payment if eligibility is established. An
 assistance unit may be the same as a family.

4

(4) "Benefits" shall mean assistance received pursuant to this chapter.

5 (5) "Community service programs" means structured programs and activities in which cash 6 assistance recipients perform work for the direct benefit of the community under the auspices of 7 public or nonprofit organizations. Community service programs are designed to improve the 8 employability of recipients not otherwise able to obtain paid employment.

9

(6) "Department" means the department of human services.

10 (7) "Dependent child" means an individual, other than an individual with respect to whom 11 foster care maintenance payments are made, who is: (A) under the age of eighteen (18); or (B) 12 under the age of nineteen (19) and a full-time student in a secondary school (or in the equivalent 13 level of vocational or educational training), if before he or she attains age nineteen (19), he or she 14 may reasonably be expected to complete the program of such secondary school (or such training).

15

(8) "Director" means the director of the department of human services.

(9) "Earned income" means income in cash or the equivalent received by a person through
the receipt of wages, salary, commissions, or profit from activities in which the person is selfemployed or as an employee and before any deductions for taxes.

(10) "Earned income tax credit" means the credit against federal personal income tax
liability under § 32 of the Internal Revenue Code of 1986, 26 U.S.C. § 32, or any successor section,
the advanced payment of the earned income tax credit to an employee under § 3507 of the code, 26
U.S.C. § 3507 [repealed], or any successor section and any refund received as a result of the earned
income tax credit, as well as any refundable state earned income tax credit.

(11) "Education directly related to employment" means education, in the case of a
participant who has not received a high school diploma or a certificate of high school equivalency,
related to a specific occupation, job, or job offer.

(12) "Family" means: (A) a pregnant woman from and including the seventh month of her
pregnancy; or (B) a child and the following eligible persons living in the same household as the
child: (C) each biological, adoptive or stepparent of the child, or in the absence of a parent, any
adult relative who is responsible, in fact, for the care of such child; and (D) the child's minor siblings
(whether of the whole or half blood); provided, however, that the term "family" shall not include
any person receiving benefits under title XVI of the Social Security Act, 42 U.S.C. § 1381 et seq.
A family may be the same as the assistance unit.

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(13) "Gross earnings" means earnings from employment and self-employment further

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1 described in the department of human services rules and regulations.

2 (14) "Individual employment plan" means a written, individualized plan for employment 3 developed jointly by the applicant and the department of human services that specifies the steps the 4 participant shall take toward long-term economic independence developed in accordance with § 5 40-5.2-10(e). A participant must comply with the terms of the individual employment plan as a condition of eligibility in accordance with § 40-5.2-10(e). 6

7

(15) "Job search and job readiness" means the mandatory act of seeking or obtaining 8 employment by the participant, or the preparation to seek or obtain employment.

9 In accord with federal requirements, job search activities must be supervised by the 10 department of labor and training and must be reported to the department of human services in 11 accordance with TANF work verification requirements.

12 Except in the context of rehabilitation employment plans, and special services provided by 13 the department of children, youth and families, job-search and job-readiness activities are limited 14 to four (4) consecutive weeks, or for a total of six (6) weeks in a twelve-month (12) period, with 15 limited exceptions as defined by the department. The department of human services, in consultation 16 with the department of labor and training, shall extend job-search, and job-readiness assistance for 17 up to twelve (12) weeks in a fiscal year if a state has an unemployment rate at least fifty percent 18 (50%) greater than the United States unemployment rate if the state meets the definition of a "needy 19 state" under the contingency fund provisions of federal law.

20 Preparation to seek employment, or job readiness, may include, but may not be limited to, 21 the participant obtaining life-skills training, homelessness services, domestic violence services, 22 special services for families provided by the department of children youth and families, substance abuse treatment, mental health treatment, or rehabilitation activities as appropriate for those who 23 24 are otherwise employable. The services, treatment, or therapy must be determined to be necessary 25 and certified by a qualified medical or mental health professional. Intensive work-readiness 26 services may include work-based literacy, numeracy, hands-on training, work experience, and case 27 management services. Nothing in this section shall be interpreted to mean that the department of 28 labor and training shall be the sole provider of job-readiness activities described herein.

29 (16) "Job skills training directly related to employment" means training or education for 30 job skills required by an employer to provide an individual with the ability to obtain employment 31 or to advance or adapt to the changing demands of the workplace. Job skills training directly related 32 to employment must be supervised on an ongoing basis.

33 (17) "Minor parent" means a parent under the age of eighteen (18). A minor parent may be 34 an applicant or recipient with his or her dependent child(ren) in his/her own case or a member of

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1 an assistance unit with his or her dependent child(ren) in a case established by the minor parent's

2 parent.

3 (18) "Net income" means the total gross income of the assistance unit less allowable
4 disregards and deductions as described in § 40-5.2-10(g).

5 (19) "On-the-job-training" means training in the public or private sector that is given to a 6 paid employee while he or she is engaged in productive work and that provides knowledge and 7 skills essential to the full and adequate performance of the job. On-the-job training must be 8 supervised by an employer, work-site sponsor, or other designee of the department of human 9 services on an ongoing basis.

(20) "Participant" means a person who has been found eligible for assistance in accordance
with this chapter and who must comply with all requirements of this chapter, and has entered into
an individual employment plan. A participant may be a parent or non-parent caretaker relative
included in the cash assistance payment.

14 (21) "Recipient" means a person who has been found eligible and receives cash assistance15 in accordance with this chapter.

16 (22) "Relative" means a parent, stepparent, grandparent, great grandparent, great-great
17 grandparent, aunt, great-aunt, great-great aunt, uncle, great-uncle, great-great uncle, sister, brother,
18 stepbrother, stepsister, half-brother, half-sister, first cousin, first cousin once removed, niece, great19 niece, great-great niece, nephew, great-nephew, or great-great nephew.

20 (23) "Resident" means a person who maintains residence by his or her continuous physical
21 presence in the state.

(24) "Self-employment income" means the total profit from a business enterprise, farming, etc., resulting from a comparison of the gross receipts with the business expenses, i.e., expenses directly related to producing the goods or services and without which the goods or services could not be produced. However, items such as depreciation, personal business and entertainment expenses, and personal transportation are not considered business expenses for the purposes of determining eligibility for cash assistance in accordance with this chapter.

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(25) "State" means the State of Rhode Island and Providence Plantations.

(26) "Subsidized employment" means employment in the private or public sectors for which the employer receives a subsidy from TANF or other public funds to offset some or all of the wages and costs of employing a recipient. It includes work in which all or a portion of the wages paid to the recipient are provided to the employer either as a reimbursement for the extra costs of training or as an incentive to hire the recipient, including, but not limited to, grant diversion.

(27) "Subsidized housing" means housing for a family whose rent is restricted to a

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1 percentage of its income.

2 (28) "Unsubsidized employment" means full- or part-time employment in the public or
3 private sector that is not subsidized by TANF or any other public program.

4 (29) "Vocational educational training" means organized educational programs, not to
5 exceed twelve (12) months with respect to any participant, that are directly related to the preparation
6 of participants for employment in current or emerging occupations. Vocational educational training
7 must be supervised.

8 (30) "Work activities" mean the specific work requirements that must be defined in the 9 individual employment plan and must be complied with by the participant as a condition of 10 eligibility for the receipt of cash assistance for single and two-family (2) households outlined in § 11 40-5.2-12 of this chapter.

12 (31) "Work experience" means a work activity that provides a participant with an 13 opportunity to acquire the general skills, training, knowledge, and work habits necessary to obtain 14 employment. The purpose of work experience is to improve the employability of those who cannot 15 find unsubsidized employment. An employer, work site sponsor, and/or other appropriate designee 16 of the department must supervise this activity.

17 (32) "Work supplementation," also known as "grant diversion," means the use of all or a 18 portion of a participant's cash assistance grant and food stamp grant as a wage supplement to an 19 employer. The supplement shall be limited to a maximum period of twelve (12) months. An 20 employer must agree to continue the employment of the participant as part of the regular work 21 force, beyond the supplement period, if the participant demonstrates satisfactory performance.

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40-5.2-10. Necessary requirements and conditions.

- 23 The following requirements and conditions shall be necessary to establish eligibility for
- the program.

25 (a) *Citizenship, alienage, and residency requirements.*

26 (1) A person shall be a resident of the State of Rhode Island.

(2) Effective October 1, 2008, a person shall be a United States citizen, or shall meet the
alienage requirements established in § 402(b) of the Personal Responsibility and Work Opportunity
Reconciliation Act of 1996, PRWORA, Public Laws No. 104-193 and as that section may hereafter
be amended [8 U.S.C. § 1612]; a person who is not a United States citizen and does not meet the
alienage requirements established in PRWORA, as amended, is not eligible for cash assistance in
accordance with this chapter.

33 (b) The family/assistance unit must meet any other requirements established by the34 department of human services by rules and regulations adopted pursuant to the Administrative

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1 Procedures Act, as necessary to promote the purpose and goals of this chapter.

2 (c) Receipt of cash assistance is conditional upon compliance with all program
3 requirements.

(d) All individuals domiciled in this state shall be exempt from the application of
subdivision 115(d)(1)(A) of Public Law 104-193, the Personal Responsibility and Work
Opportunity Reconciliation Act of 1996, PRWORA [21 U.S.C. § 862a], which makes any
individual ineligible for certain state and federal assistance if that individual has been convicted
under federal or state law of any offense that is classified as a felony by the law of the jurisdiction
and that has as an element the possession, use, or distribution of a controlled substance as defined
in § 102(6) of the Controlled Substances Act (21 U.S.C. § 802(6)).

11

(e) Individual employment plan as a condition of eligibility.

12 (1) Following receipt of an application, the department of human services shall assess the 13 financial conditions of the family, including the non-parent caretaker relative who is applying for 14 cash assistance for himself or herself as well as for the minor child(ren), in the context of an 15 eligibility determination. If a parent or non-parent caretaker relative is unemployed or under-16 employed, the department shall conduct an initial assessment, taking into account: (A) The physical 17 capacity, skills, education, work experience, health, safety, family responsibilities and place of 18 residence of the individual; and (B) The child care and supportive services required by the applicant 19 to avail himself or herself of employment opportunities and/or work readiness programs.

(2) On the basis of this assessment, the department of human services and the department
of labor and training, as appropriate, in consultation with the applicant, shall develop an individual
employment plan for the family which requires the individual to participate in the intensive
employment services. Intensive employment services shall be defined as the work requirement
activities in § 40-5.2-12(g) and (i).

25 (3) The director, or his or her designee, may assign a case manager to an
26 applicant/participant, as appropriate.

(4) The department of labor and training and the department of human services in conjunction with the participant shall develop a revised individual employment plan that shall identify employment objectives, taking into consideration factors above, and shall include a strategy for immediate employment and for preparing for, finding, and retaining employment consistent, to the extent practicable, with the individual's career objectives.

32 (5) The individual employment plan must include the provision for the participant to
33 engage in work requirements as outlined in § 40-5.2-12.

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(6)(i) The participant shall attend and participate immediately in intensive assessment and

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employment services as the first step in the individual employment plan, unless temporarily exempt 1 2 from this requirement in accordance with this chapter. Intensive assessment and employment 3 services shall be defined as the work requirement activities in § 40-5.2-12(g) and (i).

4 (ii) Parents under age twenty (20) without a high school diploma or general equivalency 5 diploma (GED) shall be referred to special teen parent programs which will provide intensive services designed to assist teen parents to complete high school education or GED, and to continue 6 7 approved work plan activities in accord with Rhode Island works program requirements.

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(7) The applicant shall become a participant in accordance with this chapter at the time the 9 individual employment plan is signed and entered into.

10 (8) Applicants and participants of the Rhode Island works program shall agree to comply 11 with the terms of the individual employment plan, and shall cooperate fully with the steps 12 established in the individual employment plan, including the work requirements.

13 (9) The department of human services has the authority under the chapter to require 14 attendance by the applicant/participant, either at the department of human services or at the 15 department of labor and training, at appointments deemed necessary for the purpose of having the 16 applicant enter into and become eligible for assistance through the Rhode Island works program. 17 The appointments include, but are not limited to, the initial interview, orientation and assessment; 18 job readiness and job search. Attendance is required as a condition of eligibility for cash assistance 19 in accordance with rules and regulations established by the department.

20 (10) As a condition of eligibility for assistance pursuant to this chapter, the 21 applicant/participant shall be obligated to keep appointments, attend orientation meetings at the 22 department of human services and/or the Rhode Island department of labor and training; participate 23 in any initial assessments or appraisals; and comply with all the terms of the individual employment 24 plan in accordance with department of human services rules and regulations.

25 (11) A participant, including a parent or non-parent caretaker relative included in the cash 26 assistance payment, shall not voluntarily quit a job or refuse a job unless there is good cause as 27 defined in this chapter or the department's rules and regulations.

(12) A participant who voluntarily quits or refuses a job without good cause, as defined in

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29 § 40-5.2-12(1), while receiving cash assistance in accordance with this chapter, shall be sanctioned 30 in accordance with rules and regulations promulgated by the department.

31 (f) Resources.

32 (1) The family or assistance unit's countable resources shall be less than the allowable resource limit established by the department in accordance with this chapter. 33

34 (2) No family or assistance unit shall be eligible for assistance payments if the combined

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1 value of its available resources (reduced by any obligations or debts with respect to such resources) 2 exceeds one thousand dollars (\$1,000).

3 (3) For purposes of this subsection, the following shall not be counted as resources of the 4 family/assistance unit in the determination of eligibility for the works program:

5

(i) The home owned and occupied by a child, parent, relative or other individual;

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(ii) Real property owned by a husband and wife as tenants by the entirety, if the property is not the home of the family and if the spouse of the applicant refuses to sell his or her interest in

8 the property;

9 (iii) Real property that the family is making a good faith effort to dispose of, however, any 10 cash assistance payable to the family for any such period shall be conditioned upon such disposal 11 of the real property within six (6) months of the date of application and any payments of assistance 12 for that period shall (at the time of disposal) be considered overpayments to the extent that they 13 would not have occurred at the beginning of the period for which the payments were made. All 14 overpayments are debts subject to recovery in accordance with the provisions of the chapter;

15 (iv) Income-producing property other than real estate including, but not limited to, 16 equipment such as farm tools, carpenter's tools and vehicles used in the production of goods or 17 services that the department determines are necessary for the family to earn a living;

18 (v) One vehicle for each adult household member, but not to exceed two (2) vehicles per 19 household, and in addition, a vehicle used primarily for income producing purposes such as, but 20 not limited to, a taxi, truck or fishing boat; a vehicle used as a family's home; a vehicle that annually 21 produces income consistent with its fair market value, even if only used on a seasonal basis; a 22 vehicle necessary to transport a family member with a disability where the vehicle is specially 23 equipped to meet the specific needs of the person with a disability or if the vehicle is a special type 24 of vehicle that makes it possible to transport the person with a disability;

25 (vi) Household furnishings and appliances, clothing, personal effects, and keepsakes of limited value; 26

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(vii) Burial plots (one for each child, relative, and other individual in the assistance unit) 28 and funeral arrangements;

29 (viii) For the month of receipt and the following month, any refund of federal income taxes 30 made to the family by reason of § 32 of the Internal Revenue Code of 1986, 26 U.S.C. § 32 (relating 31 to earned income tax credit), and any payment made to the family by an employer under § 3507 of 32 the Internal Revenue Code of 1986, 26 U.S.C. § 3507 [repealed] (relating to advance payment of such earned income credit); 33

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(ix) The resources of any family member receiving supplementary security income

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- 1 assistance under the Social Security Act, 42 U.S.C. § 301 et seq.
- (x) Any veteran's disability pension benefits received as a result of any disability sustained 2 3 by the veteran while in the military service.
- 4 (g) Income.

5 (1) Except as otherwise provided for herein, in determining eligibility for and the amount of cash assistance to which a family is entitled under this chapter, the income of a family includes 6 7 all of the money, goods, and services received or actually available to any member of the family.

8 (2) In determining the eligibility for and the amount of cash assistance to which a 9 family/assistance unit is entitled under this chapter, income in any month shall not include the first 10 one hundred seventy dollars (\$170) of gross earnings plus fifty percent (50%) of the gross earnings 11 of the family in excess of one hundred seventy dollars (\$170) earned during the month.

12 (3) The income of a family shall not include:

13 (i) The first fifty dollars (\$50.00) in child support received in any month from each non-14 custodial parent of a child plus any arrearages in child support (to the extent of the first fifty dollars 15 (\$50.00) per month multiplied by the number of months in which the support has been in arrears) 16 that are paid in any month by a non-custodial parent of a child;

17 (ii) Earned income of any child;

18 (iii) Income received by a family member who is receiving supplemental security income 19 (SSI) assistance under Title XVI of the Social Security Act, 42 U.S.C. § 1381 et seq.;

20 (iv) The value of assistance provided by state or federal government or private agencies to 21 meet nutritional needs, including: value of USDA donated foods; value of supplemental food 22 assistance received under the Child Nutrition Act of 1966, as amended and the special food service 23 program for children under Title VII, nutrition program for the elderly, of the Older Americans Act 24 of 1965 as amended, and the value of food stamps;

25 (v) Value of certain assistance provided to undergraduate students, including any grant or 26 loan for an undergraduate student for educational purposes made or insured under any loan program 27 administered by the United States Commissioner of Education (or the Rhode Island council on 28 postsecondary education or the Rhode Island division of higher education assistance);

29 (vi) Foster care payments;

30 (vii) Home energy assistance funded by state or federal government or by a nonprofit 31 organization;

32 (viii) Payments for supportive services or reimbursement of out-of-pocket expenses made 33 to foster grandparents, senior health aides or senior companions and to persons serving in SCORE 34 and ACE and any other program under Title II and Title III of the Domestic Volunteer Service Act

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1 of 1973, 42 U.S.C. § 5000 et seq.;

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2 (ix) Payments to volunteers under AmeriCorps VISTA as defined in the department's rules
3 and regulations;

(x) Certain payments to native Americans; payments distributed per capita to, or held in
trust for, members of any Indian Tribe under P.L. 92-254, 25 U.S.C. § 1261 et seq., P.L. 93-134,
25 U.S.C. § 1401 et seq., or P.L. 94-540; receipts distributed to members of certain Indian tribes
which are referred to in § 5 of P.L. 94-114, 25 U.S.C. § 459d, that became effective October 17,
1975;

(xi) Refund from the federal and state earned income tax credit;

10 (xii) The value of any state, local, or federal government rent or housing subsidy, provided
11 that this exclusion shall not limit the reduction in benefits provided for in the payment standard
12 section of this chapter.

13 (xiii) The earned income of any adult family member who gains employment while an

14 active RI Works household member. Such income is excluded for the first six (6) months of

15 employment in which the income is earned, or until the household's total gross income exceeds one

- 16 <u>hundred and eighty five (185) percent of the federal poverty level, unless the household reaches its</u>
- 17 <u>forty-eight (48) month time limit first.</u>

18 (xiv) Any veteran's disability pension benefits received as a result of any disability

19 <u>sustained by the veteran while in the military service.</u>

20 (4) The receipt of a lump sum of income shall affect participants for cash assistance in
 21 accordance with rules and regulations promulgated by the department.

22 (h) Time limit on the receipt of cash assistance.

23 (1) On or after January 1, 2020, no cash assistance shall be provided, pursuant to this 24 chapter, to a family or assistance unit that includes an adult member who has received cash 25 assistance for a total of forty-eight (48) months (whether or not consecutive), to include any time 26 receiving any type of cash assistance in any other state or territory of the United States of America 27 as defined herein. Provided further, in no circumstances other than provided for in subsection (h)(3)28 with respect to certain minor children, shall cash assistance be provided pursuant to this chapter to 29 a family or assistance unit which includes an adult member who has received cash assistance for a 30 total of a lifetime limit of forty-eight (48) months.

31 (2) Cash benefits received by a minor dependent child shall not be counted toward their
32 lifetime time limit for receiving benefits under this chapter should that minor child apply for cash
33 benefits as an adult.

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(3) Certain minor children not subject to time limit. This section regarding the lifetime time

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limit for the receipt of cash assistance, shall not apply only in the instances of a minor child(ren)
 living with a parent who receives SSI benefits and a minor child(ren) living with a responsible adult
 non-parent caretaker relative who is not in the cash assistance payment.

4 (4) Receipt of family cash assistance in any other state or territory of the United States of
5 America shall be determined by the department of human services and shall include family cash
6 assistance funded in whole or in part by Temporary Assistance for Needy Families (TANF) funds
7 [Title IV-A of the Federal Social Security Act 42 U.S.C. § 601 et seq.] and/or family cash assistance
8 provided under a program similar to the Rhode Island families work and opportunity program or
9 the federal TANF program.

10 (5)(i) The department of human services shall mail a notice to each assistance unit when 11 the assistance unit has six (6) months of cash assistance remaining and each month thereafter until 12 the time limit has expired. The notice must be developed by the department of human services and 13 must contain information about the lifetime time limit, the number of months the participant has 14 remaining, the hardship extension policy, the availability of a post-employment-and-closure bonus, 15 and any other information pertinent to a family or an assistance unit nearing the forty-eight-month 16 (48) lifetime time limit.

(ii) For applicants who have less than six (6) months remaining in the forty-eight-month
(48) lifetime time limit because the family or assistance unit previously received cash assistance in
Rhode Island or in another state, the department shall notify the applicant of the number of months
remaining when the application is approved and begin the process required in subsection (h)(5)(i).

21 (6) If a cash assistance recipient family was closed pursuant to Rhode Island's Temporary 22 Assistance for Needy Families Program (federal TANF described in Title IV A of the Federal Social Security Act, 42 U.S.C. § 601 et seq.), formerly entitled the Rhode Island family 23 24 independence program, more specifically under § 40-5.1-9(2)(c) [repealed], due to sanction 25 because of failure to comply with the cash assistance program requirements; and that recipient 26 family received forty-eight (48) months of cash benefits in accordance with the family independence program, then that recipient family is not able to receive further cash assistance for 27 28 his/her family, under this chapter, except under hardship exceptions.

(7) The months of state or federally funded cash assistance received by a recipient family
since May 1, 1997, under Rhode Island's Temporary Assistance for Needy Families Program
(federal TANF described in Title IV A of the Federal Social Security Act, 42 U.S.C. § 601 et seq.),
formerly entitled the Rhode Island family independence program, shall be countable toward the
time limited cash assistance described in this chapter.

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(i) Time limit on the receipt of cash assistance.

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1 (1) No cash assistance shall be provided, pursuant to this chapter, to a family assistance 2 unit in which an adult member has received cash assistance for a total of sixty (60) months (whether 3 or not consecutive) to include any time receiving any type of cash assistance in any other state or 4 territory of the United States as defined herein effective August 1, 2008. Provided further, that no 5 cash assistance shall be provided to a family in which an adult member has received assistance for 6 twenty-four (24) consecutive months unless the adult member has a rehabilitation employment plan 7 as provided in § 40-5.2-12(g)(5).

8 (2) Effective August 1, 2008, no cash assistance shall be provided pursuant to this chapter 9 to a family in which a child has received cash assistance for a total of sixty (60) months (whether 10 or not consecutive) if the parent is ineligible for assistance under this chapter pursuant to 11 subdivision 40-5.2(a) (2) to include any time they received any type of cash assistance in any other 12 state or territory of the United States as defined herein.

13 (j) Hardship exceptions.

(1) The department may extend an assistance unit's or family's cash assistance beyond the time limit, by reason of hardship; provided, however, that the number of families to be exempted by the department with respect to their time limit under this subsection shall not exceed twenty percent (20%) of the average monthly number of families to which assistance is provided for under this chapter in a fiscal year; provided, however, that to the extent now or hereafter permitted by federal law, any waiver granted under § 40-5.2-35, for domestic violence, shall not be counted in determining the twenty percent (20%) maximum under this section.

(2) Parents who receive extensions to the time limit due to hardship must have and comply
 with employment plans designed to remove or ameliorate the conditions that warranted the
 extension.

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(k) Parents under eighteen (18) years of age.

(1) A family consisting of a parent who is under the age of eighteen (18), and who has never been married, and who has a child; or a family consisting of a woman under the age of eighteen (18) who is at least six (6) months pregnant, shall be eligible for cash assistance only if the family resides in the home of an adult parent, legal guardian, or other adult relative. The assistance shall be provided to the adult parent, legal guardian, or other adult relative on behalf of the individual and child unless otherwise authorized by the department.

(2) This subsection shall not apply if the minor parent or pregnant minor has no parent,
legal guardian, or other adult relative who is living and/or whose whereabouts are unknown; or the
department determines that the physical or emotional health or safety of the minor parent, or his or
her child, or the pregnant minor, would be jeopardized if he or she was required to live in the same

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1 residence as his or her parent, legal guardian, or other adult relative (refusal of a parent, legal 2 guardian or other adult relative to allow the minor parent or his or her child, or a pregnant minor, 3 to live in his or her home shall constitute a presumption that the health or safety would be so 4 jeopardized); or the minor parent or pregnant minor has lived apart from his or her own parent or 5 legal guardian for a period of at least one year before either the birth of any child to a minor parent or the onset of the pregnant minor's pregnancy; or there is good cause, under departmental 6 7 regulations, for waiving the subsection; and the individual resides in a supervised supportive living 8 arrangement to the extent available.

9 (3) For purposes of this section, "supervised supportive-living arrangement" means an 10 arrangement that requires minor parents to enroll and make satisfactory progress in a program 11 leading to a high school diploma or a general education development certificate, and requires minor 12 parents to participate in the adolescent parenting program designated by the department, to the 13 extent the program is available; and provides rules and regulations that ensure regular adult 14 supervision.

(I) Assignment and cooperation. As a condition of eligibility for cash and medical
assistance under this chapter, each adult member, parent, or caretaker relative of the
family/assistance unit must:

(1) Assign to the state any rights to support for children within the family from any person
that the family member has at the time the assignment is executed or may have while receiving
assistance under this chapter;

21 (2) Consent to and cooperate with the state in establishing the paternity and in establishing 22 and/or enforcing child support and medical support orders for all children in the family or assistance unit in accordance with title 15 of the general laws, as amended, unless the parent or caretaker 23 24 relative is found to have good cause for refusing to comply with the requirements of this subsection. 25 (3) Absent good cause, as defined by the department of human services through the rule-26 making process, for refusing to comply with the requirements of (1)(1) and (1)(2), cash assistance 27 to the family shall be reduced by twenty-five percent (25%) until the adult member of the family 28 who has refused to comply with the requirements of this subsection consents to and cooperates with 29 the state in accordance with the requirements of this subsection.

(4) As a condition of eligibility for cash and medical assistance under this chapter, each
adult member, parent, or caretaker relative of the family/assistance unit must consent to and
cooperate with the state in identifying and providing information to assist the state in pursuing any
third-party who may be liable to pay for care and services under Title XIX of the Social Security
Act, 42 U.S.C. § 1396 et seq.

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40-5.2-11. Cash assistance.

2 (a) A family or assistance unit found by the department to meet the eligibility criteria set forth in this chapter shall be eligible to receive cash assistance as of the date a signed, written 3 4 application, signed under a penalty of perjury, is received by the department. 5 (b) The family members or assistance unit shall be eligible for cash assistance for so long as they continue to meet the eligibility criteria outlined in accordance with this chapter. Parents and 6 7 adult non-parent caretaker relatives receiving cash assistance shall be eligible so long as they meet 8 the terms and conditions of the work requirements of § 40-5.2-12. An adult caretaker relative shall 9 be eligible for assistance as a member of the assistance unit so long as he/she meets all the eligibility 10 requirements of this chapter. 11 (c) The monthly amount of cash assistance shall be equal to the payment standard for the 12 family minus the countable income of the family in that month. The department is authorized to 13 reduce the amount of assistance in the month of application to reflect the number of the days 14 between the first day of the month and the effective date of the application. 15 (d) A decision on the application for assistance shall be made or rejected by the department 16 no later than thirty (30) days following the date submitted and shall be effective as of the date of 17 application. 18 (e) The payment standard is equal to the sum of the following: three hundred twenty seven 19 dollars (\$327) (two hundred seventy-seven dollars (\$277) four hundred twenty-five dollars (\$425) 20 (three hundred sixty dollars (\$360) for a family residing in subsidized housing) for the first person, 21 one hundred twenty-two dollars (\$122) one hundred fifty-nine dollars (\$159) for the second person, 22 one hundred five dollars (\$105) one hundred thirty-seven dollars (\$137) for the third person and 23 eighty dollars (\$80) and one hundred four dollars (\$104) for each additional person. 24 40-5.2-20. Childcare assistance - Families or assistance units eligible. 25 (a) The department shall provide appropriate child care to every participant who is eligible 26 for cash assistance and who requires child care in order to meet the work requirements in 27 accordance with this chapter. 28 (b) Low-income child care. The department shall provide child care to all other working 29 families with incomes at or below one hundred eighty percent (180%) of the federal poverty level 30 if, and to the extent, these other families require child care in order to work at paid employment as 31 defined in the department's rules and regulations. Beginning October 1, 2013, the department shall 32 also provide child care to families with incomes below one hundred eighty percent (180%) of the 33 federal poverty level if, and to the extent, these families require child care to participate on a short-

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term basis, as defined in the department's rules and regulations, in training, apprenticeship,

1 internship, on-the-job training, work experience, work immersion, or other job-readiness/job-2 attachment program sponsored or funded by the human resource investment council (governor's 3 workforce board) or state agencies that are part of the coordinated program system pursuant to § 4 42-102-11. Effective from January 1, 2021 through June 30, 2022, the department shall also provide 5 child care assistance to families with incomes below one hundred eighty percent (180%) of the federal poverty level when such assistance is necessary for a member of these families to enroll or 6 7 maintain enrollment in a Rhode Island public institution of higher education provided that 8 eligibility to receive funding is capped when expenditures reach \$200,000 for this provision.

9 (c) No family/assistance unit shall be eligible for childcare assistance under this chapter if 10 the combined value of its liquid resources exceeds one million dollars (\$1,000,000), which 11 corresponds to the amount permitted by the federal government under the state plan and set forth 12 in the administrative rulemaking process by the department. Liquid resources are defined as any 13 interest(s) in property in the form of cash or other financial instruments or accounts that are readily 14 convertible to cash or cash equivalents. These include, but are not limited to: cash, bank, credit 15 union, or other financial institution savings, checking, and money market accounts; certificates of 16 deposit or other time deposits; stocks; bonds; mutual funds; and other similar financial instruments 17 or accounts. These do not include educational savings accounts, plans, or programs; retirement 18 accounts, plans, or programs; or accounts held jointly with another adult, not including a spouse. 19 The department is authorized to promulgate rules and regulations to determine the ownership and 20 source of the funds in the joint account.

(d) As a condition of eligibility for childcare assistance under this chapter, the parent or caretaker relative of the family must consent to, and must cooperate with, the department in establishing paternity, and in establishing and/or enforcing child support and medical support orders for any children in the family receiving appropriate child care under this section in accordance with the applicable sections of title 15 of the state's general laws, as amended, unless the parent or caretaker relative is found to have good cause for refusing to comply with the requirements of this subsection.

(e) For purposes of this section, "appropriate child care" means child care, including infant,
toddler, pre-school, nursery school, and school-age, that is provided by a person or organization
qualified, approved, and authorized to provide the care by the state agency or agencies designated
to make the determinations in accordance with the provisions set forth herein.

(f)(1) Families with incomes below one hundred percent (100%) of the applicable federal
poverty level guidelines shall be provided with free child care. Families with incomes greater than
one hundred percent (100%) and less than one hundred eighty percent (180%) of the applicable

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federal poverty guideline shall be required to pay for some portion of the child care they receive,
 according to a sliding-fee scale adopted by the department in the department's rules.

3 (2) Families who are receiving childcare assistance and who become ineligible for 4 childcare assistance as a result of their incomes exceeding one hundred eighty percent (180%) of 5 the applicable federal poverty guidelines shall continue to be eligible for childcare assistance until 6 their incomes exceed two hundred twenty-five percent (225%) of the applicable federal poverty 7 guidelines. To be eligible, the families must continue to pay for some portion of the child care they 8 receive, as indicated in a sliding-fee scale adopted in the department's rules and in accordance with 9 all other eligibility standards.

(g) In determining the type of child care to be provided to a family, the department shall
take into account the cost of available childcare options; the suitability of the type of care available
for the child; and the parent's preference as to the type of child care.

(h) For purposes of this section, "income" for families receiving cash assistance under §
40-5.2-11 means gross, earned income and unearned income, subject to the income exclusions in
§§ 40-5.2-10(g)(2) and 40-5.2-10(g)(3), and income for other families shall mean gross, earned and
unearned income as determined by departmental regulations.

(i) The caseload estimating conference established by chapter 17 of title 35 shall forecast
the expenditures for child care in accordance with the provisions of § 35-17-1.

(j) In determining eligibility for childcare assistance for children of members of reserve components called to active duty during a time of conflict, the department shall freeze the family composition and the family income of the reserve component member as it was in the month prior to the month of leaving for active duty. This shall continue until the individual is officially discharged from active duty.

24

40-5.2-33. School-age children Child clothing allowance.

Subject to general assembly appropriation, one One month each year, each dependent
school age child as defined by the department of human services who lives in a family receiving
cash assistance under this chapter in that month shall be given a supplementary payment of no less
than one hundred dollars (\$100) for the purchase of clothing in accordance with Title IV-A of the
Social Security Act, 42 U.S.C. § 601 et seq.
SECTION 7. Sections 40-6.2-1.1 of the General Laws in Chapter 40-6.2 entitled "Child
Care – State Subsidies" is hereby amended to read as follows:

- 32 **40-6.2-1.1. Rates established.**
- 33 (a) Through June 30, 2015, subject to the payment limitations in subsection (c), the
- 34 maximum reimbursement rates to be paid by the departments of human services and children, youth

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and families for licensed childcare centers and licensed family childcare providers shall be based
on the following schedule of the 75th percentile of the 2002 weekly market rates adjusted for the
average of the 75th percentile of the 2002 and the 2004 weekly market rates:

4	LICENSED CHILDCARE CENTERS	75th PERCENTILE OF WEEKLY
5		MARKET RATE
6	INFANT	\$182.00
7	PRESCHOOL	\$150.00
8	SCHOOL-AGE	\$135.00
9	LICENSED FAMILY CHILDCARE	75th PERCENTILE OF WEEKLY
10	PROVIDERS	MARKET RATE
11	INFANT	\$150.00
12	PRESCHOOL	\$150.00
13	SCHOOL-AGE	\$135.00

14 Effective July 1, 2015, subject to the payment limitations in subsection (c), the maximum 15 reimbursement rates to be paid by the departments of human services and children, youth and 16 families for licensed childcare centers and licensed family childcare providers shall be based on the 17 above schedule of the 75th percentile of the 2002 weekly market rates adjusted for the average of 18 the 75th percentile of the 2002 and the 2004 weekly market rates. These rates shall be increased by 19 ten dollars (\$10.00) per week for infant/toddler care provided by licensed family childcare 20 providers and license-exempt providers and then the rates for all providers for all age groups shall 21 be increased by three percent (3%). For the fiscal year ending June 30, 2018, licensed childcare 22 centers shall be reimbursed a maximum weekly rate of one hundred ninety-three dollars and sixtyfour cents (\$193.64) for infant/toddler care and one hundred sixty-one dollars and seventy-one 23 24 cents (\$161.71) for preschool-age children.

(b) Effective July 1, 2018, subject to the payment limitations in subsection (c), the maximum infant/toddler and preschool-age reimbursement rates to be paid by the departments of human services and children, youth and families for licensed childcare centers shall be implemented in a tiered manner, reflective of the quality rating the provider has achieved within the state's quality rating system outlined in § 42-12-23.1.

(1) For infant/toddler child care, tier one shall be reimbursed two and one-half percent
(2.5%) above the FY 2018 weekly amount, tier two shall be reimbursed five percent (5%) above
the FY 2018 weekly amount, tier three shall be reimbursed thirteen percent (13%) above the FY
2018 weekly amount, tier four shall be reimbursed twenty percent (20%) above the FY 2018 weekly
amount, and tier five shall be reimbursed thirty-three percent (33%) above the FY 2018 weekly

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1 amount.

(2) For preschool reimbursement rates, tier one shall be reimbursed two and one-half
(2.5%) percent above the FY 2018 weekly amount, tier two shall be reimbursed five percent (5%)
above the FY 2018 weekly amount, tier three shall be reimbursed ten percent (10%) above the FY
2018 weekly amount, tier four shall be reimbursed thirteen percent (13%) above the FY 2018
weekly amount, and tier five shall be reimbursed twenty-one percent (21%) above the FY 2018
weekly amount.

8

(c) [Deleted by P.L. 2019, ch. 88, art. 13, § 4.]

9 (d) By June 30, 2004, and biennially through June 30, 2014, the department of labor and 10 training shall conduct an independent survey or certify an independent survey of the then-current 11 weekly market rates for child care in Rhode Island and shall forward the weekly market rate survey 12 to the department of human services. The next survey shall be conducted by June 30, 2016, and 13 triennially thereafter. The departments of human services and labor and training will jointly 14 determine the survey criteria including, but not limited to, rate categories and sub-categories.

(e) In order to expand the accessibility and availability of quality child care, the department
 of human services is authorized to establish, by regulation, alternative or incentive rates of
 reimbursement for quality enhancements, innovative or specialized child care, and alternative
 methodologies of childcare delivery, including nontraditional delivery systems and collaborations.
 (f) Effective January 1, 2007, all childcare providers have the option to be paid every two

20 (2) weeks and have the option of automatic direct deposit and/or electronic funds transfer of21 reimbursement payments.

22 (g) Effective July 1, 2019, the maximum infant/toddler reimbursement rates to be paid by 23 the departments of human services and children, youth and families for licensed family childcare 24 providers shall be implemented in a tiered manner, reflective of the quality rating the provider has 25 achieved within the state's quality rating system outlined in § 42-12-23.1. Tier one shall be 26 reimbursed two percent (2%) above the prevailing base rate for step 1 and step 2 providers, three 27 percent (3%) above prevailing base rate for step 3 providers, and four percent (4%) above the 28 prevailing base rate for step 4 providers; tier two shall be reimbursed five percent (5%) above the 29 prevailing base rate; tier three shall be reimbursed eleven percent (11%) above the prevailing base 30 rate; tier four shall be reimbursed fourteen percent (14%) above the prevailing base rate; and tier 31 five shall be reimbursed twenty-three percent (23%) above the prevailing base rate.

(h) Through December 31, 2021, the maximum reimbursement rates paid by the
 departments of human services, and children, youth and families to licensed childcare centers shall

34 <u>be consistent with the enhanced emergency rates provided as of June 1, 2021 as follows:</u>

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1		Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
2	Infant/Toddler	\$257.54	\$257.54	\$257.54	\$257.54	\$273.00
3	Pre-school Age	\$195.67	\$195.67	\$195.67	\$195.67	\$260.00
4	School Age	\$200.00	\$200.00	\$200.00	\$200.00	\$245.00
5	The maximum reir	nbursement r	ates paid by	the department	nts of huma	n services, and
6	children, youth and familie	s to licensed	family childe	are providers	shall be con	sistent with the
7	enhanced emergency rates p	provided as of	June 1, 2021	<u>as follows:</u>		
8		Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
9	Infant/Toddler	\$224.43	\$224.43	\$224.43	\$224.43	\$224.43
10	Pre-school Age	\$171.45	\$171.45	\$171.45	\$171.45	\$171.45
11	School Age	\$162.30	\$162.30	\$162.30	\$162.30	\$162.30
12	(i) Effective July 1,	2022, the max	timum reimbu	rsement rates t	to be paid by	the departments
13	of human services and ch	ildren, youth	and families	s for licensed	childcare o	centers shall be
14	implemented in a tiered ma	nner, reflectiv	ve of the qual	ity rating the p	provider has	achieved within
15	the state's quality rating s	ystem outline	ed in § 42-1	2-23.1. Maxir	num weekly	rates shall be
16	reimbursed as follows:					
17	LICENSED CHILD	DCARE				
18	<u>CENTERS</u>	Tier One	Tier Two	Tier Three	Tier Four	Tier Five
19	Infant/Toddler	\$236.36	\$244.88	\$257.15	\$268.74	\$284.39
20	Preschool	\$207.51	\$212.27	\$218.45	\$223.50	\$231.39
21	School-Age	\$180.38	\$182.77	\$185.17	\$187.57	\$189.97
22	The maximum rein	nbursement ra	ttes for licens	ed family chil	dcare provid	lers paid by the
23	departments of human servi	ces, and child	ren, youth and	d families is d	etermined th	rough collective
24	bargaining. The maximum 1	eimbursemen	t rates for infa	ant/toddler and	preschool a	ge children paid
25	to licensed family childcare	providers by	both departme	ents is implem	ented in a tie	ered manner that
26	reflects the quality rating the	e provider has	achieved in a	accordance wit	<u>h § 42-12-23</u>	<u>3.1.</u>
27	SECTION 8. Sectio	ons 42-56-20.2	2, 42-56-24 an	d 42-56-38 of	the General	Laws in Chapter
28	42-56 entitled "Corrections	Department"	are hereby am	ended to read	as follows:	
29	<u>42-56-20.2. Comm</u>	unity confine	ment.			
30	(a) Persons subject	to this section	n. Every perso	on who shall h	ave been ad	judged guilty of
31	any crime after trial before	a judge, a ju	dge and jury	, or before a s	ingle judge	entertaining the
32	person's plea of nolo conte	ndere or guilt	ty to an offen	use ("adjudged	person"), an	nd every person
33	sentenced to imprisonment	in the adult	correctional i	nstitutions ("s	entenced per	rson") including
34	those sentenced or impriso	ned for civil	contempt, an	d every perso	n awaiting t	rial at the adult
			Art13			

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- correctional institutions ("detained person") who meets the criteria set forth in this section shall be
 subject to the terms of this section except:
- 3 (1) Any person who is unable to demonstrate that a permanent place of residence ("eligible
 4 residence") within this state is available to that person; or
- (2) Any person who is unable to demonstrate that he or she will be regularly employed, or
 enrolled in an educational or vocational training program within this state, and within thirty (30)
 days following the institution of community confinement; or

8 (3)(i) Any adjudged person or sentenced person or detained person who has been 9 convicted, within the five (5) years next preceding the date of the offense for which he or she is 10 currently so adjudged or sentenced or detained, of a violent felony.

A "violent felony" as used in this section shall mean any one of the following crimes or an attempt to commit that crime: murder, manslaughter, sexual assault, mayhem, robbery, burglary, assault with a dangerous weapon, assault or battery involving serious bodily injury, arson, breaking and entering into a dwelling, child molestation, kidnapping, DWI resulting in death or serious injury, driving to endanger resulting in death or serious injury; or

(ii) Any person currently adjudged guilty of or sentenced for or detained on any capitalfelony; or

(iii) Any person currently adjudged guilty of or sentenced for or detained on a felonyoffense involving the use of force or violence against a person or persons.

20 These shall include, but are not limited to, those offenses listed in subsection (a)(3)(i) of 21 this section; or

(iv) Any person currently adjudged guilty, sentenced, or detained for the sale, delivery, or
possession with intent to deliver a controlled substance in violation of § 21-28-4.01(a)(4)(i) or
possession of a certain enumerated quantity of a controlled substance in violation of §§ 21-284.01.1 or 21-28-4.01.2; or

26 (v) Any person currently adjudged guilty of, or sentenced for, or detained on an offense
27 involving the illegal possession of a firearm.

(b) Findings prior to sentencing to community confinement. In the case of adjudged persons, if the judge intends to impose a sentence of community confinement, he or she shall first make specific findings, based on evidence regarding the nature and circumstances of the offense and the personal history, character, record, and propensities of the defendant which are relevant to the sentencing determination, and these findings shall be placed on the record at the time of sentencing. These findings shall include, but are not limited to:

34

(1) A finding that the person does not demonstrate a pattern of behavior indicating a

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- 1 propensity for violent behavior;
- 2 (2) A finding that the person meets each of the eligibility criteria set forth in subsection (a);
- 3 (3) A finding that simple probation is not an appropriate sentence;
- 4 (4) A finding that the interest of justice requires, for specific reasons, a sentence of non5 institutional confinement; and
- 6 (5) A finding that the person will not pose a risk to public safety if placed in community7 confinement.
- 8 The facts supporting these findings shall be placed on the record and shall be subject to 9 review on appeal.
- 10

(c) Community confinement.

11 (1) There shall be established within the department of corrections, a community 12 confinement program to serve that number of adjudged persons, sentenced persons, and detainees, 13 that the director of the department of corrections ("director") shall determine on or before July 1 of 14 each year. Immediately upon that determination, the director shall notify the presiding justice of 15 the superior court of the number of adjudged persons, sentenced persons, and detainees that can be 16 accommodated in the community confinement program for the succeeding twelve (12) months. 17 One-half (1/2) of all persons sentenced to community confinement shall be adjudged persons, and 18 the balance shall be detainees and sentenced persons. The director shall provide to the presiding 19 justice of the superior court and the family court on the first day of each month a report to set forth 20 the number of adjudged persons, sentenced persons, and detainees participating in the community 21 confinement program as of each reporting date. Notwithstanding any other provision of this section, 22 if on April 1 of any fiscal year less than one-half (1/2) of all persons sentenced to community 23 confinement shall be adjudged persons, then those available positions in the community 24 confinement program may be filled by sentenced persons or detainees in accordance with the 25 procedures set forth in subsection (c)(2) of this section.

26 (2) In the case of inmates other than those classified to community confinement under 27 subsection (h) of this section, the director may make written application ("application") to the 28 sentencing judge for an order ("order") directing that a sentenced person or detainee be confined 29 within an eligible residence for a period of time, which in the case of a sentenced person, shall not 30 exceed the term of imprisonment. This application and order shall contain a recommendation for a 31 program of supervision and shall contain the findings set forth in subsections (b)(1), (b)(2), (b)(3), 32 (b)(4), and (b)(5) of this section and facts supporting these findings. The application and order may 33 contain a recommendation for the use of electronic surveillance or monitoring devices. The hearing 34 on this application shall be held within ten (10) business days following the filing of this

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application. If the sentencing judge is unavailable to hear and consider the application the presiding
 justice of the superior court shall designate another judge to do so.

3 (3) In lieu of any sentence that may be otherwise imposed upon any person subject to this
4 section, the sentencing judge may cause an adjudged person to be confined within an eligible
5 residence for a period of time not to exceed the term of imprisonment otherwise authorized by the
6 statute the adjudged person has been adjudged guilty of violating.

7 (4) With authorization by the sentencing judge, or, in the case of sentenced persons 8 classified to community confinement under subsection (h) of this section by the director of 9 corrections, or in accordance with the order, persons confined under the provisions of this chapter 10 may be permitted to exit the eligible residence in order to travel directly to and from their place of 11 employment or education or training and may be confined in other terms or conditions consistent 12 with the basic needs of that person that justice may demand, including the right to exit the eligible 13 residence to which that person is confined for certain enumerated purposes such as religious 14 observation, medical and dental treatment, participation in an education or vocational training 15 program, and counseling, all as set forth in the order.

16 (d) Administration.

(1) Community confinement. The supervision of persons confined under the provisions ofthis chapter shall be conducted by the director, or his or her designee.

19 (2) Intense surveillance. The application and order shall prescribe a program of intense 20 surveillance and supervision by the department of corrections. Persons confined under the 21 provisions of this section shall be subject to searches of their persons or of their property when 22 deemed necessary by the director, or his or her designee, in order to ensure the safety of the 23 community, supervisory personnel, the safety and welfare of that person, and/or to ensure 24 compliance with the terms of that person's program of community confinement; provided, however, 25 that no surveillance, monitoring or search shall be done at manifestly unreasonable times or places 26 nor in a manner or by means that would be manifestly unreasonable under the circumstances then 27 present.

(3) The use of any electronic surveillance or monitoring device which is affixed to the body
of the person subject to supervision is expressly prohibited unless set forth in the application and
order or, in the case of sentenced persons classified to community confinement under subsection
(h), otherwise authorized by the director of corrections.

32 (4) Regulatory authority. The director shall have full power and authority to enforce any
33 of the provisions of this section by regulation, subject to the provisions of the Administrative
34 Procedures Act, chapter 35 of title 42. Notwithstanding any provision to the contrary, the

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department of corrections may contract with private agencies to carry out the provisions of this section. The civil liability of those agencies and their employees, acting within the scope of their employment, and carrying out the provisions of this section, shall be limited in the same manner and dollar amount as if they were agencies or employees of the state.

5 (e) Violations. Any person confined pursuant to the provisions of this section, who is found to be a violator of any of the terms and conditions imposed upon him or her according to the order, 6 7 or in the case of sentenced persons classified to community confinement under subsection (h), 8 otherwise authorized by the director of corrections, this section, or any rules, regulations, or 9 restrictions issued pursuant hereto shall serve the balance of his or her sentence in a classification 10 deemed appropriate by the director. If that conduct constitutes a violation of § 11-25-2, the person, 11 upon conviction, shall be subject to an additional term of imprisonment of not less than one year 12 and not more than twenty (20) years. However, it shall be a defense to any alleged violation that 13 the person was at the time of the violation acting out of a necessary response to an emergency 14 situation. An "emergency situation" shall be construed to mean the avoidance by the defendant of 15 death or of substantial personal injury, as defined above, to him or herself or to others.

16 (f) Costs. Each person confined according to this section shall reimburse the state for the 17 costs or a reasonable portion thereof incurred by the state relating to the community confinement 18 of those persons. Costs shall be initially imposed by the sentencing judge or in the order and shall 19 be assessed by the director prior to the expiration of that person's sentence. Once assessed, those 20 costs shall become a lawful debt due and owing to the state by that person. Monies received under 21 this section shall be deposited as general funds.

(g) Severability. Every word, phrase, clause, section, subsection, and any of the provisions of this section are hereby declared to be severable from the whole, and a declaration of unenforceability or unconstitutionality of any portion of this section, by a judicial court of competent jurisdiction, shall not affect the portions remaining.

26 (h) Sentenced persons approaching release. Notwithstanding the provisions set forth within 27 this section, any sentenced person committed under the direct care, custody, and control of the adult 28 correctional institutions, who is within six (6) months one (1) year of the projected good time 29 release date, provided that the person shall have completed at least one-half (1/2) of the full term 30 of incarceration, or any person who is sentenced to a term of six (6) months or less of incarceration, 31 provided that the person shall have completed at least three fourths (3/4) one-half (1/2) of the term 32 of incarceration, may in the discretion of the director of corrections be classified to community 33 confinement. This provision shall not apply to any person whose current sentence was imposed 34 upon conviction of murder, first degree sexual assault or first degree child molestation.

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(i) Notification to police departments. The director, or his or her designee, shall notify the 1 2 appropriate police department when a sentenced, adjudged or detained person has been placed into 3 community confinement within that department's jurisdiction. That notice will include the nature 4 of the offense and the express terms and conditions of that person's confinement. That notice shall 5 also be given to the appropriate police department when a person in community confinement within that department's jurisdiction is placed in escape status. 6

7

(j) No incarceration credit for persons awaiting trial. No detainee shall be given 8 incarceration credit by the director for time spent in community confinement while awaiting trial.

9 (k) No confinement in college or university housing facilities. Notwithstanding any provision of the general laws to the contrary, no person eligible for community confinement shall 10 11 be placed in any college or university housing facility, including, but not limited to, dormitories, 12 fraternities or sororities. College or university housing facilities shall not be considered an "eligible 13 residence" for "community confinement."

14 (1) A sentencing judge shall have authority to waive overnight stay or incarceration at the 15 adult correctional institution after the sentencing of community confinement. Such a waiver shall 16 be binding upon the adult correctional institution and the staff thereof, including, but not limited to 17 the community confinement program.

18

42-56-24. Earned time for good behavior or program participation or completion.

19 (a) A person serving a sentence of a violation of §§ 11-5-1 (where the specified felony is 20 murder), 11-23-1, 11-26-1.4, 11-37-2, 11-37-8.1 or 11-37-8.3 shall not be eligible to earn time off 21 their term or terms of incarceration for good behavior.

22 (b) The director, or his or her designee, shall keep a record of the conduct of each prisoner, 23 and for each month that a prisoner who has been sentenced to imprisonment for six (6) months or 24 more and not under sentence to imprisonment for life, appears by the record to have faithfully 25 observed all the rules and requirements of the institutions and not to have been subjected to discipline, and is serving a sentence imposed for violation of sexual offenses under §§ 11-37-4, 11-26 37-6, 11-37-8 or 11-9-1.3 there shall, with the consent of the director of the department of 27 corrections, or his or her designee, upon recommendation to him or her by the assistant director of 28 29 institutions/operations, be deducted from the term or terms of sentence of that prisoner the same 30 number of days that there are years in the term of his or her sentence; provided, that when the 31 sentence is for a longer term than ten (10) years, only ten (10) days shall be deducted for one 32 month's good behavior; and provided, further, that in the case of sentences of at least six (6) months and less than one year, one day per month shall be deducted. 33

34

For the purposes of this subsection computing the number of days to be deducted for good

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behavior, consecutive sentences shall be counted as a whole sentence. This subsection recognizes
the serious nature of sex offenses; promotes community safety and protection of the public; and
maintains the ability of the department of corrections to oversee the rehabilitation and supervision
of sex offenders.

5 (c) For all prisoners serving sentences of more than one month, and not serving a sentence of imprisonment for life or a sentence imposed for a violation of the offenses identified in 6 7 subsection (a) or (b) the director, or his or her designee, shall keep a record of the conduct of each 8 prisoner, and for each month that prisoner has faithfully observed all the rules and requirements of 9 the institutions and has not been subjected to discipline, there shall, with the consent of the director 10 of the department of corrections or his or her designee and upon recommendation by the assistant 11 director of institutions/operations, be deducted from the term or terms of sentence of that prisoner 12 ten (10) days for each month's good behavior.

(d) For every day a prisoner shall be shut up or otherwise disciplined for bad conduct, as
determined by the assistant director, institutions/operations, subject to the authority of the director,
there shall be deducted one day from the time he or she shall have gained for good conduct.

(e) The assistant director, or his or her designee, subject to the authority of the director,
shall have the power to restore lost good conduct time in whole or in part upon a showing by the
prisoner of subsequent good behavior and disposition to reform.

(f) For each month that a prisoner who has been sentenced to imprisonment for more than one month and not under sentence to imprisonment for life who has faithfully engaged in institutional industries there shall, with the consent of the director, upon the recommendations to him or her by the assistant director, institutions/operations, be deducted from the term or terms of the prisoner an additional two (2) days a month.

24 (g) Except those prisoners serving a sentence imposed for violation of subsection (a) or (b), 25 for each month that a prisoner who has been sentenced to imprisonment for more than one month and not under sentence to imprisonment for life has participated faithfully in programs that have 26 27 been determined by the director or his/her designee to address that prisoner's individual needs that 28 are related to his/her criminal behavior, there may, with the consent of the director and upon the 29 recommendation of the assistant director, rehabilitative services, be deducted from the term or 30 terms of the prisoner up to an additional five (5) days a month. Furthermore, whenever the prisoner 31 has successfully completed such program, they may; with the consent of the director and upon the 32 recommendation by the assistant director, rehabilitative services, be deducted from the term or 33 terms of the prisoner up to an additional thirty (30) days.

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(h) A person who is serving a term or terms of a probation sentence of one year or

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1 longer, including a person who has served a term of incarceration followed by a probation 2 sentence, except those serving a term of probation for a sentence in violation of §§ 11-5-1 (where 3 the specified felony is murder or sexual assault), 11-23-1, 11-26-1.4, 11-37-2, 11-37-8.1 or 11-37-4 8.3 shall upon serving three years of their probation sentence be eligible to earn time off their term 5 or terms of the probation sentence for compliance with court-ordered terms and conditions of probation. Calculation of these credits shall commence upon the probationer's completion 6 7 of all terms of incarceration. 8 (i) The director, or his or her designee, shall keep a record of the conduct of each 9 probationer. For each month that the probationer has not had a judicial finding of a violation of 10 conditions of probation, there shall, with the consent of the director of the department of corrections, or designee, upon recommendation of the assistant director of 11 12 institutions/operations, or designee, be deducted from the term or terms of the probationer's 13 sentence (10) ten days for each month's compliance with the terms and conditions of their 14 probation. 15 (ii) For each month that a violation of probation is pending the probationer shall not be 16 eligible to earn probation compliance credits. In the event there is a judicial determination that the

17 probationer did not violate his or her terms and conditions of probation, credit will be awarded 18 retroactive to the date of the filing of the probation violation. In the event there is a judicial 19 determination that the probationer did violate his or her terms and conditions of 20 probation, the probationer shall not be awarded compliance credits for the time during which the 21 violation was pending, and further, the court may order revocation of prior 22 earned compliance credits.

(iii) The probation department of the department of corrections shall keep a record of the
 probationer's sentence to include the person's end of sentence date based on earned credits for

- 25 <u>compliance with their terms and conditions of probation.</u>
- 26 (iv) This section shall apply to all individuals sentenced to probation, including those
 27 sentenced prior to enactment of the statute. However, the award of probation compliance
- 28 credits shall be prospective only from the date of enactment of the statute.
- 29 **42-56-38. Assessment of costs.**

(a) Each sentenced offender committed to the care, custody or control of the department of
corrections shall reimburse the state for the cost or the reasonable portion of the cost incurred by
the state relating to that commitment; provided, however, that a person committed, awaiting trial
and not convicted, shall not be liable for the reimbursement. Items of cost shall include physical
services and commodities such as food, medical, clothing and specialized housing, as well as social

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1 services such as specialized supervision and counseling. Costs shall be assessed by the director of 2 corrections, or his or her designee, based upon each person's ability to pay, following a public 3 hearing of proposed fee schedules. Each offender's family income and number of dependents shall 4 be among the factors taken into consideration when determining ability to pay. Moneys received 5 under this section shall be deposited as general revenues. The director shall promulgate rules and 6 regulations necessary to carry out the provisions of this section. The rules and regulations shall 7 provide that the financial situation of persons, financially dependent on the person, be considered 8 prior to the determination of the amount of reimbursement. This section shall not be effective until 9 the date the rules and regulations are filed with the office of the secretary of state.

(b) Notwithstanding the provision of subsection (a), or any rule or regulation promulgated
by the director, any sentenced offender who is ordered or directed to the work release program,
shall pay no less than thirty percent (30%) of his or her gross net salary for room and board.

13 SECTION 9. This article shall take effect upon passage.

14

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1	ARTICLE 14
2	RELATING TO HOUSING
3	SECTION 1. Chapter 42-51 of the General Laws entitled "Governor's Commission on
4	Disabilities" is hereby amended by adding thereto the following section:
5	42-51-13. Livable home modification program.
6	(a) There is hereby established the livable home modification program for home
7	modification and accessibility enhancements to construct, retrofit, and/or renovate residences to
8	allow individuals with significant disabilities to remain in community settings.
9	(b) Any eligible resident who retrofits or hires an individual to retrofit an existing
10	residence; provided that, such retrofitting meets the qualification criteria and guidelines as
11	established by the commission, shall be eligible for a livable home modification grant of fifty
12	percent (50%) of the total amount spent, not to exceed an amount annually appropriated by the
13	commission in accordance with § 35-3-24.
14	(c) The commission is authorized and directed to issue regulations regarding:
15	(1) Income eligibility and other qualifications for a grant;
16	(2) Application guidelines;
17	(3) The maximum reimbursement;
18	(4) Filing claims for reimbursement; and
19	(5) Appeal procedures for applicants who are determined to be ineligible.
20	(d) By August 15 of each year, the commission shall submit an annual report to the
21	governor, speaker of the house, senate president and chairpersons of the house and senate finance
22	committees for the period from July 1 to June 30 on the actual;
23	(1) Number of grants issued to qualifying individuals;
24	(2) Number of applications which did not qualify;
25	(3) Total dollar amount of grants issued;
26	(4) Average dollar amount of the grants issued;
27	(5) Number of retrofits by accessibility features; and
28	(6) Prognosis for the individual if the retrofit had not been made which shall determine:
29	(i) Increased likelihood of falls and other related emergency room, hospital and/or
• •	

30 <u>rehabilitation expenses;</u>

1 <u>(ii) Loss of independence; and</u>

2 (iii) Move into a long-term care facility. 3 SECTION 2. Section 42-64.19-3 of the General Laws in Chapter 42-64.19 entitled 4 "Executive Office of Commerce" is hereby amended to read as follows: 5 42-64.19-3. Executive office of commerce. (a) There is hereby established within the executive branch of state government an 6 7 executive office of commerce effective February 1, 2015, to serve as the principal agency of the 8 executive branch of state government for managing the promotion of commerce and the economy 9 within the state and shall have the following powers and duties in accordance with the following 10 schedule: 11 (1) On or about February 1, 2015, to operate functions from the department of business 12 regulation; 13 (2) On or about April 1, 2015, to operate various divisions and functions from the 14 department of administration; 15 (3) On or before September 1, 2015, to provide to the Senate and the House of 16 Representatives a comprehensive study and review of the roles, functions, and programs of the 17 department of administration and the department of labor and training to devise recommendations 18 and a business plan for the integration of these entities with the office of the secretary of commerce. 19 The governor may include such recommendations in the Fiscal Year 2017 budget proposal-; and 20 (4) On or before July 1, 2021, to provide for the hiring of a deputy secretary of commerce 21 and housing, who shall report directly to the secretary of commerce. The deputy secretary of 22 commerce and housing shall: 23 (i) Prior to hiring, have completed and earned a minimum of a master's graduate degree in 24 the field of urban planning, economics, or a related field of study or possess a juris doctor law 25 degree. Preference shall be provided to candidates having earned an advanced degree consisting of 26 an L.L.M. Law degree or Ph.D in urban planning or economics. Qualified candidates must have 27 documented five (5) years full-time experience employed in the administration of housing policy 28 and/or development. 29 (ii) Be responsible for overseeing all housing initiatives in the state of Rhode Island and 30 developing a housing plan, including, but not limited to, the development of affordable housing 31 opportunities to assist in building strong community efforts and revitalizing neighborhoods; 32 (iii) Coordinate with all agencies directly related to any housing initiatives including, but 33 not limited to, the Rhode Island housing and mortgage finance corporation, coastal resources management council (CRMC), and state departments including, but not limited to, the department 34

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1	of environmental management (DEM), the department of business regulation (DBR), the
2	department of transportation (DOT) and statewide planning; and
3	(iv) Coordinate with the housing resources commission to formulate an integrated housing
4	report to include findings and recommendations to the governor, speaker of the house, senate
5	president, each chambers' finance committee, and any committee whose purview is reasonably
6	related, including, but not limited to, issues of housing, municipal government, and health on or
7	before December 31, 2021, and annually thereafter which report shall include, but not be limited
8	to, the following:
9	(A) The total number housing units in the state with per community counts, including the
10	number of Americans with Disabilities Act compliant special needs units.
11	(B) The occupancy and vacancy rate of the units referenced in (A).
12	(C) The change in the number of units referenced in (A), for each of the prior three (3)
13	years in figures and as a percentage.
14	(D) The number of net new units in development and number of units completed since the
15	prior report.
16	(E) For each municipality the number of single family, two (2) family, and three (3) family
17	units, and multi-unit housing delineated sufficiently to provide the lay reader a useful description
18	of current conditions, including a statewide sum of each unit type.
19	(F) The total number of units by income type.
20	(G) A projection of the number of status quo units.
21	(H) A projection of the number of units required to meet housing formation trends.
22	(I) A comparison of regional and other similarly situated state funding sources which
23	support housing development including a percentage of private, federal, and public support.
24	(J) A reporting of unit types by number of bedrooms for rental properties including an
25	accounting of all:
26	(I) Single family units;
27	(II) Accessory dwelling units;
28	(III) Two (2) family units;
29	(IV) Three (3) family units;
30	(V) Multi-unit sufficiently delineated units;
31	(VI) Mixed use sufficiently delineated units; and
32	(VII) Occupancy and Vacancy rates for the prior three (3) years.
33	(K) A reporting of unit types by ownership including an accounting of all:
34	(I) Single family units;

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1	(II) Accessory dwelling units;
2	(III) Two (2) family units;
3	(IV) Three (3) family units;
4	(V) Multi-unit sufficiently delineated units;
5	(VI) Mixed use sufficiently delineated units; and
6	(VII) Occupancy and Vacancy rates for the prior three (3) years.
7	(L) A reporting of the number of applications submitted or filed for each community
8	according to unit type and an accounting of action taken with respect to each application to include,
9	approved, denied, appealed, approved upon appeal, and if approved, the justification for each
10	approval.
10	(M) A reporting of permits for each community according to affordability level that were
12	sought, approved, denied, appealed, approved upon appeal, and if approved, the justification for
13	each approval.
14	(N) A reporting of affordability by municipality which shall include the following:
15	(I) The percent and number of units of extremely low, very low, low, moderate, fair market
16	rate, and above market rate units; including the average and median costs of those units.
17	(II) The percent and number of units of extremely low, very low, low and moderate income
18	housing units required to satisfy the ten percent (10%) requirement pursuant to chapter 24 of title
19	45; including the average and median costs of those units.
20	(III) The percent and number of units for the affordability levels above moderate income
21	housing, including a comparison to fair market rent and fair market homeownership; including the
22	average and median costs of those units.
23	(IV) The percentage of cost burden by municipality with population equivalent.
24	(V) The percentage and number of home financing sources, including all private, federal,
25	state, or other public support.
26	(VI) The cost growth for each of the previous five (5) years by unit type at each
27	affordability level, by unit type.
28	(O) A reporting of municipal healthy housing stock by unit type and number of bedrooms
29	and provide an assessment of the state's existing housing stock and enumerate any risks to the public
30	health from that housing stock, including, but not limited to, the presence of lead, mold, safe
31	drinking water, disease vectors (insects and vermin), and other conditions which are an identifiable
32	health detriment. Additionally, the report shall provide the percentage of the prevalence of health
33	risks by age of the stock for each community by unit type and number of bedrooms.
34	(P) A recommendation shall be included with the report required under this section which

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1	shall provide consideration to any and all populations, ethnicities, income levels, and other relevant
2	demographic criteria determined by the deputy secretary, and with regard to any and all of the
3	criteria enumerated elsewhere in the report separately or in combination, provide recommendations
4	to resolve any issues which provide an impediment to the development of housing, including
5	specific data and evidence in support for the recommendation. All data and methodologies used to
6	present evidence are subject to review and approval of the chief of revenue analysis, and that
7	approval shall include an attestation of approval by the chief to be included in the report.
8	(b) In this capacity, the office shall:
9	(1) Lead or assist state departments and coordinate business permitting processes in order
10	to:
11	(i) Improve the economy, efficiency, coordination, and quality of the business climate in
12	the state;
13	(ii) Design strategies and implement best practices that foster economic development and
14	growth of the state's economy;
15	(iii) Maximize and leverage funds from all available public and private sources, including
16	federal financial participation, grants and awards;
17	(iv) Increase public confidence by conducting customer centric operations whereby
18	commercial enterprise are supported and provided programs and services that will grow and nurture
19	the Rhode Island economy; and
20	(v) Be the state's lead agency for economic development.
21	(2) Provide oversight and coordination of all housing initiatives in the state of Rhode
22	Island.
23	(c) The office shall include the office of regulatory reform and other administration
24	(c) The office shall metade the office of regulatory reform and other administration
	functions which promote, enhance or regulate various service and functions in order to promote the
25	
25 26	functions which promote, enhance or regulate various service and functions in order to promote the
	functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state.
26	functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of
26 27	functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of 1998" is hereby amended by adding thereto the following sections:
26 27 28	<pre>functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of 1998" is hereby amended by adding thereto the following sections: <u>42-128-2.1. Housing Production Fund.</u></pre>
26 27 28 29	 functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of 1998" is hereby amended by adding thereto the following sections: <u>42-128-2.1. Housing Production Fund.</u> (a) There is hereby established a restricted receipt account within the general fund of the
26 27 28 29 30	 functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of 1998" is hereby amended by adding thereto the following sections: <u>42-128-2.1. Housing Production Fund.</u> (a) There is hereby established a restricted receipt account within the general fund of the state, to be known as the housing production fund. Funds from this account shall be administered
26 27 28 29 30 31	functions which promote, enhance or regulate various service and functions in order to promote the reform and improvement of the regulatory function of the state. SECTION 3. Chapter 42-128 of the General Laws entitled "Housing Resources Act of 1998" is hereby amended by adding thereto the following sections: 42-128-2.1. Housing Production Fund. (a) There is hereby established a restricted receipt account within the general fund of the state, to be known as the housing production fund. Funds from this account shall be administered by the Rhode Island housing and mortgage finance corporation, subject to program and reporting

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- 1 preservation of affordable housing in Rhode Island for households earning not more than eighty
- 2 percent (80%) of area median income; and
- 3 (2) Technical and financial assistance for cities and towns to support increased local
- 4 housing production, including by reducing regulatory barriers and through the housing incentives
- 5 for municipalities program; and
- 6 (b) In administering the housing production fund, the Rhode Island housing and mortgage
- 7 finance corporation shall give priority to households either exiting homelessness or earning not
- 8 more than thirty percent (30%) of area median income.
- 9 SECTION 4. Sections 42-128-2 and 42-128-11 Chapter 42-128 of the General Laws
 10 entitled "Housing Resources Act of 1998" are hereby amended to read as follows.
- 11
 - 42-128-2. Rhode Island housing resources agency created.
- 12 There is created within the executive department a housing resources agency with the 13 following purposes, organization, and powers:
- 14 (1) Purposes:
- (i) To provide coherence to the housing programs of the state of Rhode Island and itsdepartments, agencies, commissions, corporations, and subdivisions.
- (ii) To provide for the integration and coordination of the activities of the Rhode Islandhousing and mortgage finance corporation and the Rhode Island housing resources commission.
- 19 (2) Coordinating committee Created Purposes and powers:
- (i) The coordinating committee of the housing resources agency shall be comprised of the
 chairperson of the Rhode Island housing and mortgage finance corporations; the chairperson of the
 Rhode Island housing resources commission; the director of the department of administration, or
 the designee of the director; and the executive director of the Rhode Island housing and mortgage
 finance corporation. The chairperson of the Rhode Island housing resources commission shall be
 chairperson of the coordinating committee.
- 26
- (ii) The coordinating committee shall:

27 (a) develop and shall implement, with the approval of the Rhode Island housing and 28 mortgage finance corporation and the Rhode Island housing resources commission, a memorandum 29 of agreement describing the fiscal and operational relationship between the Rhode Island housing 30 and mortgage finance corporation and the Rhode Island housing resources commission and shall 31 define which programs of federal assistance will be applied for on behalf of the state by the Rhode 32 Island housing and mortgage finance corporation and the Rhode Island housing resources 33 commission.

34

(b) is authorized and empowered to negotiate and to enter into contracts and cooperative

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agreements with agencies and political subdivisions of the state, not-for-profit corporations, for
 profit corporations, and other partnerships, associations and persons for any lawful purpose
 necessary and desirable to effect the purposes of this chapter, subject to the provisions of chapter 2
 of title 37 as applicable.

5 (3) There is hereby established a restricted receipt account within the general fund of the 6 state. Funds from this account shall be used to provide for <u>housing and homelessness initiatives</u> 7 <u>including housing production</u>, the lead hazard abatement <u>program</u>, housing rental subsidy, <u>housing</u> 8 <u>retention assistance</u>, and <u>homelessness services and prevention assistance</u> with priority given to 9 <u>homeless veterans and homeless prevention assistance and housing retention assistance</u> with 10 priority to veterans.

11

<u>42-128-11. Executive director – Employees.</u>

12 The commission governor shall appoint from qualified candidates, with the advice of the 13 coordinating committee, an executive director, who shall not be subject to the provisions of chapter 14 4 of title 36, and who shall serve as the state housing commissioner and may also serve in the 15 executive office of commerce as the deputy secretary of housing. The commission shall set the 16 compensation and the terms of employment of the executive director. The commission shall also 17 cause to be employed such staff and technical and professional consultants as may be required to 18 carry out the powers and duties set forth in this chapter. All staff, including the executive director, 19 may be secured through a memorandum of agreement with the Rhode Island housing and mortgage 20 finance corporation, or any other agency or political subdivision of the state with the approval of 21 the relevant agency or political subdivision, as provided for in § 42-128-2(2)(ii). Any person who 22 is in the civil service and is transferred to the commission may retain civil service status. SECTION 5. Title 42 of the General Laws entitled "State Affairs and Government" is 23 24 hereby amended by adding thereto the following chapter: 25 **CHAPTER 42-128.4** HOUSING INCENTIVES FOR MUNICIPALITIES 26 27 42-128.4. Short title. 28 This chapter shall be known as "Housing Incentives for Municipalities." 29 42-128.4-2. Establishment of program. 30 There is hereby established a housing incentive for municipalities program to be 31 administered as set forth in section 42-128-2.1, in consultation with the division of statewide 32 planning and the Rhode Island housing and mortgage finance corporation. 33 42-128.4-4. Purposes.

34 The coordinating committee is authorized and empowered to carry out the program for the

1 following purposes: 2 (a) To foster and maintain strong collaborations with municipalities in the state. 3 (b) To support and assist municipalities in promoting housing production that adequately 4 meets the needs of Rhode Island's current and future residents. 5 (c) To make diverse, high-quality, and accessible housing options readily available to residents within their local communities. 6 7 (d) To enable residents to live near convenient public transit and other commercial and 8 cultural resources. 9 (e) To make development decisions fair, predictable, and cost effective. (f) To foster distinctive, attractive, and resilient communities, while preserving the state's 10 11 open space, farmland, and natural beauty. 12 42-128.4-4. Definitions. 13 As used in this chapter: 14 (1) "The coordinating committee" means the Rhode Island housing resources coordinating 15 committee established pursuant to § 42-128-2(2). 16 (2) "Eligible locations" means an area designated by the coordinating committee as a 17 suitable site for a housing incentive district by virtue of its infrastructure, existing underutilized 18 facilities, or other advantageous qualities, including (i) proximity to public transit centers, including 19 commuter rail, bus, and ferry terminals; or (ii) proximity to areas of concentrated development, 20 including town and city centers or other existing commercial districts. 21 (3) "Eligible student" means an individual that (i) lives in a newly constructed dwelling 22 unit within a housing incentive district, to the extent that the unit could not have been realized under 23 the underlying zoning, and (ii) attends a school in the city or town. 24 (4) "School impact offset payments" means a payment to a city or town to help offset increased municipal costs of educating eligible students. 25 (5) "Housing incentive district" means an overlay district adopted by a city or town 26 pursuant to this chapter. A housing incentive district is intended to encourage residential 27 28 development and must permit minimum residential uses. A housing incentive district may 29 accommodate uses complimentary to the primary residential uses, as deemed appropriate by the 30 adopting city or town; however, the majority of development on lots within a housing incentive 31 district must be residential. Land development plans within a housing incentive district shall be 32 treated as minor land development plans, as defined by § 45-23-32, unless otherwise specified by 33 ordinance. 34 42-128.4-5. Adoption of housing incentive districts.

1 (a) In its zoning ordinance, a city or town may adopt a housing incentive district in any

2 <u>eligible location.</u>

- 3 (b) The adoption, amendment, or repeal of such ordinance shall be in accordance with the
- 4 provisions of chapter 45-24.
- 5 (c) A housing incentive district shall comply with this chapter and any minimum
- 6 requirements established by the coordinating committee.
- 7 (d) The zoning ordinance for each housing incentive district shall specify the procedure for
- 8 land development and subdivision review within the district in accordance with this chapter and
- 9 <u>the regulations of the coordinating committee.</u>
- 10 (e) Nothing in this chapter shall affect a city or town's authority to amend its zoning
- 11 <u>ordinances under chapter 45-24.</u>
- 12 **42-128.4-6.** Assistance to municipalities.
- 13 (a) The coordinating committee is authorized and empowered, at its discretion, to provide
- 14 <u>all manner of support and assistance to municipalities in connection with fostering local housing</u>
- 15 production, including, but not limited to:
- 16 (1) Providing technical assistance for the preparation, adoption, or implementation of laws,
- 17 regulations, or processes related to residential development; and
- 18 (2) Authorizing the Rhode Island housing and mortgage finance corporation to issue school
- 19 impact offset payments to participating municipalities.

20 <u>42-128.4-7. Rules and regulations - Reports.</u>

- 21 (a) The coordinating committee is hereby authorized to promulgate such rules and
- 22 regulations as are necessary to fulfill the purposes of this chapter, including, but not limited to,
- 23 provisions relating to: application criteria; eligible locations for housing incentive districts;
- 24 minimum requirements for housing incentive districts; eligible students for the calculation
- 25 of school impact offset payments; and the amount and method of payment to cities and towns
- 26 <u>for school impact offset payments.</u>
- 27 (b) The coordinating committee shall include in its annual report information on the
- 28 commitment and disbursement of funds allocated under the program. The report shall be provided
- 29 to the governor, the secretary of commerce, speaker of the house of representatives and the
- 30 president of the senate.
- 31 **42-128.4-8.** Program integrity.
- 32 Program integrity being of paramount importance, the coordinating committee shall
- 33 establish procedures to ensure ongoing compliance with the terms and conditions of the program
- 34 <u>established herein, including procedures to safeguard the expenditure of public funds and to ensure</u>

- 1 <u>that the funds further the purposes of the program.</u>
- 2 **42-128.4-9.** Cooperation.
- 3 Any department, agency, council, board, or other public instrumentality of the state shall
- 4 cooperate with the coordinating committee in relation to the implementation, execution and
- 5 administration of the program created under this chapter.
- 6 SECTION 6. Section 44-25-1 of the General Laws in Chapter 44-25 entitled "Real Estate
 7 Conveyance Tax" is hereby amended to read as follows:
- 8

<u>44-25-1. Tax imposed – Payment – Burden.</u>

9 (a) There is imposed, on each deed, instrument, or writing by which any lands, tenements, 10 or other realty sold is granted, assigned, transferred, or conveyed to, or vested in, the purchaser or 11 purchasers, or any other person or persons, by his or her or their direction, or on any grant, 12 assignment, transfer, or conveyance or such vesting, by such persons which has the effect of making 13 any real estate company an acquired real estate company, when the consideration paid exceeds one 14 hundred dollars (\$100), a tax at the rate of two dollars and thirty cents (\$2.30) for each five hundred 15 dollars (\$500), or fractional part of it, that is paid for the purchase of property or the interest in an 16 acquired real estate company (inclusive of the value of any lien or encumbrance remaining at the 17 time of the sale, grant, assignment, transfer or conveyance or vesting occurs, or in the case of an 18 interest in an acquired real estate company, a percentage of the value of such lien or encumbrance 19 equivalent to the percentage interest in the acquired real estate company being granted, assigned, 20 transferred, conveyed or vested), which. The tax is payable at the time of making, the execution, 21 delivery, acceptance or presentation for recording of any instrument affecting such transfer grant, 22 assignment, transfer, conveyance or vesting. In the absence of an agreement to the contrary, the tax 23 shall be paid by the grantor, assignor, transferor or person making the conveyance or vesting.

24 (b) In addition to the tax imposed by paragraph (a), there is imposed, on each deed, 25 instrument, or writing by which any residential real property sold is granted, assigned, transferred, 26 or conveyed to, or vested in, the purchaser or purchasers, or any other person or persons, by his or 27 her or their direction, or on any grant, assignment, transfer, or conveyance or such vesting, by such 28 persons which has the effect of making any real estate company an acquired real estate company, 29 when the consideration paid exceeds eight hundred thousand dollars (\$800,000), a tax at the rate of 30 two dollars and thirty cents (\$2.30) for each five hundred dollars (\$500), or fractional part of it, of 31 the consideration in excess of eight hundred thousand dollars (\$800,000) that is paid for the 32 purchase of property or the interest in an acquired real estate company (inclusive of the value of any lien or encumbrance remaining at the time of the sale, grant, assignment, transfer or conveyance 33 34 or vesting occurs, or in the case of an interest in an acquired real estate company, a percentage of the value of such lien or encumbrance equivalent to the percentage interest in the acquired real
 estate company being granted, assigned, transferred, conveyed or vested). The tax imposed by this
 paragraph shall be paid at the same time and in the same manner as the tax imposed by paragraph

4 <u>(a).</u>

(bc) In the event no consideration is actually paid for the lands, tenements, or realty, the
instrument or interest in an acquired real estate company of conveyance shall contain a statement
to the effect that the consideration is such that no documentary stamps are required.

8

(c) The tax administrator shall contribute

9 (d) The tax shall be distributed as follows:

(i) With respect to the tax imposed by paragraph (a): the tax administrator shall contribute 10 11 to the distressed community relief program the sum of thirty cents (\$.30) per two dollars and thirty 12 cents (\$2.30) of the face value of the stamps to be distributed pursuant to § 45-13-12, and to the 13 housing resources commission restricted receipts account the sum of thirty cents (\$.30) per two 14 dollars and thirty cents (\$2.30) of the face value of the stamps. Funds will be administered by the 15 office of housing and community development, through the housing resources commission. The 16 state shall retain sixty cents (\$.60) for state use. The balance of the tax shall be retained by the 17 municipality collecting the tax.

(ii) With respect to the tax imposed by paragraph (b): the tax administrator shall contribute
 the entire tax to the housing production fund established pursuant to § 42-128-2.1.

20 (iii) Notwithstanding the above, in the case of the tax on the grant, transfer, assignment or 21 conveyance or vesting with respect to an acquired real estate company, the tax shall be collected 22 by the tax administrator and shall be distributed to the municipality where the real estate owned by 23 the acquired real estate company is located provided, however, in the case of any such tax collected 24 by the tax administrator, if the acquired real estate company owns property located in more than 25 one municipality, the proceeds of the tax shall be allocated amongst said municipalities in the 26 proportion the assessed value of said real estate in each such municipality bears to the total of the 27 assessed values of all of the real estate owned by the acquired real estate company in Rhode Island. 28 Provided, however, in fiscal years 2004 and 2005, from the proceeds of this tax, the tax 29 administrator shall deposit as general revenues the sum of ninety cents (\$.90) per two dollars and 30 thirty cents (\$2.30) of the face value of the stamps. The balance of the tax on the purchase of 31 property shall be retained by the municipality collecting the tax. The balance of the tax on the 32 transfer with respect to an acquired real estate company, shall be collected by the tax administrator 33 and shall be distributed to the municipality where the property for which interest is sold is 34 physically located. Provided, however, that in the case of any tax collected by the tax administrator

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with respect to an acquired real estate company where the acquired real estate company owns property located in more than one municipality, the proceeds of the tax shall be allocated amongst the municipalities in proportion that the assessed value in any such municipality bears to the assessed values of all of the real estate owned by the acquired real estate company in Rhode Island.

5 (d) For purposes of this section, the term "acquired real estate company" means a real estate company that has undergone a change in ownership interest if (i) such change does not affect the 6 7 continuity of the operations of the company; and (ii) the change, whether alone or together with 8 prior changes has the effect of granting, transferring, assigning or conveying or vesting, transferring 9 directly or indirectly, 50% or more of the total ownership in the company within a period of three 10 (3) years. For purposes of the foregoing subsection (ii) hereof, a grant, transfer, assignment or 11 conveyance or vesting, shall be deemed to have occurred within a period of three (3) years of 12 another grant(s), transfer(s), assignment(s) or conveyance(s) or vesting(s) if during the period the 13 granting, transferring, assigning or conveying or party provides the receiving party a legally binding 14 document granting, transferring, assigning or conveying or vesting said realty or a commitment or 15 option enforceable at a future date to execute the grant, transfer, assignment or conveyance or 16 vesting.

(e) A real estate company is a corporation, limited liability company, partnership or otherlegal entity which meets any of the following:

(i) Is primarily engaged in the business of holding, selling or leasing real estate, where 90% or more of the ownership of said real estate is held by 35 or fewer persons and which company either (a) derives 60% or more of its annual gross receipts from the ownership or disposition of real estate; or (b) owns real estate the value of which comprises 90% or more of the value of the entity's entire tangible asset holdings exclusive of tangible assets which are fairly transferrable and actively traded on an established market; or

(ii) 90% or more of the ownership interest in such entity is held by 35 or fewer persons and the entity owns as 90% or more of the fair market value of its assets a direct or indirect interest in a real estate company. An indirect ownership interest is an interest in an entity 90% or more of which is held by 35 or fewer persons and the purpose of the entity is the ownership of a real estate company.

30 (f) In the case of a grant, assignment, transfer or conveyance or vesting which results in a 31 real estate company becoming an acquired real estate company, the grantor, assignor, transferor, or 32 person making the conveyance or causing the vesting, shall file or cause to be filed with the division 33 of taxation, at least five (5) days prior to the grant, transfer, assignment or conveyance or vesting, 34 notification of the proposed grant, transfer, assignment, or conveyance or vesting, the price, terms

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1 and conditions of thereof, and the character and location of all of the real estate assets held by real 2 estate company and shall remit the tax imposed and owed pursuant to subsection (a) hereof. Any 3 such grant, transfer, assignment or conveyance or vesting which results in a real estate company 4 becoming an acquired real estate company shall be fraudulent and void as against the state unless 5 the entity notifies the tax administrator in writing of the grant, transfer, assignment or conveyance or vesting as herein required in subsection (f) hereof and has paid the tax as required in subsection 6 7 (a) hereof. Upon the payment of the tax by the transferor, the tax administrator shall issue a 8 certificate of the payment of the tax which certificate shall be recordable in the land evidence 9 records in each municipality in which such real estate company owns real estate. Where the real 10 estate company has assets other than interests in real estate located in Rhode Island, the tax shall 11 be based upon the assessed value of each parcel of property located in each municipality in the state 12 of Rhode Island.

SECTION 7. Section 44-25-2 of the General Laws in Chapter 44-25 entitled "Real Estate
Conveyance Tax" is hereby amended to read as follows:

15

44-25-2. Exemptions.

16 (a) The tax imposed by this chapter does not apply to any instrument or writing given to17 secure a debt.

(b) The tax imposed by this chapter does not apply to any deed, instrument, or writing
wherein the United States, the state of Rhode Island, or its political subdivisions are designated the
grantor.

(c) The tax imposed by this chapter does not apply to any deed, instrument, or writing that has or shall be executed, delivered, accepted, or presented for recording in furtherance of, or pursuant to, that certain master property conveyance contract dated December 29, 1982, and recorded in the land evidence records of the city of Providence on January 27, 1983, at 1:30 p.m. in book 1241 at page 849, and relating to the capital center project in the city of Providence.

26 (d) The qualified sale of a mobile or manufactured home community to a resident-owned
27 organization as defined in § 31-44-1 is exempt from the real estate conveyance tax imposed under
28 this chapter.

(e) No transfer tax or fee shall be imposed by a land trust or municipality upon the
 acquisition of real estate by the state of Rhode Island or any of its political subdivisions.

31 (f) Nothing in § 44-25-1(a) shall be construed to impose a tax upon any grant, assignment,

32 transfer, conveyance or vesting of any interest, direct or indirect, among owners, members or

33 partners in any real estate company with respect to an affordable housing development where:

34 (i) The housing development has been financed in whole or in part with federal low-income

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1 housing tax credits pursuant to § 42 of the Internal Revenue Code; or 2 (ii) At least one of the owners, members or partners of the company is a Rhode Island nonprofit corporation or an entity exempt from tax under § 501(c)(3) of the Internal Revenue Code, 3 4 or is owned by a Rhode Island nonprofit corporation or an entity that is exempt from tax under § 5 501(c)(3) of the Internal revenue Code, and the housing development is subject to a recorded deed restriction or declaration of land use restrictive covenants in favor of the Rhode Island housing and 6 7 mortgage finance corporation, the state of Rhode Island housing resources commission, the federal 8 home loan bank or any of its members, or any other state or local government instrumentality under 9 an affordable housing program. No such real estate company shall be an acquired real estate company under this section. 10 SECTION 8. Title 42 of the General Laws entitled "STATE AFFAIRS AND 11 12 GOVERNMENT" is hereby amended by adding thereto the following chapter: 13 CHAPTER 160 14 RHODE ISLAND PAY FOR SUCCESS ACT 15 42-160-1. Short title. 16 This act shall be known and may be cited as the "Rhode Island Pay for Success Act." 17 42-160-2. Legislative findings. The general assembly hereby finds and declares as follows: 18 19 (1) Pay for success contracts are executed detailing the outcomes, associated repayment 20 and evaluation process to be conducted by a third party. The proceeds are disbursed to a nonprofit 21 organization(s) that will execute the intervention delivering services and other resources, such as 22 housing, to the target population. An independent evaluator monitors the outcomes of the intervention to determine if success was met. If the intervention improves financial and social 23 24 outcomes in accordance with established metrics, the government, as outcome payor, pays back the 25 investors with interest using a portion of the savings accrued from the successful outcomes. If the 26 evaluator determines that success was not met, meaning there is no improvement in financial or 27 social outcomes, the investors lose money. 28 (2) In 2016 the United States Department of Justice (DOJ) and the United States 29 Department of Housing and Urban Development (HUD) awarded funding to the Rhode Island 30 Coalition to End Homelessness to pursue a pay for success social impact bond in Rhode Island 31 focusing on housing and supportive services for persons experiencing homelessness who are high 32 utilizers of the health care and justice systems. The pilot program will leverage eight hundred 33 seventy-five thousand dollars (\$875,000) in outcome payment funding from the HUD/DOJ grant 34 as well as the intervention and independent evaluation process described in the grant agreement.

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1 **42-160-3.** Annual reporting.

2	(1) The executive office, in collaboration with the Rhode Island Coalition to End
3	Homelessness, shall provide yearly progress reports to the general assembly beginning no later than
4	January 30, 2022, and annually thereafter until January 30, 2027. These reports will include
5	recommendations on a proposed structure for entering into pay for success contracts, for
6	administering the program, and for any and all matters related thereto that the executive office
7	deems necessary to administer future pay for success projects at the conclusion of the pilot program
8	in 2026. As a condition of this project, HUD requires that a third party conduct a transparent and
9	rigorous evaluation of the intervention to determine whether the outcomes have indeed achieved
10	success. The evaluation results will be reported yearly to the governor and general assembly.
11	<u>42-160-4. Definitions.</u>
12	For the purpose of this chapter:
13	(a) "Performance targets" means the level of performance, as measured by an independent
14	evaluator, which represent success. Success is defined in the pay for success contract.
15	(b) "Independent evaluator" means an independent entity selected by the state whose role
16	includes assessing and reporting on the achievement of performance targets at the frequency
17	required in the pay for success contract.
18	(c) "Success payments" refer to the payments that the state will make only if contractual
19	performance targets are achieved as determined by the independent evaluator and approved by the
20	office of management and budget.
21	(d) "Pay for success contracts" are contracts designed to improve outcomes and lower costs
22	for contracted government services that are subject to the following requirements:
23	(1) A determination that the contract will result in significant performance improvements
24	and budgetary savings across all impacted agencies if the performance targets are achieved;
25	(2) A requirement that a substantial portion of any payment be conditioned on the
26	achievement of specific outcomes based on defined performance targets;
27	(3) An objective process by which an independent evaluator will determine whether the
28	performance targets have been achieved;
29	(4) A calculation of the amount and timing of payments that would be earned by the service
30	provider during each year of the agreement if performance targets are achieved as determined by
31	the independent evaluator; and
32	(5) Payments shall only be made if performance targets are achieved. Additionally, the
33	outcome payment made pursuant to this chapter shall not exceed ten percent (10%) more than actual
34	costs incurred by program intermediary.

1	(1) "Coordinated entry system (CES)" means the partnership of all homeless service
2	providers in the state through this initiative of the Rhode Island continuum of care. CES manages
3	all diversion/entry to the shelter system through the coordinated entry hotline and manages the
4	permanent housing placement part of the program, once persons are in a shelter or are living on the
5	street.
6	(2) "Executive office" means the Rhode Island executive office of health and human
7	services.
8	(3) "Homeless management information system (HMIS)" means the database used to
9	collect information in order to track and report on the scope of homelessness prevention/assistance
10	and human service needs across the Rhode Island continuum of care (COC) as well as individually
11	at each organization. The Rhode Island Coalition to End Homelessness is the current HMIS state
12	lead.
13	(4) "Homelessness" means the category 1 and category 4 definitions outline by HUD:
14	(i) Category 1-Literally homeless: the situation of an individual or family lacking a fixed,
15	regular, and adequate nighttime residence, meaning:
16	(A) Has a primary nighttime residence that is a public or private place not meant for human
17	habitation;
18	(B) Is living in a publicly or privately operated shelter designated to provide temporary
19	living arrangements (including congregate shelters, transitional housing, and hotels and motels paid
20	for by charitable organizations or by federal, state and local government programs); or
21	(C) Is exiting an institution where he or she has resided for ninety (90) days or less and
22	who resided in an emergency shelter or place not meant for human habitation immediately before
23	entering that institution.
24	(ii) Category 4-Fleeing/attempting to flee domestic violence: Any individual or family
25	who:
26	(A) Is fleeing, or is attempting to flee, domestic violence;
27	(B) Has no other residence; and
28	(C) Lacks the resources or support networks to obtain other permanent housing.
29	(5) "Nonprofit organization" means a nonprofit organization that is exempt from federal
30	taxation pursuant to § 501(c)(3) of the federal internal revenue code, 26 U.S.C. § 501(c)(3).
31	(6) "Outcome payor" means the the Rhode Island state government.
32	(7) "Permanent supportive housing (PSH)" means a permanent deeply subsidized unit,
33	tenant or project based subsidy, with supportive services, generally for persons experiencing
34	homelessness for long periods of time who also have other significant challenges such as disability

1 <u>or mental illness.</u>

(8) "Social impact bond", "pay for success bond" or "bond" means a contract between the
public and private sectors in which a commitment is made to pay for improved financial and social
outcomes that result in public sector savings. These contract agreements are funded by
appropriation.
(9) "Target population" means highly vulnerable persons that experience long-term
homelessness and incur significant costs within the criminal justice, shelter, and/or health care
systems, likely related to their housing instability, with an estimated average per person cost of

- 9 Medicaid claims for adults in shelter, top twenty-five (25) clients, totaling two hundred twenty-
- 10 <u>nine thousand, six hundred ninety-five dollars and forty-four cents (\$229,695.44), as per the Rhode</u>

11 Island Medicaid and HMIS data match for adults in shelter. Eligibility will be confirmed by data

- 12 <u>matching, case conferencing, and coordination with health care providers and the coordinated entry</u>
- 13 system (CES); data sources will be provided by the department of corrections, executive office of
- 14 <u>health and human services, and the Rhode Island homeless management information system.</u>
- 15 (10) "Program intermediary" means a firm that contracts with a state agency to establish

16 and delivery a service or program intervention by doing any of the following:

17 (i) Delivering or contracting for relevant services or outcomes;

18 (ii) Raising capital to finance the delivery of services or outcomes;

- 19 (iii) Providing ongoing project management and investor relations for the social impact
- 20 <u>funding instrument.</u>
- 21 42-160-5. Pilot program established.

22 There is established a five (5) year pay for success pilot program to be administered by the 23 Rhode Island executive office of health and human services. The pilot will follow the proposal 24 outlined in the 2016 pay for success grant proposal to HUD and 2017 feasibility study. The pay for 25 success project will provide a housing and supportive services intervention (PSH) for one hundred 26 twenty-five (125) persons in Rhode Island experiencing homelessness who are high utilizers of the 27 health care and justice systems. The pilot program will leverage eight hundred seventy-five 28 thousand dollars (\$875,000) of HUD/DOJ grant funds. Contract agreements with the executive 29 office of health and human services, the amount of bonds issued by the executive office pursuant 30 to this chapter shall not exceed one million five hundred thousand dollars (\$1,500,000) per fiscal 31 year or six million dollars (\$6,000,000) in the aggregate over the five (5) years of the pilot program. 32 as determined by the department; provided, no agreements shall be entered by the department after

- 33 July 1, 2026, without further authorization by the general assembly.
- 34 **42-160-6. Establishment of restricted receipt account.**

- 1 There is hereby created within the general fund of the state a restricted receipt account
- 2 <u>entitled or to be known as "Pay for Success." The account shall be housed within the budget of the</u>
- 3 executive office of health and human services and shall be utilized to record all receipts and
- 4 program expenditures associated with this chapter. All such monies deposited shall be exempt from
- 5 the indirect cost recovery provisions of § 35-4-27.
- 6 SECTION 9. Section 6 of this article shall take effect on January 1, 2022. All other sections
- 7 of this article shall take effect upon passage.

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1	ARTICLE 15
2	RELATING TO EFFECTIVE DATE
3	SECTION 1. This act shall take effect as of July 1, 2021, except as otherwise provided
4	herein.
5	SECTION 2. This article shall take effect upon passage.